

ANNEX A: Excerpts from the Terms of Reference for an EITI Scoping Study in Zambia

Introduction

Details of the government's interest in EITI and background on the extractive resources and industries of the country.

Deliverables

The consultant / organization responsible for carrying out the scoping study will produce the following deliverables:

1. A brief summary of the extractive resources and industries of the country.
2. Details of what kinds of payments are made by those companies to which levels (that is, national and sub-national) and agencies of government, and how the level of payment is determined.
3. What process exists for the auditing of companies and of government agencies?
4. What requirements, if any, already exist for the disclosure of payment and / or revenue data by companies and government agencies?
5. Details of any barriers to the disclosure, audit, or reconciliation of payment and revenue data, in particular whether there are any confidentiality provisions in extractive industry contracts or in the tax and revenue laws.
6. A summary of all the stakeholders who would likely need to be involved in implementation of the EITI.
7. Details of what benefits stakeholders believe would be delivered by an EITI process.
8. Details of any key issues which stakeholders believe will need to be addressed in order for EITI to be successfully implemented.
9. An assessment of the resources which would be required to implement the Initiative, as well as potential sources of such resources.
10. Details of any information which the scoping study was unable to access to help inform its findings.
11. A summary of what benefits would be gained from EITI implementation, and whether those benefits are perceived by stakeholders as being significant enough to make EITI implementation worthwhile.

12. If the scoping study does think that EITI implementation would be useful, then it should make recommendations as to how the process could be started and who should be involved in it.

Milestones and timing

[States which elements of the scoping study will be delivered and by when]

Management arrangements

States to whom the scoping study consultant is responsible.

States who is funding the scoping study.