Renewable Energy Finance & Investment Network (REFIN)

A directory of sources of finance for renewable energy and energy efficiency in the United States.
Renewable Energy Finance & Investment Network (REFIN)

2004 Version

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TABLE OF CONTENTS

I. Introduction 1
II. About ACORE 2
III. About REEEP 4
IV. Graphical index by type of financing 6
V. Alphabetical listing of financing organizations 20

Advance Capital Markets, Inc. 20
Advanced Service Corporation 21
Advent International 22
AgStar Financial Services 23
Airtricity Inc. 24
Allied Capital 25
Altira Group LLC 26
Alyra Renewable Energy Finance Advisors 27
Ardour Capital Investments 28
Arizona Solar Tech 29
Ater Wynne LLP 30
Atomic Remediation Labs.org. Accoc. 31
Atrium Capital 32
Axiom Venture Capital 33
Baker & McKenzie LLP 34
Bank of Tokyo-Mitsubishi 35
Battelle Ventures, L.P. 36
BEV Capital 37
Bingham McCutchen 38
Birch Tree Capital 39
Black & V 40
Bob Lawrence and Associates, Inc. 41
BridgeWorks Capital 42
Catalyst Financial Group, Inc. 43
CEI Community Ventures 44
Celtis Capital 45
Center for Smart Energy 46
Chadbourne & Parke LLP 47
Chesapeake Strategic Partners, LLC
Chestnut Capital LLC
ChevronTexaco Technology Ventures
Chinook Wind
Chrysalix Energy Management Inc.
Clean Energy Group
Clean Energy States Alliance
Clean Power Income Fund
Cleantech Venture Network
Cleantech Venture Network LLC
Conduit Ventures
Connecticut Clean Energy Fund
CP Energy Group, LLC
Davenport Finance Company
Decosimo Corporate Finance
Dexia Credit Local
Distributed Generation Systems
Draper Fisher Jurvetson
DTE Energy Ventures
Dundee Securities Corporation
DZ Bank
Earthrise Capital Fund
Easenergy (EDF Group)
EcoElectron Ventures
Ecologic Leasing Solutions
Edison Capital
EIF Group
Enercorp LLC
Energy Asset Development Inc
Energy Financing, Inc.
Energy Insurance Brokers
EnerTech Capital Partners
England & Company
Environmental Banc & Exchange
EnviroTech Financial, Inc
Ernst & Young
Evolution Markets LLC
Ewing Bemiss & Co.
FA Technology Ventures
Focus on Energy
Foley & Lardner LLP
Fortis Capital Corp.
Garage Technology Ventures
<table>
<thead>
<tr>
<th>Company Name</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>GE Energy</td>
<td>91</td>
</tr>
<tr>
<td>GE Energy Financial Services</td>
<td>92</td>
</tr>
<tr>
<td>GENCOA / Simplicity Energy Farms</td>
<td>93</td>
</tr>
<tr>
<td>Global Change Associates Inc.</td>
<td>94</td>
</tr>
<tr>
<td>Global Energy Concepts</td>
<td>95</td>
</tr>
<tr>
<td>Global Environment Fund</td>
<td>96</td>
</tr>
<tr>
<td>Good Energies Inc.</td>
<td>97</td>
</tr>
<tr>
<td>GreenTrading Inc.</td>
<td>98</td>
</tr>
<tr>
<td>Halo Energy Ltd</td>
<td>99</td>
</tr>
<tr>
<td>Hydrogen Ventures LLC</td>
<td>100</td>
</tr>
<tr>
<td>ICF Consulting</td>
<td>101</td>
</tr>
<tr>
<td>Idealab</td>
<td>102</td>
</tr>
<tr>
<td>IF, LLC</td>
<td>103</td>
</tr>
<tr>
<td>Illinois Clean Energy Community Foundation</td>
<td>104</td>
</tr>
<tr>
<td>Illinois Finance Authority</td>
<td>105</td>
</tr>
<tr>
<td>International Business Strategies</td>
<td>106</td>
</tr>
<tr>
<td>IUEP</td>
<td>107</td>
</tr>
<tr>
<td>Jane Capital Partners</td>
<td>108</td>
</tr>
<tr>
<td>KEMA-XENERGY</td>
<td>109</td>
</tr>
<tr>
<td>kernenergien</td>
<td>110</td>
</tr>
<tr>
<td>Kinetics</td>
<td>111</td>
</tr>
<tr>
<td>Mainstay Energy</td>
<td>112</td>
</tr>
<tr>
<td>Manulife Financial Corporation</td>
<td>113</td>
</tr>
<tr>
<td>Marathon Capital, LLC</td>
<td>114</td>
</tr>
<tr>
<td>Marsh USA Inc.</td>
<td>115</td>
</tr>
<tr>
<td>Marshall Street Management</td>
<td>116</td>
</tr>
<tr>
<td>Massachusetts Renewable Energy Trust</td>
<td>117</td>
</tr>
<tr>
<td>Metropolitan Edison Company Sustainable Energy Fund</td>
<td>118</td>
</tr>
<tr>
<td>County Community Foundation</td>
<td>119</td>
</tr>
<tr>
<td>MeXSI, Inc.</td>
<td>120</td>
</tr>
<tr>
<td>Milbank, Tweed, Hadley &amp; McCloy LLP</td>
<td>121</td>
</tr>
<tr>
<td>Mille Capital Corporation</td>
<td>121</td>
</tr>
<tr>
<td>Mo DNR Energy Center</td>
<td>122</td>
</tr>
<tr>
<td>MVC Capital, Inc. c/o Jasper Energy</td>
<td>123</td>
</tr>
<tr>
<td>Natexis Banques Populaires</td>
<td>124</td>
</tr>
<tr>
<td>National Ethanol Vehicle Coalition</td>
<td>125</td>
</tr>
<tr>
<td>National Lease Financing Services</td>
<td>126</td>
</tr>
<tr>
<td>Native Energy, LLC</td>
<td>127</td>
</tr>
<tr>
<td>Natsource LLC</td>
<td>128</td>
</tr>
<tr>
<td>New Alternatives Fund Inc.</td>
<td>129</td>
</tr>
<tr>
<td>New Energy Capital</td>
<td>130</td>
</tr>
<tr>
<td>New Energy Capital, LLC</td>
<td>131</td>
</tr>
<tr>
<td>New Energy Fund LP</td>
<td>132</td>
</tr>
</tbody>
</table>
Newport Partners, LLC 133
NexGen Power LLC 134
NextWave Energy, Inc. 135
NJ BPU Office of Clean Energy 136
NJTC Venture Fund 137
North Castle Capital Advisory LLC 138
Nth Power LLC 139
Oregon Department of Energy Small Scale Loan Program 140
Pacific Capital Resources, LLC 141
Partech International 142
Pennsylvania Department of Environmental Protection, Office of Energy & Technology Development 143
Perseus 144
Pillsbury Winthrop LLP 145
Power Generating Inc 146
Power Project Financing 147
PowerLight Corp 148
PowerWorks Inc. 149
Prometheus Institute for Sustainable Development 150
Prospect Energy 151
RE Capital 152
Renergy Corporation 153
Renewable Energy Development Institute (REDI) 154
Renewable NRG LLC 155
Ridgeline Energy 156
RockPort Capital Partners 157
Ruskin Moscou Faltischek, P.C. 158
RWE Dynamics Venture Capital Management GmbH 159
SAM Sustainable Asset Management 160
Saw Mill Capital 161
Sea Breeze Power Corp. 162
Self-Help Credit Union 163
Shell WindEnergy 164
ShoreBank Pacific 165
Sierra Capital Services 166
SJF Ventures 167
SmartPower 168
Solar International Management, Inc. 169
SSA CAPITAL 170
Sterling Energy International 171
Sterling Partners 172
Stonehenge Capital Company, LLC 173
SunEdison 174
<table>
<thead>
<tr>
<th>Company Name</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>SUNTEG U.S.A. Inc.</td>
<td>175</td>
</tr>
<tr>
<td>Sustainable Energy Fund</td>
<td>176</td>
</tr>
<tr>
<td>Sustainable Energy Ventures</td>
<td>177</td>
</tr>
<tr>
<td>SustainableBusiness.com</td>
<td>178</td>
</tr>
<tr>
<td>T2M Resources Inc</td>
<td>179</td>
</tr>
<tr>
<td>Taproot Ventures</td>
<td>180</td>
</tr>
<tr>
<td>Technology &amp; Market Solutions, LLC</td>
<td>181</td>
</tr>
<tr>
<td>Technology Partners</td>
<td>182</td>
</tr>
<tr>
<td>The Black Emerald Group</td>
<td>183</td>
</tr>
<tr>
<td>The CIT Group, Inc./Equipment Finance</td>
<td>184</td>
</tr>
<tr>
<td>The Gemstone Group, Inc.</td>
<td>185</td>
</tr>
<tr>
<td>The GreenMoney journal</td>
<td>186</td>
</tr>
<tr>
<td>The Stella Group, Ltd.</td>
<td>187</td>
</tr>
<tr>
<td>Toll Cross Investments Inc.</td>
<td>188</td>
</tr>
<tr>
<td>Travelers Financial Corporation</td>
<td>189</td>
</tr>
<tr>
<td>TRF's Sustainable Development Fund</td>
<td>190</td>
</tr>
<tr>
<td>Trintek Energy Consulting, Inc.</td>
<td>191</td>
</tr>
<tr>
<td>Triodos Renewable Energy for Development Fund</td>
<td>192</td>
</tr>
<tr>
<td>Triton Venture Partners, LP</td>
<td>193</td>
</tr>
<tr>
<td>U.S. Global, LLC</td>
<td>194</td>
</tr>
<tr>
<td>Uni-Solar</td>
<td>195</td>
</tr>
<tr>
<td>United Capital</td>
<td>196</td>
</tr>
<tr>
<td>uPower Supply</td>
<td>197</td>
</tr>
<tr>
<td>US Department of Energy</td>
<td>198</td>
</tr>
<tr>
<td>U-solar PV</td>
<td>199</td>
</tr>
<tr>
<td>Venceon, Inc</td>
<td>200</td>
</tr>
<tr>
<td>Viant Capital</td>
<td>201</td>
</tr>
<tr>
<td>Vinson &amp; Elkins L.L.P.</td>
<td>202</td>
</tr>
<tr>
<td>Viridis Energy Capital Pty Limited</td>
<td>203</td>
</tr>
<tr>
<td>WECS, Inc.</td>
<td>204</td>
</tr>
<tr>
<td>West Mountain Energy Capital, LLC</td>
<td>205</td>
</tr>
<tr>
<td>West Penn Power Sustainable Energy Fund</td>
<td>206</td>
</tr>
<tr>
<td>Western GeoPower Corp</td>
<td>207</td>
</tr>
<tr>
<td>Windustry</td>
<td>208</td>
</tr>
<tr>
<td>Yellowstone Capital, Inc.</td>
<td>209</td>
</tr>
<tr>
<td>Zelini, LLC</td>
<td>210</td>
</tr>
<tr>
<td>Zero Stage Capital</td>
<td>211</td>
</tr>
</tbody>
</table>
Directory of the Renewable Energy Finance and Investment Network (REFIN)


The REFIN Directory is a listing of organizations that self-nominate as players in financial issues related to, and financing of, renewable energy in North America. It also extends to those who participate in the financing of renewable energy worldwide.

The REFIN Directory provides contact information plus summary comments on the areas of interest and types of financing done by each organization.

For additional information, please contact the listed organization. For more information about ACORE, please see our web site at www.acore.org.
About ACORE

The American Council On Renewable Energy, a 501(c)(3), non-profit organization in Washington, D.C. is focused on accelerating the adoption of renewable energy technologies into the main stream of American society.

Scope:

ACORE promotes all renewable energy options for the production of electricity, hydrogen fuels and end-use energy including:

- Solar Energy
- Wind Power
- Hydropower
- Geothermal Energy
- Ocean Energy
- Biomass Energy and Biofuels
- Waste Energy and Fuels

Membership:

ACORE provides a common platform for the wide range of interests in the renewable energy community including renewable energy industries, associations, utilities, end users, professional service firms, financial institutions, nonprofit groups, universities and other educational organizations, and government agencies. ACORE serves as a forum through which these parties work together on common interests.

Events:

"Renewable Energy in America: Phase II" – Renewables Policy Meeting
Washington D.C., December 6-7, 2004. A high level, two-day annual conference addressing key issues facing the renewable energy industry. Participants include Wall Street leaders, industry and government experts, and renewable energy visionaries.

Power-Gen Renewable Energy - Renewable Energy Trade Show
Las Vegas, NV. March 1-3, 2005. A joint venture with PennWell Communications, this all-renewable energy conference and exhibition will include attendees from equipment suppliers and customers from the utility, transportation and buildings industries. For more information visit: www.power-gengreen.com.

Renewable Energy Finance Forum
New York, NY. June 22-24, 2005. The annual Finance Forum will bring together leaders of the finance industry to discuss a broad range of issues, including: venture capital, debt and equity markets, project finance and public sector finance.
Quarterly Modeling Summits


In collaboration with the EPA, DOE and NREL, ACORE co-hosts Quarterly Modeling Summits bringing together analysts on the benefits of renewable energy, forecasting of markets, and national strategies and policies to encourage greater use of renewables.

**Programs:**

Communications: ACORE publicizes renewable energy solutions to the American public and leadership through strategic communications programs. ACORE plans to establish a Media Center to proactively support journalists and their reporting and to develop outreach programs to the financial community.

Information and Publishing: ACORE will work to create better understanding of renewable energy issues through publishing initiatives, such as a forthcoming book entitled *“Renewable Energy in America”*. ACORE also participates in cross-cutting policy analysis that affects all forms of renewable energy.

**Member Committees:**

Communications Committee: Provides focuses on two key areas: development of a large-scale national and regional media campaign and development of a Renewable Energy Media Center.

Finance Committee: Plans the Annual Finance Forum and maintains relationships with ACORE’s network of financial industry leaders.

Economic Research Committee: Organizes and participates in ACORE’s Quarterly Summits and conducts research in support of the annual publication, *“Renewable Energy in America”*.

International Committee: Works to increase the exchange of information between the US and global renewable energy communities.

Development Committee: fundraises and expands ACORE’s membership and funding base.

Contact Information:
About REEEP

The Renewable Energy and Energy Efficiency Partnership (REEEP) is a coalition of progressive governments, businesses and organizations committed to accelerating the development of renewable and energy efficiency systems (REES).

Initiated at the Johannesburg World Summit on Sustainable Development (WSSD) in August 2002 by the UK Government, the REEEP provides an open and flexible framework within which governments work together to meet their own sustainable energy objectives according to their own timetables.

REEEP Vision:

REEEP seeks to accelerate and expand the development of renewable energy and energy efficiency systems in our own economies and in our energy portfolios. Recognizing that these sustainable energy systems can address the key challenges of sustainable development, notably improving energy security, fostering economic development, and greater social equity whilst reducing the environmental impact of energy consumption and production, REEEP is committed to taking joint and collaborative action that will work to promote the development of these technologies and make them affordable energy options for all.

Sustainable Energy Benefits

Energy Security. Dependence on imported fossil fuels leaves many countries vulnerable to disruption in supply and the accompanying economic and development impact. Increased use of efficient and renewables systems improves energy security, by boosting resource productivity, avoiding excessive dependence on imported fuels, developing local sources, and diversifying energy portfolios and suppliers.

Economic Development. Lack of access to sustainable energy constrains opportunities for economic development and improved living conditions. Renewables and energy efficiency systems support a sustained GDP growth by improving economic and environmental performance, enhancing technological innovation and creating new commercial opportunities.

Social Equity. Access to and use of energy is marked by an uneven distribution in many countries, and between rich and poor within them. Developing distributed energy generation and sustainable renewable systems can enable more equitable access to energy services and create new job opportunities, especially in rural areas.

Environmental Protection. Most current energy generation and use results in serious health and environmental impacts at local, regional, and global levels – including climate change – which threatens human well being and ecosystems. Accelerating
energy efficiency improvements and deployment of sustainable renewable energy results in significantly lower environmental pollution.

In November 2003, with assistance from the Austrian Government, we opened our International Secretariat at the Vienna International Centre. The project is supported by a number of governments including: Austria, Ireland, Spain, The Netherlands and the European Commission. On May 26th, the REEEP appointed Marianne Osterkorn as the new International Director.

Contact Information:
REEEP Secretariat, Vienna International Centre Room D1732, Wagramerstrasse 5, A – 1400 Vienna, Austria, Telephone: +43.1.26026.3678, Fax: +43.1.21346.3678
www.reeep.org
<table>
<thead>
<tr>
<th>NAME</th>
<th>TYPE OF FINANCING</th>
<th>SECTOR SERVED</th>
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<tbody>
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<td>Advance Capital Markets</td>
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<td>Corporate Financing</td>
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<td></td>
<td>Construction Financing</td>
<td></td>
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<tr>
<td></td>
<td>Mezzanine Financing</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Public Equity Funds/Asset Management</td>
<td></td>
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<tr>
<td></td>
<td>Venture Capital</td>
<td></td>
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<tr>
<td></td>
<td>Seed Stage/Feasibility</td>
<td></td>
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<tr>
<td></td>
<td>Export Credit Financing</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Private Foundations</td>
<td></td>
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<tr>
<td></td>
<td>Federal Agencies</td>
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<td></td>
<td>State Provincial</td>
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<td>Insurance</td>
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**Sector Served Legend:**

- Geothermal: G
- Hydropower: H
- Ocean Energy: O
- Solar: S
- Wind: W
- All Renewables: A
- Biofuels: BF
- Biomass: BM
- Energy Efficiency: E
Advance Capital Markets, Inc.

Organization: Advance Capital Markets, Inc. (Advance) is an investment banking firm which specializes in project and corporate finance in the energy and power industries.

Advance is actively involved in financing projects and companies, buying and selling energy assets, properties, and companies. The firm’s range of experience covers wind, solar (PV and thermal), biomass, geothermal, hydroelectric, clean fuels, and other forms of alternate energy.

The principals of Advance have a successful track record in starting, funding, and managing a portfolio of successful companies. This experience provides a core competency for advising clients on raising capital and structuring profitable enterprises.


Sector Served: All types of Renewables

Geographic Focus: US, EU, Middle East

Contact Information: Mr. Michael D. Ware
Managing Director
1250 24th St., N.W. Suite 300
Washington, DC 20037

W- 202-466-0531
F- 202-466-0564
ware@advancecap.com

Origin of Funding: Private

Web Address:
Advanced Service Corporation

Organization: Providing project management, construction management, and due diligence services to the renewable energy market. Currently representing multiple equity and debt financing institutions interested in new and existing renewable energy opportunities.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Construction Financing, Venture Capital

Sector Served: All types of Renewables

Geographic Focus:

Contact Information:
Mr. Tad Cooper
Executive Vice President
Corporate Centre 200
P.O. Box 160
Marion, IA 52302-0160

W- 319-377-6357
F- 319-377-0075

tcc@advancedservicecorp.com

Origin of Funding:

Web Address: http://www.advancedservicecorp.com
Advent International

Organization: Advent is one of the most experienced energy technology investors in the world. Since 1988, we have backed over 30 energy technology companies involved in a wide range of activities.

We are now investing our second venture capital fund focused on emerging energy technologies, products and services, principally in the US. Target subsectors include:

- Distributed generation
- Renewables
- Energy management
- Energy storage
- Metering, billing and customer information
- Power quality, control and conditioning
- Power trading and risk management
- Emission technologies
- Software
- T&D monitoring and components

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information:

Mr. Michael Bevan          Dr. George Reichenbach
Principal                  Partner
75 State Street            75 State Street Boston, MA 02109
Boston, MA 2109             Boston, MA 2109

W- 617-951-9741            W- 617-951-9413
F- 617-951-0566            F- 617-951-0566

mbevan@adventinternational.com  GReichenbach@AdventInternational.com

Origin of Funding: Private

Web Address: www.adventinternational.com
AgStar Financial Services

**Organization:** AgStar Financial Services provides credit and credit related services to commercial size wind energy projects.

**Type of Financing:** Project Finance/Debt, Construction Financing

**Sector Served:** Wind

**Geographic Focus:**

**Contact Information:**
Mr. Art Madsen
Sr. Financial Services Executive
Box 534
Northfield, Mn 55057

W- 1-800-451-0603

amadsen@agstar.com

**Origin of Funding:** Private

**Web Address:**
Airtricity Inc.

**Organization:** Wind farm development, construction and operation.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing

**Sector Served:** Wind

**Geographic Focus:** USA

**Contact Information:**
Mr. Ciaran O'Brien
CFO
401 N Michigan Ave
Suite 600
Chicago, IL 60611

W- 312 923 9463
F- 312 923 9469

cobrien@airtricity.com

**Origin of Funding:** Private

**Web Address:** www.airtricity.com
Allied Capital

**Organization:** $2.5 billion private equity and debt investor. Publicly traded on the NYSE (ALD)

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing, Mezzanine Financing

**Sector Served:** All types of Renewables

**Geographic Focus:** North America

**Contact Information:**
Mr. Dean D'Angelo
Principal
1919 Pennsylvania Ave., NW
Suite 300
Washington, DC, - 20006

W- 202.973.6347
F- 202.659.2053

ddangelo@alliedcapital.com

**Origin of Funding:** Public Markets

**Web Address:** www.alliedcapital.com
Altiragroup LLC

Organization: The Altira name is synonymous with seed and early stage venture capital for energy technology. Since 1997 we have been investing in companies that develop and commercialize energy technologies. Our definition of energy technology includes: oil and gas technologies, information technology for the energy industry, power generation, transmission and distribution technologies, and renewable energy.

The Altira mission is to invest in innovative technology companies that will impact the future of energy.

We are now investing Altira Technology Fund IV. If you are seeking venture financing for a technology enterprise in the energy industry, we would like to speak with you. We will consider opportunities throughout North America and beyond, as appropriate. We do not invest in projects for petroleum exploration and production or for power generation.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information: Mr. Dirk McDermott, Managing Partner, 1625 Broadway, Suite 2450, Denver, Colorado 80202, W- 303-592-5500, dmcdermott@altiragroup.com; Mr. Peter Edwards, Partner, 1625 Broadway, Suite 2450, Denver, CO 80202, W- 303 592-5506, pedwards@altiragroup.com

Origin of Funding: Private

Web Address: www.altiragroup.com
Alyra Renewable Energy Finance Advisors

Organization: Alyra is a financial advisory service exclusively focused on renewable energy transactions. Alyra assists renewable energy developers formulate strategies to achieve the most competitive financing solutions.

ALYRA CORE COMPETENCIES
Broad Renewable Finance Expertise
Financing strategy, Structuring Execution, Transaction negotiation
Restructuring
Deep Knowledge of Markets
Bank market, Institutional investor market, Tax investor market
Structural requirements in all markets
Significant Network in Wind Industry
Developers seeking Capital, Investor seeking investment and partnering opportunity, Legal / Engineering / technical

Alyra was Founded in February 2004 by Mohammed Alam, following his energy banking career with Fortis where he led a range of structuring and restructuring of renewable and conventional power transactions. Before Fortis he worked at GE Capital’s Capital Markets Group, focusing on structuring and advisory activities in the Latin American power and infrastructure sectors. Earlier, he started finance career at Brown Brothers Harriman, focusing on emerging markets research. Mr. Alam holds a Master’s in Public and Private Management degree from the Yale School of Management and a BS in International Management and Finance from the University of Massachusetts.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

Sector Served: Wind, Biomass power/products, Hydropower

Contact Information: Mr. Mohammed Alam
President
107 Blackman Road
Ridgefield, CT 6877

W- 2034389989
mohammedalam@alyra.net

Web Address:
Ardour Capital Investments

Organization: Ardour Capital assists companies in fulfilling their growth potential by providing customized financial solutions and strategic advice tailored to meet each client's long-term goals. Services offered include but are not limited to the following: Equity and Debt Financings, Strategic Planning, Business Plan preparation and review, Valuation Analysis, Proxy Solicitations, Warrant Conversions, Mergers and Acquisitions, Corporate Presentations, Institutional Roadshows.

Type of Financing:

Sector Served: All types of Renewables

Geographic Focus: Global

Contact Information: Mr. Walter Nasdeo
Managing Director
The Empire State Building
350 Fifth Avenue, Suite 4812
New York, NY 10118

W- (212) 375-2958

wnasdeo@ardourcapital.com

Origin of Funding:

Web Address: www.ardourcapital.com
Arizona Solar Tech

**Organization:** Solar Electrical Energy Producer

**Type of Financing:** Project Finance/Equity, Seed Stage/Feasibility

**Sector Served:** Solar

**Geographic Focus:** Southwestern USA

**Contact Information:**
Mr. Jame Beard  
President  
4630 E. Elwood Street  
Suite 6  
Phoenix, Arizona 85040  
W- 602-977-7775  
jdbconsult@aol.com

**Origin of Funding:** Private

**Web Address:** arizonasolartech.com
Ater Wynne LLP

Organization: Counsel to regional, national and international corporations involved in energy development and transactional assistance for purchase and sale of energy assets.


Sector Served: All types of Renewables

Geographic Focus: US and Germany

Contact Information:
Mr. Douglas MacCourt
Attorney
Suite 1800
222 S.W. Columbia
Portland, Oregon 97201

W- (503) 226-1191
F- (503) 226-0079

dcm@aterwynne.com

Origin of Funding: Public and private

Web Address: www.aterwynne.com
Atomic Remediation Labs.org. Accoc.

**Organization:** Provide leveraged capital funding on assets (digital cash or A rated-or better stock) for conducting infrastructure and needed humanitarian projects on a case by case basis. Staff provides upgrade technology service to existing conventional and renewable energy project developers with the goal of displacing new fossil fuel capacity with new sustainable capacity from advanced long-term renewable technology systems. $10 million minimum program funding. Staff provides scientific/engineering overview service to prompt cost effective renewable upgrade opportunities for existing upgradable and new energy projects.

**Type of Financing:** Project Finance/Equity, Corporate Financing, Construction Financing, Seed Stage/Feasibility, Export Credit Financing, Private Foundations, Other

**Sector Served:** All types of Renewables, Solar, Wind, Geothermal, Biomass power/products, Biofuels, Hydropower, Energy efficiency, Ocean energy

**Geographic Focus:** Domestic/International

**Contact Information:**

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Address</th>
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</thead>
<tbody>
<tr>
<td>Mr. Stephen Schwika</td>
<td>Executive Director</td>
<td>Box 97, Freeland, Pa 18224</td>
</tr>
</tbody>
</table>

W- 570/443-7892  
F- 570/443-4423  

motiond@epix.net

**Origin of Funding:** International Leverage Capital

**Web Address:** call for information
Atrium Capital

**Organization:** Atrium Capital, a venture capital firm formed in 1991 to manage corporate venture capital programs on an outsourced basis. Atrium executes its investment activities through partnerships with major corporations seeking strategic and financial objectives.

**Type of Financing:** Venture Capital

**Sector Served:** All types of Renewables

**Geographic Focus:** Global

**Contact Information:**
- Mr. Russell Pyne
  - Managing Director
  - 3000 Sand Hill Road
  - Building 2, Suite 240
  - Menlo Park, CA 94025
  - W- 650-233-7878
  - rpyne@atriumcapital.com

**Origin of Funding:** Private, Corporate

**Web Address:** www.atriumcapital.com
Axiom Venture Capital

Organization: Venture capital fund focussing on CleanTech investment opportunities, primarily in Europe.

Type of Financing: Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: Europe

Contact Information:
Mr. Guus Keder
Managing Partner
Lehrter Strasse 46
Berlin, NA D-10557
W- +49(170)8510902
gk@axiomvc.com

Origin of Funding: Institutional investors

Web Address: www.axiomvc.com
Baker & McKenzie LLP

Organization: Baker & McKenzie is one of the world's leading international law firms. With a network of more than 3,200 lawyers in 68 locations across 38 countries, we have the knowledge, experience and resources to deliver the broad scope of legal services required to respond effectively to our clients’ international and local needs.

We are widely recognized as a pre-eminent provider of legal services. For example, in 2003, we were named “International Law Firm of the Year” by Global Counsel Law Review, a ranking based on comments by the world’s leading 5000 companies. Also in 2003, for the fourth consecutive year, we were selected as one of the top ten corporate law firms in America, according to a survey of more than 2000 directors of publicly traded companies taken by Corporate Board Member magazine. In addition, we received more nominations than any other firm worldwide for project finance expertise in the 2003 edition of Euromoney’s Expert Guide to the World’s Leading Project Finance Lawyers.

Lawyers in our Global Renewable Energy Practice represent clients pursuing all aspects of the development and financing of renewable energy projects. Our experience includes hydro, wind, geothermal, tidal, solar, biomass, landfill gas and ethanol projects, both in North America and around the world. We also have extensive experience in the sale and purchase of projects and associated tax benefits, in export credit agency and multilateral financings, in capital markets financings of portfolios of projects and in emissions credit trading and monetization transactions. We would be pleased to discuss your requirements with you at any time.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Venture Capital, Export Credit Financing

Sector Served: Wind, Geothermal, Biomass power/products, Biofuels, Hydropower, Ocean energy

Geographic Focus: Worldwide

Contact Information: Mr. Chris Groobey Partner and Head of North American Renewables Practice 815 Connecticut Avenue, N.W. Washington, DC 20006

W- 202-835-4240
F- 202-452-7074

christopher.groobey@bakernet.com

Web Address: www.bakernet.com
Bank of Tokyo-Mitsubishi

Organization:

Type of Financing: Project Finance/Debt, Construction Financing

Sector Served: Wind, Hydropower

Geographic Focus: Americas

Contact Information:
Mr. Mark Dennes
Vice President
1251 Avenue of the Americas
New York, NY 10020

W- (212) 782-5861
F- (212) 782-6442

mdennes@btmna.com

Origin of Funding:

Web Address:
Battelle Ventures, L.P.

Organization: Battelle Ventures, L.P., a $150 million fund, will principally, but not exclusively, create new or support existing early-stage companies that can materially benefit from technologies that emerge from Battelle Memorial Institute and the National Laboratories that Battelle manages or co-manages for the U.S. Department of Energy.

Type of Financing: Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: United States

Contact Information: Ms. Tracy Warren
Senior Associate
103 Carnegie Center
Suite 200
Princeton, New Jersey 8540

W- 609-921-1456
F- 609-921-8703

tracy@battelleventures.com

Origin of Funding: Private

Web Address: www.battelleventures.com
BEV Capital

Organization: We like to invest in early expansion stage opportunities. For us that means companies with at least $1mm of trailing revenue. We find that we can add the most value to companies at this point in their growth trajectory because of our marketing expertise.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: USA

Contact Information: Mr. Michael Majors
Vice President
One Stamford Plaza
263 Tresser Blvd, 16th Floor
Stamford, CT 6901

W- 203-724-1100
F- 203-724-1155

mike@bevcapital.com

Origin of Funding: Private

Web Address: www.bevcapital.com
Bingham McCutchen

Organization: 850 lawyer law firm, 11 offices, over 150 lawyers engaged in financing activities. Extensive renewables and environmental practice.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: National

Contact Information: Mr. Roger Feldman
Partner
1120 20th Street, N.W.
Suite 800
Washington, DC 20036

W- (202) 785-3181
F- (202) 785-6155
roger.feldman@bingham.com

Origin of Funding: Private

Web Address: www.bingham.com
Birch Tree Capital

Organization: Financial advisory services with a project focus

Type of Financing: Project Finance/Debt, Project Finance/Equity, Construction Financing, Mezzanine Financing, Export Credit Financing, Federal agencies, State/Provincial

Sector Served: Solar, Wind, Biomass power/products

Geographic Focus: United States

Contact Information: John P. Harper
Principal
Birch Tree Capital, LLC
890 Winter Street, Suite 170
Waltham, Massachusetts 02451
W- (617) 803-7338

jharper@birchtreecapital.net

Origin of Funding: Private

Web Address:
Black & V

Organization: Black & Veatch has provided engineering, consulting, construction, and risk management services for over 85 years. We have more than three decades of continuous involvement with renewable energy consulting and engineering across all areas of sustainable energy.

Black & Veatch provides independent engineering services to the financial community in renewable energy technologies as well as conventional technologies. This includes technical and environmental due diligence as well as resource assessment.

Type of Financing: Other

Sector Served: All types of Renewables

Geographic Focus: USA, Europe

Contact Information: Dr. Larry Stoddard
Senior Project Manager
11401 Lamar Avenue
Overland Park, Kansas 66211

W- 913-458-7225
F- 913-458-7803
stoddardle@bv.com

Origin of Funding: Not applicable

Web Address: www.bv.com
Bob Lawrence and Associates, Inc.

Organization:

Type of Financing:

Sector Served: Wind, Geothermal, Energy efficiency

Geographic Focus:

Contact Information: Ms. Jodi Hamrick
Communications Associates
345 South Patrick Street
Alexandria, VA 22314

W- 703-836-3654
F- 703-836-6086
jodiha@erols.com

Origin of Funding: Government

Web Address: www.bl-a.com
BridgeWorks Capital

**Organization:** We are a mid-tier investment bank. Approximately 25% of our financing activity is in the renewables area. We place 50MM annually in project and corporate financings... Strong, proven management, defined path to market, contracts and refined business plans attract our attention.

**Type of Financing:** Project Finance/Equity, Corporate Financing, Mezzanine Financing, Venture Capital

**Sector Served:** All types of Renewables

**Geographic Focus:** North America

**Contact Information:**
Mr. Mark Waller  
President  
1820 north shore road  
Lake Oswego, Oregon 97034

W: 503 635 9309

mtwbwcap@aol.com

**Origin of Funding:** private, money managers, public offerings through our affiliates

**Web Address:**
Catalyst Financial Group, Inc.

Organization: Catalyst has arranged either directly or with its joint venture partners over $2 billion in energy financing. Depending upon the project, Catalyst can serve as financial advisor, investment banker or principal for both debt and equity. Catalyst is particularly interested in US projects $3-15 million that require short term (1-5 year) subordinated debt(with good secondary collateral coverage). Not interested in R & D projects or early stage technologies. Willing to provide 'vendor’ financing ($500K-$10 million per transaction) for proven technologies for manufacturers and vendors with superior products.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Mezzanine Financing, Venture Capital, Private Foundations

Sector Served: Solar, Wind, Geothermal, Biomass power/products, Biofuels, Hydropower, Energy efficiency

Geographic Focus: United States and US based companies with an overseas presence

Contact Information: Mr. Bob Barton
CEO
42 Chelsea Road
Brookfield, VT 5036

W- 802-276-3846
F- 802-276-3947

rbarton@catalyst-financial.com

Origin of Funding: Private

Web Address: www.catalyst-financial.com
CEI Community Ventures

Organization: CCVI was formed to provide equity and operational assistance to qualifying businesses in northern New England. Our mission is to realize a 'triple bottom line' by supporting companies that can demonstrate an ability to meet or exceed our financial, social and environmental aims within our target region. CCVI invests from its own fund in amounts ranging from $100,000 and $500,000. Working with partner funds, we can also help coordinate and/or lead larger financings (as much as $5 million) with investors that share our outlook and criteria.

CCVI is a for-profit subsidiary of Coastal Enterprises Inc. (CEI), a non-profit community and economic development group based in Maine. CEI helped to create legislation that led to the development of a US Small Business Association program known as the New Markets Venture Capital (NMVC) initiative. Part of SBA's long-standing Small Business Investment Company (SBIC) program, NMVC funds are co-funded by SBA and are to be invested in targeted, underserved communities across the US. A $10M venture capital fund, CCVI was one of only seven organizations to receive conditional approval and the third to receive an SBA license to operate this program. In conjunction with its equity sponsorship, SBA also co-funds a $3M Operational Assistance Program designed to support professional services that aid companies in either becoming 'equity ready' or executing their business plan once CCVI has provided venture capital funding. Like the equity program, these OAP resources must be directed to CCVI's target communities.

Type of Financing: Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: Northern New England

Contact Information:
Mr. Michael Gurau
President
2 Portland Fish Pier
Suite 201
Portland, Maine 4101

W-  (207) 772-5356 x123
F-  (207) 772-5503
mhg@ceicommunityventures.com

Origin of Funding: SBA, private investors

Web Address: www.ceicommunityventures.com
Celtis Capital

Organization: Celtis Capital provides industrial, technology and institutional clients with transactional services in relation to mergers and acquisitions, divestitures, corporate financing and asset management. Our services are based on a solid understanding of the energy markets and technologies. We strive towards value creation and liquidity for shareholders through customized strategies and transactions.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Mezzanine Financing, Venture Capital, Other

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information: Mr. Guy Ouimet
Managing Partner
2075 University
Suite 1450
Montreal, Quebec H3A 2L1

W- 514-286-4888
F- 514-286-9496

gouimet@celtiscapital.com

Origin of Funding: Private

Web Address: celtiscapital.com
Center for Smart Energy

Organization: The CSE helps clients accelerate their success through research, advisory services and events:

MARKET RESEARCH on Smart Energy markets, businesses, and products to support investors, businesses, and agencies. Original. Insightful. Actionable.

EXPERT ASSISTANCE with funding, commercialization, business development, and M&A for energy technology developers and businesses.

EVENTS that introduce entrepreneurs, investors, scientists, and customers and preview breakthrough technologies.

CSE’s team combines experience in high-tech, energy, financial analysis, and venture investing. CSE's advisors include internationally known leaders and experts. CSE’s clients include leading energy companies, investors, utilities, and economic development agencies.

Type of Financing: Project Finance/Equity, Corporate Financing, Mezzanine Financing, Venture Capital

Sector Served: All types of Renewables, Energy efficiency

Geographic Focus:

Contact Information: Mr. Jeffry Canin
Vice President
15127 N.E. 24th St., Suite 358
Redmond, WA 98052

W- 425-458-4919
jcanin@centerforsmartenergy.com

Origin of Funding:

Web Address: www.centerforsmartenergy.com
Chadbourne & Parke LLP

Organization: Chadbourne is a large law firm headquartered in New York with other offices in Washington, Houston, Los Angeles, Beijing, Tashkent, Moscow, Kiev, Warsaw and London. The firm has one of the largest practices in the power sector of any law firm in the world.

Type of Financing:

Sector Served: All types of Renewables

Geographic Focus: Worldwide

Contact Information:

Mr. Keith Martin
Partner
1200 New Hampshire Avenue, NW
Suite 300
Washington, DC 20036

W- (202) 974-5600
F- (202) 974-5602
kmartin@chadbourne.com

Origin of Funding:

Web Address: www.chadbourne.com
**Chesapeake Strategic Partners, LLC**

**Organization:** We are a venture development and management consulting firm focusing on Clean Technologies (including clean energy, storage, and efficiency). We work with strategic and institutional investors, government agencies, new ventures, and large corporations. We also represent several large private investors in scouting for opportunities. Please see our website for more information on what we do: www.chesapeakesp.com

**Type of Financing:** Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility, State/Provincial

**Sector Served:** All types of Renewables

**Geographic Focus:** Global, but prefer East Coast of US

**Contact Information:**

<table>
<thead>
<tr>
<th>Mr. Daniel Rafael Coven</th>
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<tbody>
<tr>
<td>Managing Director</td>
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<tr>
<td>510 South Ann Street</td>
</tr>
<tr>
<td>4th Floor</td>
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<tr>
<td>Baltimore, Maryland 21231</td>
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<th>W- 646.263.6820</th>
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<tr>
<th><a href="mailto:drc@chesapeakesp.com">drc@chesapeakesp.com</a></th>
</tr>
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</table>

**Origin of Funding:** Private, Institutional Investors

**Web Address:** www.chesapeakesp.com
Chestnut Capital LLC

**Organization:** Chestnut Capital is a wind energy investor

**Type of Financing:** Project Finance/Equity, Corporate Financing, Venture Capital, Seed Stage/Feasibility

**Sector Served:** Wind

**Geographic Focus:** USA

**Contact Information:**
Mr. David Marcus  
President  
294 Chestnut Street  
West Newton, MA 2465

W- 617-512-7800  
F- 617-527-5608

dmarcus@chestnutcapital.com

**Origin of Funding:** Private

**Web Address:** chestnutcapital.com
ChevronTexaco Technology Ventures

Organization: Corporate Venture Capital

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information:
Mr. Don Riley
Venture Executive
6001 Bollinger Canyon Road
San Ramon, CA 94583

W: 925-842-2794
don.riley@chevrontexaco.com

Origin of Funding: Corporate

Web Address: http://www.chevrontexaco.com/technologyventures/
Chinook Wind

Organization: Chinook Wind is a consulting firm that provides technical advice to the wind energy industry. Chinook Wind provides due diligence review for wind energy projects.

Type of Financing: Other

Sector Served: Wind

Geographic Focus: US

Contact Information: Mr. John Vanden Bosche
Principal Engineer
6571 Lunde Rd
Everson, WA 98247

W- 360-398-2862
F- 360-933-8070
john@chinookwind.net

Origin of Funding:

Web Address: www.chinookwind.net
Chrysalix Energy Management Inc.


With the support of its Partners yet operating independently, Chrysalix offers a unique value proposition to its start-up clients throughout the business planning, start-up and operations phases of development. Chrysalix provides early-stage funding to new companies as well as management assistance, technological knowledge, organized networking with industry players and experience in the management of intellectual property. www.chrysalix.com

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: North America/Europe

Contact Information:

Ms. Christine Bergeron  
Vice President, Investments  
Suite 200  
1682 West 7th Avenue  
Vancouver, BC V6J 4S6

W- (604) 659-5499

cbergeron@chrysalix.com

Mr. Mike Walkinshaw  
Managing Director  
200 - 1682 West 7th Ave  
Vancouver, B.C. V6Z 2W4

W- 604.659.5499

mwalkinshaw@chrysalix.com

Origin of Funding: Limited Partners

Web Address: www.chrysalix.com
Clean Energy Group

Organization: Clean Energy Group (CEG) is a nonprofit organization established in January 1998 to increase the use of cleaner energy technologies in the U.S. and abroad through creative financing, business partnerships, public policy and advocacy. CEG works with nonprofit officials from around the U.S. that are responsible for over $3.5 billion in new clean energy funds. CEG now manages the Clean Energy States Alliance (www.cleanenergystates.org), a new nonprofit organization assisting these funds in multi-state strategies. It also manages the Public Fuel Cell Alliance (PFCA), a new consortium of public fuel cell funders working to collaborate on fuel cell and hydrogen technologies. CEG also works with public officials in Europe interested in trans-Atlantic efforts to build clean energy markets. CEG and its related work through CESA is supported by the state funds, and by major foundations including Oak Foundation, Surdna Foundation, The Emily Hall Tremaine Foundation, Rockefeller Brothers Fund and The John Merck Fund.

Type of Financing:

Sector Served: All types of Renewables

Geographic Focus:

Contact

Information: Mr. Lewis Milford
President
50 State Street
Suite 1
Montpelier, Vermont 5602

W- 802-223-2554
F- 802-223-4967

LMilford@cleanegroup.org

Origin of Funding: Private, Public

Web Address: www.cleanegroup.org
Clean Energy States Alliance

Organization: The Clean Energy States Alliance, or CESA, is a multi-state coalition of seventeen clean energy funds from twelve states working together to develop and promote clean energy technologies and to create and expand the markets for these technologies.

Each member has its own definition of eligible technologies that controls their individual activities, but for purposes of CESA, “clean energy” is defined to include energy production from solar, wind, hydro, biomass, ocean thermal, tidal and wave, fuel cells, and related energy storage and conversion technologies. The state clean energy funds have come to recognize the benefits of joint action that both advance their individual missions and leverage significant economic advantages through collaboration. A website for CESA outlining these activities can be found at www.cleanenergystates.org. CESA is exclusively an alliance of state, and other nonprofit or public benefit, charitable organizations working form environmental improvement through clean energy activities, including public education.

Type of Financing:

Sector Served: All types of Renewables

Geographic Focus:

Contact Information: Mr. Lewis Milford
Executive Director
50 State Street
Suite 1
Montpelier, Vermont 5602

W- 802-223-2554
F- 802-223-4967

LMilford@cleanegroup.org

Origin of Funding: States, Government, Public

Web Address: www.cleanenergystates.org
Clean Power Income Fund

Organization: Clean Power is a publicly traded Canadian entity that provides stable, long-term cash flow to investors from the environmentally preferred generation of electricity.

The Fund invests in power generating facilities throughout North America that use environmentally preferred energy sources such as water, wind, wood-waste and landfill gas.

It is the first Income Fund to be certified under the Environmental Choice Program and to be authorized to carry the EcoLogo label. Clean Power’s asset portfolio currently consists of 42 plants representing over 350 MW’s of renewable power.

Clean Power currently has a market capitalization of approximately $330 million and is listed on the Toronto Stock Exchange.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Construction Financing, Mezzanine Financing

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information: Mr. Stephen Probyn
President & CEO
67 Yonge Street
Toronto, Ontario M5E 1J8

W- 416 777 2800 ext 224
F- 416 777 1190
sprobyn@probyngroup.com

Origin of Funding: Public Company

Web Address: www.cleanpowerincomefund.com
Cleantech Venture Network

Organization: Cleantech Venture Network is a market facilitation service supported by private investors. We believe “clean technologies” – from solar energy to water purification - are the next wave of venture investing. We introduce promising young companies to investors and investors to quality deal-flow. We are developing a community of money managers, business executives, professional advisors, and other stakeholders active in the cleantech venture arena. Our services include venture forums, Venture Monitors and online services to investors and companies.

Type of Financing: Project Finance/Equity, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility, Other

Sector Served: All types of Renewables

Geographic Focus: North America and Europe

Contact Information:
Mr. Keith Raab
CEO
3415 Rafferty Road
Howell, MI 48843

W- 517.223.9607
F- 517.223.9608

keith@cleantechventure.com

Origin of Funding: Private

Web Address: www.cleantechventure.com
Cleantech Venture Network LLC

Organization: Investor backed company representing members with over $3 billion in assets under management focused on clean technologies.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: North America, Europe, China

Contact Information:
Mr. Nicholas Parker
Chairman
352 Sunnyside Ave
Toronto, ON M6R 2R3

W: 416 763 7184

nicholas@cleantechventure.com

Origin of Funding: Private

Web Address: www.cleantechventure.com
Conduit Ventures

Organization: Conduit Ventures is investing in energy technologies, with a focus on fuel cells. We usually invest between $1-3 million and have typically led investments. We have investments in Germany, UK, USA, and Canada.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: North America, UK, Germany

Contact Information: Mr. Daniel Carter
                     Investment Manager
                     Unit B 2nd Floor, Colonial Buildings
                     59-61 Hatton Gardent
                     London, London EC1N 8LS
                     W- +44 207 831 3131
                     F- +44 207 841 5808
                     dcarter@conduit-ventures.com

Origin of Funding:

Web Address: www.conduit-ventures.com
Connecticut Clean Energy Fund

Organization: Renewable energy investment fund.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility, State/Provincial

Sector Served: All types of Renewables

Geographic Focus: New England

Contact Information: Dr. Subhash Chandra
Chief Technology Officer and Managing Director
999 West Street
Rocky Hill, CT 7067

W- 860-563-0015
F- 860-563-6978
subhash.chandra@ctinnovations.com

Origin of Funding: Utility ratepayers

Web Address: www.ctcleanenergy.com
CP Energy Group, LLC

Organization: CP Energy Group, LLC invests in wind related development, from the development phase forward. CP Energy Group will also provide funding for repowering opportunities, as well as acquisitions on wind related investments.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Mezzanine Financing, Public Equity Funds/Asset Management

Sector Served: Wind, Hydropower

Geographic Focus:

Contact Information: Mr. James Stahle
Manager
One Boston Place
Suite 4010
Boston, MA 2108

W- 617-457-3101
F- 617-570-2350
Jstahle@cpenergygroup.com

Origin of Funding: private

Web Address:
Davenport Finance Company

Organization: Davenport Finance Company specializes in financing energy and environmental projects. We service 2 separate and distinct markets.

We team with equipment vendors to offer financial solutions to end-use customers. We originate and place transactions for commercial, institutional, and industrial end-use customers as well as local, state and federal government entities through a network of established funding sources.

We offer project finance to the energy sector through a joint venture with Ferris, Baker Watts. FBW is the largest full-service investment banking firm headquartered in Washington, DC. We provide access to more and lower cost, long-term debt financing which allows project developers to increase their involvement in managing and operating long-term public infrastructure and energy assets. Terms up to 30 years may be available. Transactions that qualify may be eligible for tax exempt financing, which could result in a significantly lower interest rate.

Type of Financing: Project Finance/Debt, Corporate Financing, Federal agencies, State/Provincial

Sector Served: All types of Renewables

Geographic Focus: USA & Canada

Contact Information: Mr. Baker Davenport
President
P.O. Box 7989
Richmond, VA 23231

W- 804-323-6061
F- 703-832-0773

baker.davenport@davenportfinance.com

Origin of Funding:

Web Address: www.davenportfinance.com
Decosimo Corporate Finance

Organization: Decosimo Corporate Finance is a recognized leader providing proven solutions in the following areas:
- Debt & Equity Financing
- Mergers, Acquisitions and Divestitures
- Business Valuation

Our DCF professionals maintain significant relationships with many financial institutions throughout the U.S. and Europe. These financial institutions include banks, finance companies, subordinated debt funds, equity funds and investment banking firms.

DCF also provides a range of additional corporate finance services including:
- Structuring and negotiating transactions
- Performing due diligence services
- Preparing forecasts and projections and assisting in development of assumptions
- Assisting in structuring and securing financing

Since our founding in 1971, Decosimo Corporate Finance (DCF) has advised clients on transactions totaling in excess of $15 billion.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: USA, Europe

Contact Information:

Mr. Juraj Ujhazy
Manager
Two Union Square
Tallan Bldg., #900
Chattanooga, TN 37402

W- 423-266-1459
jurajujhazy@decosimo.com

Origin of Funding: Private

Web Address: http://www.decosimo.com
Dexia Credit Local

Organization:

Type of Financing: Project Finance/Debt, Project Finance/Equity

Sector Served: All types of Renewables

Geographic Focus:

Contact Information:
Mr. Michael Midden
Vice President
445 Park Avenue
New York, NY 10022

W- 212 515 7068

mmidden@dexia-us.com

Origin of Funding:

Web Address:
Distributed Generation Systems

Organization: Project developer utilizing Renewable energy and distributed generation technologies.

Type of Financing: Project Finance/Debt, Project Finance/Equity

Sector Served: Solar, Wind, Biomass power/products

Geographic Focus: United States

Contact Information:
Mr. Dale Osborn
President/Owner
200 Union Blvd. Ste 304
Lakewood, Colorado 80228
W- 303-531-5523
dosborn@disgenonline.com

Origin of Funding: Institutional, investors

Web Address: www.disgenonline.com
Draper Fisher Jurvetson

Organization:

Type of Financing: Venture Capital, Seed Stage/Feasibility

Sector Served:

Geographic Focus:

Contact Information:
Mr. Raj Atluru
Managing Director
2882 Sand Hill Rd., Ste. 150
Menlo Park, CA 94025

W- 650-233-9000
F- 650-233-9233

mail@dfj.com

Origin of Funding:

Web Address: www.dfj.com
DTE Energy Ventures

Organization: DTE Energy Ventures is the corporate venture arm of DTE Energy Company, a diversified energy company based in Detroit, Michigan.

DTE Energy Ventures invests equity into advanced energy technology companies in the areas of distributed energy resources and clean energy.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: North American

Contact Information:
Mr. Ryan Waddington
Project Director
2000 2nd Ave. SE
852WCB
Detroit, MI 48226

W- 313-235-7015
F- 313-235-4867

waddingtonr@dteenergy.com

Origin of Funding: Corporate

Web Address: www.dteenergy.com
Dundee Securities Corporation

Organization: Dundee Securities Corporation is a full service investment dealer with over 3,700 financial advisors and $40 billion in assets under management and administration. Dundee has been very active in the renewable power sector leading the most equity financings for renewable power companies listed in Canada.

Type of Financing: Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: Global

Contact Information: Mr. Sasha Jacob
Power & Infrastructure Investment Banking
20 Queen St. West
Suite 400
Toronto, Ontario M5H 3R3

W- 416-350-3281
F- 416-350-3312
sjacob@dundeesecurities.com

Origin of Funding:

Web Address:
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<tbody>
<tr>
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<tr>
<td><strong>Geographic Focus:</strong></td>
</tr>
</tbody>
</table>
| **Contact Information:** | Mr. Richard Hagemann  
Vice President  
609 Fifth Avenue  
New York, New York 10017 |
| | W- 212-745-1523  
F- 212-745-1552  
richard.hagemann@dzbank.de |
| **Origin of Funding:** | |
| **Web Address:** | |
Earthrise Capital Fund

**Organization:**
Earthrise Capital Fund is a venture capital fund focused on novel technologies which enhance resource efficiency and reduce pollution. The areas of interest include distributed power generation, including renewable energy, power conditioning, energy storage, energy information technologies, and environmental technologies, including sustainable agriculture and natural foods.

**Type of Financing:**
Venture Capital

**Sector Served:**
All types of Renewables

**Geographic Focus:**
U.S

**Contact Information:**
Ms. Ann Partlow
Managing Partner
30 Rockefeller Plaza
55th Floor
New York, N.Y. 10112

W- 212 649 5878
F- 212 649 1769
apartlow@rockco.com

**Origin of Funding:**
Private

**Web Address:**
Easenergy (EDF Group)

Organization:

Type of Financing: Corporate Financing, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus:

Contact Information: Mr. DidierGras

CA 95008

dgras@easenergy.com

Origin of Funding:

Web Address: www.easenergy.com
EcoElectron Ventures

Organization: EcoElectron Ventures is a seed stage capital investment fund established to commercialize promising clean energy technologies located in San Diego, California. We provide strategic planning, business management and cash equity to start-up and very early stage companies.

Type of Financing: Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: San Diego County, California

Contact Information: Mr. Josh Lampl
President
1106 Second Street
Suite 212
Encinitas, CA 92024

W- 760 635-1681

josh@ecoelectron.com

Origin of Funding:

Web Address: www.ecoelectron.com
Ecologic Leasing Solutions

Organization:
We are an equipment finance outsourcing company serving equipment vendors and service providers. We are not a leasing company nor are we a broker - we work exclusively for our clients, who are vendors of products or services. We function as the internal leasing department with a focus on helping grow sales - enabling vendors to take control of the financing terms of their sales. We offer financing solutions for renewable energy and distributed generation applications and are currently involved with a variety of players throughout this ecosystem: ESCOs, Genset manufacturers, fuel cell companies, developers, and end-users (off-takers who are either corporations or power companies).

We have developed an innovative technique of financing the capital costs embedded in a service agreement using the customer’s credit – not the service providers. Ask us about the Service Agreement Financing Program. We also provide traditional operating and capital leasing programs for small, medium, and large ticket transactions.

We bring the capabilities and sophistication of a leasing company to vendors, enabling them to break the grip of monopolistic and predatory leasing organizations and drive down the cost of capital through competition. For example, we work with over 200 funders around the world - using the power of competition to reduce the cost of capital and to maximize the number of deals in our clients pipeline that get funded. We do have a track record of completing complex transactions involving poor credits. We also provide a fully-automated transaction processing solution via the web enabling vendors to turn credit applications to cash quickly and easily, while tracking all of the documents and details for audit purposes.

Type of Financing: Project Finance/Debt

Sector Served: All types of Renewables

Geographic Focus: Americas and Europe

Contact Information:
Mr. Michael Keeler
President and CEO
726 Forest Park Road
Suite 100
Great Falls, VA 22066

W- 703-929-8343
F- 514-397-8839

keeler@ecologicleasing.com

Origin of Funding: Private and public funders including leasing companies, banks, insurance companies, and private investors.

Web Address: www.ecologicleasing.com
Edison Capital

Organization: Edison Capital is a provider of capital and financial services for global power and infrastructure projects, and is a leading investor in affordable housing developments. We are a subsidiary of Edison International, an electric industry holding company with headquarters in Southern California. Edison Capital has equity investments in 145 MW of wind energy projects and is actively seeking new investments.

Type of Financing: Project Finance/Equity, Construction Financing, Mezzanine Financing

Sector Served: All types of Renewables, Solar, Wind, Geothermal, Biomass power/products

Geographic Focus: North America

Contact Information: Mr. Randolph P. Mann
Investment Director
18101 Von Karman Ave., Suite 1700
Irvine, California 92612-1046

W- (949) 757-2404
F- (949) 757-4888
rmann@edcap.com

Origin of Funding: Private

Web Address:
**EIF Group**

**Organization:** EIF is the oldest and largest investment management firm specializing in providing risk capital to the power generation and transmission industries. With more than $1 billion under management, it serves the power sector from principal offices in Boston, New York and San Francisco.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing

**Sector Served:** All types of Renewables

**Geographic Focus:**

**Contact Information:**

<table>
<thead>
<tr>
<th>Mr. K.R. Locklin</th>
<th>Mr. Herbert Magid</th>
</tr>
</thead>
<tbody>
<tr>
<td>Advisor</td>
<td>Managing Partner</td>
</tr>
<tr>
<td>11084 TThrush Ridge Rd.</td>
<td>Three Charles River Place</td>
</tr>
<tr>
<td>Reston, VA 20191</td>
<td>63 Kendrick Street</td>
</tr>
<tr>
<td>W- 703-476-1561</td>
<td>W- 781-292-7001</td>
</tr>
<tr>
<td>F- 781-292-7099</td>
<td></td>
</tr>
<tr>
<td><a href="mailto:klocklin@eifgroup.com">klocklin@eifgroup.com</a></td>
<td><a href="mailto:hmagid@eifgroup.com">hmagid@eifgroup.com</a></td>
</tr>
</tbody>
</table>

**Origin of Funding:** institutional investors, pension, etc.

**Web Address:** www.eifgroup.com
Enercorp LLC

Organization: Enercorp conducts project development, project management, and project finance for utility grade wind projects and distributed generation based upon renewable energy. We operate in the USA and have a unique reputation for projects in emerging markets abroad.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Venture Capital, Seed Stage/Feasibility, Export Credit Financing

Sector Served: Solar, Wind, Biomass power/products, Energy efficiency

Geographic Focus: US Midatlantic, NE, SW, West & International

Contact Information:
Mr. Alexander Karsner
Managing Director
1101 Pennsylvania Avenue
Sixth Floor
Washington, DC 20004

W- 202-756-7739
F- 202-478-1681

karsner@enercorp.us

Origin of Funding: private

Web Address: www.enercorp.us
## Energy Asset Development Inc

**Organization:** We want to discuss projects of scope from $5 million and up. As long as there is positive cash flow from the project, with adequate debt-coverage ratio, we will structure non-recourse financing for the project.

For international projects, we have a preference for low political risk arenas.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Export Credit Financing, Other

**Sector Served:** All types of Renewables, Wind, Biomass power/products, Hydropower, Energy efficiency

**Geographic Focus:** US and International

**Contact Information:**

<table>
<thead>
<tr>
<th>Title</th>
<th>Name</th>
<th>Address</th>
<th>Phone</th>
<th>Fax</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mr. Paul Sood</td>
<td>President</td>
<td>9415 Bay Colony Dr</td>
<td>847-270-7626</td>
<td>775-317-9726</td>
<td><a href="mailto:psood@energyassets.com">psood@energyassets.com</a></td>
</tr>
</tbody>
</table>

**Origin of Funding:**

**Web Address:**

76
Energy Financing, Inc.

Organization: Energy Financing, Inc. specializes in design, build, own, operate financing in the form of services agreements. Our financing is designed to adapt to individual deal structures whereas traditional financing structures force the deal to conform to the financing. We have no top end limit on project size.

Type of Financing: Project Finance/Debt, Corporate Financing, Construction Financing, State/Provincial, Other

Sector Served: All types of Renewables

Geographic Focus: USA/Canada

Contact Information: Mr. Marty Markl
President
777 Taylor St.
Suite 102
Fort Worth, Texas 76102

W- 817-335-7774
F- 817-335-7776

martym@tradesmarklease.com

Origin of Funding: Private

Web Address:
Energy Insurance Brokers

Organization: Twenty years experience as a Corporate Member of the American Wind Energy Association and our insurance programs mitigate the risk for Equity partners in large wind energy projects.

Type of Financing: Insurance

Sector Served: All types of Renewables

Geographic Focus: US and worldwide

Contact Information: Mr. Bob Fox
Partner
1525 Mel Avenue
Palm Springs, CA 92262

W- 760-325-6205
F- 760-325-9545
energyins@att.net

Origin of Funding: Institutional

Web Address: www.energyinsurancebrokers.com
EnerTech Capital Partners

Organization: EnerTech Capital Partners invests in private, high growth companies focused primarily on energy technologies including renewable energy resources, emissions controls, distributed generation, energy demand response, grid reliability and efficiency and other clean technologies. EnerTech focuses on early to expansion stage companies but also considers seed and later stage opportunities. Central to our investment decisions are proven management teams with proprietary, defensible technologies offering a compelling value proposition to markets the EnerTech team knows well. EnerTech does not invest in commodities, power plants or other operating energy infrastructure assets.

Founded in 1996, EnerTech manages capital commitments of nearly $300 million and is now planning its third fund focused on the energy and clean technology sectors.

Type of Financing: Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information: Mr. David Lincoln  
Managing Director  
435 Devon Park Drive  
Building 700  
Wayne, PA 19087  
W- 610-254-4141  
F- 610-254-4188  
dlincoln@enertechcapital.com

Mr. Tucker Twitmyer  
Partner  
435 Devon Park Drive  
Bldg 700  
Wayne, PA 19087  
W- 610-254-4141  

twitmyer@enertechcapital.com

Origin of Funding: Private Investors and corporations

Web Address: www.enertechcapital.com
England & Company

Organization: England & Company is a merchant banking firm that arranges institutional private placements of equity and debt, provides M&A advisory services, and selectively makes principal investments in companies where doing so can provide a unique value to all parties involved. England & Company focuses on four key industries: renewable energy, software technology, telecommunications and financial services.

Type of Financing: Corporate Financing, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information: Mr. Craig England
Managing Director
1725 I Street, NW
Suite 300
Washington, DC 20006

W- (202) 349-3750
F- (202) 349-3899

cwengland@englandsecurities.com

Origin of Funding: Institutional Investors & Partners

Web Address: www.englandsecurities.com
Environmental Banc & Exchange

**Organization:**
We invest in, and provide financing to, small and mid size renewable energy projects. Also, we are involved in the purchase and sale of a variety of environmental and renewable energy credits and offsets.

**Type of Financing:**
Project Finance/Equity, Venture Capital, Project Finance/Debt

**Sector Served:**
All types of Renewables

**Geographic Focus:**
USA

**Contact Information:**
Mr. Thom Rinker
Principal
10055 Red Run Blvd.
Suite 130
Owings Mills, MD 21117

W- 410-356-5159
F- 410-356-5822

thom@ebxusa.com

**Origin of Funding:**
Private

**Web Address:**
www.ebxusa.com
**EnviroTech Financial, Inc**

**Organization:** EnviroTech provides domestic and international financing on all types of energy equipment and projects, including renewables. Consumer financing is not available.

Terms ranging from 3 to 15 years, with many different types of finance structures and debt repayment methods are available including level payments, deferred payments, step payments and various residual options that can meet tax and accounting guidelines.

**Type of Financing:** Corporate Financing, Export Credit Financing, Federal agencies, State/Provincial

**Sector Served:** All types of Renewables

**Geographic Focus:** International

**Contact Information:**

- Mr. Gene Beck
- President
- 333 City Blvd W 17th Fl
- Orange, CA 92868

- W- (714) 532-2731
- F- (714) 459-7492
- gbeck@etfinancial.com

**Origin of Funding:** Private & Government

**Web Address:** www.etfinancial.com
Ernst & Young

Organization: Audit, tax, transaction services, corporate finance, online security, enterprise risk management, and other specialty services.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility, Export Credit Financing, Private Foundations, Federal agencies,

Sector Served: All types of Renewables

Geographic Focus: Worldwide

Contact Information:
Mr. Sean Daly  
Partner  
370 17th Street  
Suite 3300  
Denver, Colorado 80202  
W- 720-931-4000  
F- 720-931-4444  
sean.daly@ey.com

Mr. Jonathan Johns  
Partner  
Broadwalk House  
Southernhay West  
Exeter, Devon  
W- +44 1392 284 300  
F- +44 1392 284 302  
renewable@uk.ey.com

Origin of Funding:

Web Address: www/ey.com/renewables
Evolution Markets LLC

Organization: Evolution Markets LLC provides assistance to renewable energy project developers seeking to market their green attributes and works closely with utilities, large corporations, local governments, and other institutions to execute green power procurement strategies.

Evolution Markets is the highest volume broker in the domestic compliance markets for renewable energy certificates in Texas, PJM, and NEPOOL. The company has become the leading OTC brokerage of green tags by promoting new markets, educating and engaging new market participants, and fostering price transparency. We have brokered more volume in these markets than any other firm, including the first transactions of Connecticut and Texas RECs.

Evolution Markets has also revolutionized the brokerage of green tags in the national voluntary market for tradable renewable certificates (TRCs). Evolution Markets was the first to bring full price discovery to the market with the creation of a Web-based bulletin board that posts bids and offers from buyers and sellers of voluntary TRCs and the distribution of free monthly price reports. The company has lowered costs to buyers and promoted efficiency in the marketplace through its groundbreaking relationship with the Green-e certification program.

Type of Financing: Other

Sector Served: All types of Renewables

Geographic Focus: Western U.S.

Contact Information:

| Mr. Lenny Hochschild | Ms. Anna Giovinoetto |
| Director | Director, Renewable Energy |
| 425 Market Street | 10 Bank Street |
| Suite 2200 | White Plains, NY 10606 |
| San Francisco, CA 94105 |

| W- 415.955.0561 | W- 914.323.0255 |
| F- 914.328.3701 | F- 914.328.3701 |
| lennyh@evomarkets.com | agiovinoetto@evomarkets.com |

Origin of Funding: REC trading markets

Web Address: www.evomarkets.com/rec
Ewing Bemiss & Co.

Organization: Ewing Bemiss & Co. is a private investment banking firm that provides advisory services for sales and divestitures, acquisitions and privately placing equity and debt securities. EB&Co. has a specific focus on the renewable/alternative energy market and has served clients in the biomass (wood waste) to energy, landfill gas to energy, wind and organic waste to energy sectors.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Mezzanine Financing

Sector Served: All types of Renewables

Geographic Focus: USA

Contact Information: Ms. Mary Bacon
Managing Director
901 E. Byrd Street, Suite 1650
Richmond, VA 23219

W- 804-780-1900
F- 804-780-1901
m.bacon@ewingbemiss.com

Origin of Funding:

Web Address: www.ewingbemiss.com
FA Technology Ventures

Organization: FA Technology Ventures provides equity capital for early and expansion stage information and energy technology companies. We look for companies founded on technological breakthroughs or innovative business models that are led by experienced passionate entrepreneurs. We play a lead role in investments and actively look to add value by advising and partnering with management teams to maximize their chance for success.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information: Mr. Chris Thompson Mr. Kenneth Mabbs
Senior Associate Managing Partner
30 South Pearl Street 100 Federal Street, 33rd floor
Albany, NY 12207 Boston, MA 02110-1822

W- 518-447-8545 W- 617-757-3880
F- 518-447-8139

chris@fatechventures.com kcn@fatechventures.com

Origin of Funding:

Web Address: www.fatechventures.com
### Focus on Energy

**Organization:** Provide information & marketing, education & training, project facilitation, market development and project financing for renewable energy markets in Wisconsin. Targeted markets are residential PV, commercial solar hot water, commercial wind, farm biogas, commercial bioenergy for heat.

**Type of Financing:** Public Equity Funds/Asset Management, State/Provincial

**Sector Served:** Solar, Wind, Biomass power/products, Hydropower

**Geographic Focus:** Wisconsin

<table>
<thead>
<tr>
<th>Contact Information</th>
<th>Mr. Don Wichert</th>
<th>Mr. Niels Wolter</th>
</tr>
</thead>
<tbody>
<tr>
<td>Director, Renewable Energy Programs</td>
<td>Financial program lead</td>
<td></td>
</tr>
<tr>
<td>211 S. Paterson</td>
<td>7507 Hubbard Ave., Suite 200</td>
<td></td>
</tr>
<tr>
<td>Madison, WI 53703</td>
<td>Middleton, WI 53562</td>
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<td></td>
<td>F- 608.836.1290</td>
<td><a href="mailto:donw@weccusa.org">donw@weccusa.org</a></td>
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<tr>
<td></td>
<td></td>
<td><a href="mailto:wolter@msbnrg.com">wolter@msbnrg.com</a></td>
</tr>
</tbody>
</table>

**Origin of Funding:** Electric rate payers

**Web Address:** [www.focusonenergy.com](http://www.focusonenergy.com)
Foley & Lardner LLP

Organization: Foley & Lardner LLP is a national US law firm with over 900 attorneys in 16 US offices and in Brussels and Tokyo. Foley has a well known energy law and energy finance practice, specializing in the development, acquisitions and financings of all types of power projects.

Foley has one of the leading US legal practices in the wind energy sector, and in renewables generally. Foley is experienced assisting in joint ventures, investments and acquisitions of wind projects, power purchase agreements, turbine supply and construction contracts, and project financings, including IRC Section 45 Production Tax Credit Financing Structures.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility, Federal agencies, State/Provincial, Insurance

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information: Mr. James Tynion
partner
777 East Wisconsin Ave
Milwaukee, Wisconsin 53202-5306

W- 414-297-5665
F- 414-297-4900
jtyinion@foley.com

Origin of Funding:

Web Address: www.foley.com
Fortis Capital Corp.

Organization: Fortis: Leader in financing and advising on wind energy plants worldwide.
- Seven-year track record in wind energy financing and advisory.
- Closed 19 wind farm project financings to date representing nearly 1,500 MW and $2 billion in debt. Current wind energy portfolio is in excess of $500 million.
- Advised on wind projects with a total capacity of over 2,100 MW.
- Acted as Arranger, Advisor, Underwriter and Book Runner on wind projects closed in UK, Spain, Italy, Greece, Ireland, Netherlands, California, Minnesota, Iowa, Wyoming, Texas.
- Arranged a variety of wind power financing structures, including (i) amortizing term loans, (ii) construction financing, (iii) portfolio financing, (iv) multiple tranche facilities, (v) private placements, and (vi) tax-driven structures.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Export Credit Financing

Sector Served: Wind, Geothermal, Hydropower

Geographic Focus: North America

Contact Information:

Mr. Chris McCall
Vice President
Three Stamford Plaza
301 Tresser Blvd.
Stamford, Connecticut 6901
W- 203/705-5729
F- 203/705-5919
chris.mccall@fortiscapitalusa.com

Mr. Paul Naumann
Managing Director
Three Stamford Plaza
301 Tresser Blvd
Stamford, CT 6901
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paul.naumann@fortiscapitalusa.com

Mr. William Marder
Assistant Vice President
301 Tresser Blvd. 8th Floor
Stamford, CT 06901
W- 203-705-5843
F- 203-705-5919
william.marder@fortiscapitalusa.com

Origin of Funding:

Web Address: www.fortiscapitalusa.com
Garage Technology Ventures

Organization: Garage Technology Ventures is a seed and early-stage venture fund focused on technology companies in IT, cleantech, and nanotechnology.

Type of Financing: Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: USA

Contact Information:
Mr. Matt Horton
Director, Emerging Technologies
3300 Hillview Ave., Suite 150
Garage Technology Ventures
Palo Alto, CA 94304

W- 650 354-1852
F- 650 354-1801
matt@garage.com

Origin of Funding: Private, Pension Funds

Web Address: www.garage.com
GE Energy

Organization: Wind turbine manufacturer and services provider.

Type of Financing: Other

Sector Served: Wind

Geographic Focus: USA, Canada, Mexico, Brazil

Contact Information: Mr. Timothy Lasocki
Marketing Manager, Americas
13000 Jameson Road
Tehachapi, California 93561

W- 661-823-6751
timothy.lasocki@ps.ge.com

Origin of Funding:

GE Energy Financial Services

Organization: GE Commercial Finance’s Energy Financial Services is a global leader in energy investing and financing. For more than 25 years, we’ve been providing innovative financial products that span the capital structure, including structured equity, leveraged leasing, partnerships and project finance. Our current energy investments exceed $12 billion. We provide between $2 to $3 billion of new capital each year tailored to our customers’ needs - big or small.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Mezzanine Financing, Other

Sector Served: All types of Renewables

Geographic Focus:

Contact Information: Mr. Daniel Gross
Senior Vice President - Renewables
120 Long Ridge Road
Stamford, CT 6927

W- 1-203-357-4376 x 4935
daniel.gross@ge.com

Origin of Funding: Principal Investors

Web Address: www.geenergyfinancialservices.com
GENCOA / Simplicity Energy Farms

Organization: GENCOA New Markets Financial Inc. is a Community Development Entity certified by the U.S. Treasury to participate in the $15 Billion rural investment incentive program known as the New Markets Program. GENCOA syndicates tax advantaged renewable private utility partnerships for the development of farm-based renewable energy resources. Simplicity Energy Farms, an affiliate of GENCOA, constructs and manages these generation resources consisting of PV solar, wind and biofuels.

Type of Financing: Project Finance/Equity, Construction Financing

Sector Served: Solar, Wind, Biomass power/products, Biofuels

Geographic Focus: Western US -- Rural

Contact Information: Mr. Joseph Deni II
Chairman
2950 E. Stanford Dr.
Englewood, CO 80113
W- 303-783-1051
gencoabank@yahoo.com

Origin of Funding: Private

Web Address: www.simplicityenergy.com
Global Change Associates Inc.

Organization: Financial advisory on green trading markets for renewable energy and GHG reductions. Work with project developers, electric utilities, oil & gas companies, investment banks and vcs on renewable energy credit and GHG emission securitization. Provide advisory services on Green Trading market-based solutions to renewable energy projects and GHG reductions through financial engineering techniques of capital markets. Identify REC and Carbon offsets for project developers. Sister company is www.greentradingsummit.com

Type of Financing: Other

Sector Served: All types of Renewables

Geographic Focus: Americas & Asia

Contact Information: Mr. Peter Fusaro Chairman PMB #385, 2565 Broadway New York, NY 10025

W-  212-316-0223  
F-  212-222-0550  

peterfusaro@global-change.com

Origin of Funding: Private

Web Address: www.global-change.com
Global Energy Concepts

Organization: Global Energy Concepts (GEC) is an engineering consulting firm that specializes in wind energy. GEC provides independent engineering and due diligence services to investors in the wind industry.

Type of Financing: Other

Sector Served: Wind

Geographic Focus: worldwide

Contact Information: Mr. Robert Poore
President
5729 Lakeview Dr. NE
Kirkland, Washington 98033

W- 425-822-9008
F- 425-822-9022
rpoore@globalenergyconcepts.com

Origin of Funding:

Web Address: www.globalenergyconcepts.com
Global Environment Fund

Organization:
Global Environment Fund (GEF) is an international private equity firm established in 1989 to invest in, and provide management support to, companies that make positive contributions to environmental quality, human health and the sustainable management of natural resources. Through its private equity investment funds, individually managed accounts and principal investment activities, GEF has completed more than 30 private equity or early stage investments in businesses operating in a broad array of economic sectors and in all major geographical regions of the world. Today, the firm’s private equity portfolio includes controlling or major interests in fast-growing, entrepreneurial companies whose aggregate sales are in excess of $2 billion.

GEF is currently managing a group of private equity investment funds, including: two funds dedicated to basic environmental infrastructure in emerging markets, a fund investing in companies that promote improved healthcare delivery in Latin America, and a U.S. fund focusing on technologies that promote improved efficiency in industrial processes, energy generation and telecommunications fields. In addition, through its own capital investment vehicle, Global Environment Capital Company, LLC, GEF develops, finances and takes controlling interests in principal investments for its own account. The capital available for investment through GEF’s equity investment programs exceeds $300 million.

Sectors of interest to GEF include, among others, renewable energy, clean energy and clean technology.

Type of Financing: Venture Capital
Sector Served: All types of Renewables
Geographic Focus: Global
Contact Information: Mr. Samrat Ganguly
Director of Corporate Investments
1225 Eye Street, NW
Suite 900
Washington, District of Columbia 20005

W- +1 (202) 789-4500 ext. 108
F- +1 (202) 789-4508
sganguly@globalenvironmentfund.com

Origin of Funding: Private
Web Address: www.globalenvironmentfund.com
Good Energies Inc.

**Organization:** Good Energies is a Private Investment company that invests in Solar PV or Wind companies. Good Energies will typically take a minority stake and work as an active investor with the management team in place.

**Type of Financing:** Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

**Sector Served:** Solar, Wind

**Geographic Focus:** Global

**Contact Information:**
Mr. Titus Brenninkmeijer  
Managing Partner  
2275 Huntington Drive  
#302  
San Marino, California 91108

W- 626-432-5470  
F- 626-628-3734

titus.brenninkmeijer@goodenergies.com

**Origin of Funding:** Private

**Web Address:** www.goodenergies.com
GreenTrading Inc.


Type of Financing: Other

Sector Served: All types of Renewables, Energy efficiency

Geographic Focus: North America

Contact Information: Ms. Marion Yuen
Managing Director
268 Berkeley Place
Brooklyn, NY 11217

W- 718-230-5402
F- 718-230-4798

myuen@greentrading.biz

Origin of Funding:

Web Address: www.greentradingsummit.com; www.greentrading.biz
Halo Energy Ltd

Organization: London based Halo Energy Ltd is the first independent marketing communications consultancy for renewable energy projects. Halo is co-organiser of the Renewable Energy Finance Forum (REFF) and provides strategic advice to private companies and public sector institutions.

Our dedicated, multilingual team has extensive knowledge and experience in working in local and global markets. Brochures are available in English, Spanish and German.

Type of Financing: Export Credit Financing, Other

Sector Served: Solar, Wind, Biomass power/products, Energy efficiency, Ocean energy

Geographic Focus: UK, USA, Spain, Germany, Ireland

Contact Information: Mr. Ralph Kappler
Managing Director
31 Orpington Road
N21 3PD
London, England N21 3PD

W- 0044 8707442591

rkappler@halo-energy.com

Origin of Funding: Private

Web Address: www.halo-energy.com
Hydrogen Ventures LLC

Organization: We assist in building world-class companies that are developing products for a cleaner environment. Our product focus includes advanced transportation technologies, distributed power generation, advanced energy storage, enabling technologies, renewable energy, clean fuels, fuel cells and hydrogen. We offer services in program planning & management, business development, technical evaluation and attracting capital.

Type of Financing: Corporate Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Federal agencies, State/Provincial

Sector Served: All types of Renewables

Geographic Focus: California, South West

Contact Information: Mr. Elias Azrak
Managing Member
400 Continental Blvd

El Segundo, California 90245

W- 310 426.2628
F- 310 426.2001

eazrak@hydrogen.la

Origin of Funding: Private Equity Funds, Government

Web Address: www.hydrogen.la
ICF Consulting

**Organization:** ICF Consulting provides forward forecasts of REC prices based on a fundamental analysis of the resource availability and cost of renewable power and the underlying commodity power market dynamics. We are also able to advise on the type of renewables being built in specific regional and state markets and the relative competitiveness of specific projects.

**Type of Financing:** Other

**Sector Served:** All types of Renewables

**Geographic Focus:** US and EU

**Contact Information:**
Mr. Steve Fine
Director
9300 Lee Highway
Fairfax, Virginia 22031

W- 703-934-3302
F- 703-934-3968

sfine@icfconsulting.com

**Origin of Funding:**

**Web Address:** www.icfconsulting.com
Idealab

Organization: Idealab is a creator and operator of technology businesses. In addition to capital, we provide a range of resources to our operating companies to improve their ability to develop, produce and market their respective products and services.

We are actively evaluating energy technologies, including solar, wind, energy efficiency and others, that we can build companies around and can commercialize.

Type of Financing: Seed Stage/Feasibility

Sector Served: Solar, Wind, Biomass power/products, Biofuels, Hydropower, Energy efficiency

Geographic Focus: USA

Contact Information:
Mr. Andrew Friendly
Director, Energy Ventures
130 West Union

Pasadena, CA 91103

W- (626) 685-4913
F- (626) 535-2806

Friendly@idealab.com

Origin of Funding: Private

Web Address: www.idealab.com
IF, LLC

Organization: IF, LLC conducts due diligence, prepares valuation strategies and assists in the negotiation of financing. IF, LLC recruits partners to syndicate an investment. We have successfully supported fund raising for fuel cells, remote power projects, energy storage technology and demonstration efforts. We work fast, at a fixed price and use a team of associates that stand by their assessments without weasel worded legalisms.


Sector Served: All types of Renewables

Geographic Focus: Worldwide

Contact Information: Mr. David Haberman
President
P.O. Box 812291
Boca Raton, FL 33481
W- 561-989-9494
F- 561-989-9493
ifdhllc@aol.com

Origin of Funding: Multiple

Web Address: www.ifllc.net
Illinois Clean Energy Community Foundation

Organization: The Illinois Clean Energy Community Foundation was created in 1999 to improve energy efficiency, advance the development of renewable energy resources and protect natural areas and wildlife habitats throughout Illinois. Funds are distributed through grants to 501(c)(3) nonprofit organizations and local or state government entities. Through May 2004, Illinois Clean Energy has awarded 924 grants totaling $54.5 million to support projects in 88 of Illinois' 102 counties.

Illinois Clean Energy's largest programs are targeted at improving the energy efficiency of public facilities throughout the state, including K-12 schools, libraries, park buildings, child care centers, and college teaching and research buildings. Those programs support installation of energy efficient lighting, 'green' design and commissioning of new and remodeled buildings, and use of high-efficiency equipment, such as geothermal HVAC systems.

Approximately 20% of the Illinois Clean Energy's grant funding supports renewable energy projects, including community wind projects, solar PV & solar thermal systems, and biomass energy projects. Support for utility-scale wind projects is provided by the Illinois Finance Authority through its Renewable Energy Development Fund, which was capitalized by a grant from Illinois Clean Energy.

Type of Financing: Private Foundations

Sector Served: Solar, Wind, Geothermal, Biomass power/products, Energy efficiency

Geographic Focus: Illinois

Contact Information:

Mr. Ed Miller
Program Director
2 N. LaSalle St.
Suite 950
Chicago, IL 60602

W- 312-372-5191
F- 312-372-5190
emiller@illinoiscleanenergy.org

Origin of Funding: $225 million endowment from Commonwealth Edison

Web Address: www.illinoiscleanenergy.org
Illinois Finance Authority

Organization: The Illinois Finance Authority (IFA) supports renewable energy development in Illinois through its Renewable Energy Development Fund (RED Fund). The first financial product IFA offers through the RED Fund is a Wind Farm Debt Service Reserve Guarantee.

Through the Wind Farm Debt Service Reserve Guarantee, IFA may provide long-term, no- or low-interest loans or guarantees to cover up to half of the debt service reserve required by a wind power generation project's primary lender(s). The amount of the loan or guarantee is up to $60,000 per megawatt of nameplate generating capacity and is capped at $3 million for any one project.

Origin of funding: The RED Fund was capitalized by a $6 million grant from the Illinois Clean Energy Community Foundation.

Type of Financing: Project Finance/Debt, State/Provincial

Sector Served: Wind

Geographic Focus: Illinios

Contact Information: Mr. Richard K. Frampton
Senior Program Administrator
233 South Wacker Dr., Suite 4000
Chicago, IL 60606

W- 312-627-1434
rframpton@il-fa.com

Origin of Funding:

Web Address: www.il-fa.com
International Business Strategies

Organization: Executive management consulting and financing services provided on traditional and renewable energy projects. IBS has experience in over 25 countries including the U.S., Canada, and Mexico. Specialized expertise is available in Latin America, Europe, Asia, and Australia. Extensive financing experience in the private and government sectors. Thorough due diligence, business development, marketing expertise, and financial modelling exists within IBS.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility, Private Foundations, Federal agencies, State/Provincial

Sector Served: All types of Renewables

Geographic Focus: USA and International

Contact Information: Ms. Julie Smith
President
1219 17th St. Suite B
Golden, Colorado 80401

W- 303-279-1419
F- 303-215-1709

IBSJHS@earthlink.net

Origin of Funding: Private

Web Address:
IUEP

Organization: The mission of the International Utility Efficiency Partnerships, Inc. (IUEP) is to identify, promote, manage, and quantify benefits of environmentally-friendly energy development projects. In addition, the program provides a window on developments in the world's fastest growing emerging markets and access to new business opportunities.

Type of Financing: Project Finance/Debt, Project Finance/Equity

Sector Served: All types of Renewables

Geographic Focus: International Projects

Contact Information: Mr. Ronald C Shiflett
Executive Director
2000 L Street, NW, Suite 805
Washington, DC 20036

W- 202-2937992
F- 202-478-2525
RShiflett@iuep.org

Origin of Funding: Government

Web Address: www.iuep.org
Jane Capital Partners

**Organization:** Jane Capital is an investment and merchant bank focused on energy and environmental technology and projects.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility

**Sector Served:** All types of Renewables

**Geographic Focus:** US and Asia Pacific

**Contact Information:**

<table>
<thead>
<tr>
<th></th>
<th>Mr. Neal Dikeman</th>
<th>Mr. John Voltz</th>
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<tbody>
<tr>
<td></td>
<td>Partner</td>
<td>Principal</td>
</tr>
<tr>
<td>505 Montgomery, 2nd Floor</td>
<td>505 Montgomery 2nd floor</td>
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<tr>
<td>San Francisco, CA 94111</td>
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<th></th>
<th>W-  415 277 0180</th>
<th>W-  (415) 277-0180</th>
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<td></td>
<td>F-  (415) 277-0173</td>
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</tbody>
</table>

|            | dikeman@janecapital.com | voltz@janecapital.com |

**Origin of Funding:** Private

**Web Address:** www.janecapital.com
KEMA-XENERGY

**Organization:** We work with renewable generators, marketers and state agencies to support policy and market mechanisms to expand renewable generation.

**Type of Financing:** Other

**Sector Served:** All types of Renewables

**Geographic Focus:** USA

**Contact Information:**
Ms. Julie Blunden  
Vice President  
492 9th St.  
Ste. 200  
Oakland, CA 94607  
W- 510-891-0446  
F- 510-891-0440  
jblunden@kema-xenergy.com

**Origin of Funding:**

**Web Address:** www.xenergy.com
kernenergien

Organization: kernenergien is an innovative technology and service company active world-wide. we offer services for the development and construction of large-scale solar thermal power plants. in this future energy market we are specialized in the highly attractive fields of concentrating solar power plants.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Venture Capital, Private Foundations

Sector Served: Solar

Geographic Focus: Mediterranean Area

Contact Information: Mr. Jürgen Kern dipl.ing. Olga.str. 131 Stuttgart, bw 70180

W- -6338860 F- -6338859

j.kern@kernenergien.de

Origin of Funding:

Web Address:
Kinetics

Organization: Turnkey Process & Mechanical Systems

Type of Financing:

Sector Served: Solar, Biomass power/products, Biofuels, Energy efficiency

Geographic Focus: N. America, Caribe

Contact Information: Mr. Russell Heissner
Director - Business Development
50 Brigham ST
Marlborough, MA 1752

W- 508-786-7958
F- 508-624-4141
rheissner@kineticsgroup.com

Origin of Funding:

Web Address: www.kineticsgroup.com
Mainstay Energy

**Organization:** Mainstay Energy is the nation's premier provider for innovative financing solutions of small and medium scale renewable energy projects. Mainstay’s first program, Mainstay Energy Rewards, has been a huge success. By aggregating green tags from small scale renewables, Mainstay has created an instant win-win: extra financing for residential, community, and small commercial projects, and appealing green tag products for green power marketers and retailers.

Mainstay Energy continues to create and define its industry by innovating new products for financing, launching the RePower program in March of 2004. RePower is a comprehensive financing solution, allowing clients to enjoy the benefits of onsite renewable energy without the high up-front costs. Mainstay Energy provides a high level of customer service along with a sophisticated back-office and IT infrastructure to ensure total satisfaction for its clients. The company prides itself on strong partnerships in the industry, including green power marketers, renewable energy installers, dealers, manufacturers, as well as advocacy and education groups. Mainstay Energy is headquartered in downtown Chicago.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity

**Sector Served:** All types of Renewables

**Geographic Focus:** USA

**Contact Information:**

Mr. Hoyt Hudson
CEO
161 E. Chicago Ave.
Suite 41B
Chicago, IL 60611

W- 877-473-3682
F- 312-896-1515
hoyt.hudson@mainstayenergy.com

**Origin of Funding:** Private

**Web Address:** mainstayenergy.com
Manulife Financial Corporation

Organization: Manulife Financial Corporation ranks as the 2nd largest life insurance company in North America following its acquisition of John Hancock in April 2004. Manulife's Project Finance Group has been a leading arranger and investor in financing for renewable energy projects for over a decade. Manulife is well versed and experienced with wind, hydro, geothermal, biomass and landfill gas power projects and would be pleased to arrange financing and/or provide advisory services to owners and developers of renewable power projects.

Type of Financing: Project Finance/Debt, Construction Financing, Mezzanine Financing

Sector Served: Solar, Wind, Geothermal, Biomass power/products, Hydropower

Geographic Focus: United States and Canada

Contact Information: Mr. William Sutherland
200 Bloor Street East, NT-4
Toronto, Ontario M4W 1E5

W- 416-852-3974

William_Sutherland@Manulife.com

Origin of Funding: Reserves held to backstop obligations to policyholders.

Web Address: www.Manulife.com
**Marathon Capital, LLC**

**Organization:** Marathon Capital, LLC is an investment banking and financial services firm providing power industry clients with services relating to the acquisition, divestiture, and sourcing and structuring of project financing as well as the sourcing of corporate and project equity.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Seed Stage/Feasibility, Other

**Sector Served:** All types of Renewables

**Geographic Focus:** North America and Selected Countries

**Contact Information:**
- **Mr. Thomas White**
  - Managing Director
  - 2101 Waukegan Road
  - Suite 103
  - Bannockburn, IL 60015
  - W- 847-405-0610
  - F- 847-405-0618
  - twhite@marathon-cap.com
- **Mr. David Fennema**
  - Senior Associate
  - 2101 Waukegan Road
  - Bannockburn, IL 60015
  - W- 847-405-0868
  - dfennema@marathon-cap.com

**Origin of Funding:** Various

**Web Address:** www.marathon-cap.com
Marsh USA Inc.

Organization:

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Public Equity Funds/Asset Management, Export Credit Financing, Federal agencies, State/Provincial, Insurance

Sector Served: All types of Renewables

Geographic Focus: world-wide (US based)

Contact Information:

Mr. David Schroeder
Financial Institutions Due Diligence Practice
1801 West End Ave, Ste 1500
Nashville, TN 37203

W- 615 340-2494

david.a.schroeder@marsh.com

Origin of Funding:

Web Address:
Marshall Street Management

Organization: As of Q2 2004, we have only begun to study the renewable energy sector. To manage our risk, we hope to make our first investment in or alongside experienced energy sector investment managers.

Type of Financing: Project Finance/Equity, Other

Sector Served: All types of Renewables

Geographic Focus: U.S.

Contact Information: Mr. Ramsay Ravenel
Associate
20 Marshall St., Suite 300
South Norwalk, CT 6854

W+ +1 203-866-4531

rravenel@mstgrp.com

Origin of Funding: Private

Web Address:
Massachusetts Renewable Energy Trust

Organization: The Massachusetts Renewable Energy Trust was created by the Electric Utility Restructuring Act of 1997 to help build a sustainable, competitive market for renewable energy in the Commonwealth. The legislative mandate for the Trust is to increase the supply of and demand for green power while expanding economic activity in the Commonwealth’s renewable energy industry.

The Renewable Energy Trust has developed three Program areas to achieve these objectives: Industry Support, Clean Energy, and Green Buildings and Infrastructure. Through dynamic partnerships with industry leaders and world-class academic institutions, the Trust’s Programs are helping to develop exciting new technologies that either produce or enable the production of energy from biomass, fuel cells, solar photovoltaic, wave, tides, hydropower, and wind.

Type of Financing: State/Provincial

Sector Served: All types of Renewables

Geographic Focus: Massachusetts

Contact Information:
Mr. Rob Pratt
Director
75 North Drive
Westborough, MA 1581
W- 508-870-0312
F- 508-898-9226
robpratt@masstech.org

Mr. Joshua Green
Chief Operating Officer
75 North Drive
Westborough, MA 1581
W- 508-870-0312
green@masstech.org

Origin of Funding: State Government

Web Address: www.masstech.org
Metropolitan Edison Company Sustainable Energy Fund of Berks County Community Foundation

Organization: The Metropolitan Edison Company Sustainable Energy Fund of Berks County Community Foundation promotes the development and use of renewable and clean energy technologies; energy conservation and efficiency; and sustainable energy businesses that are will benefit or are in the area served by First Energy's Metropolitan Edison Company service territory.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Mezzanine Financing, Public Equity Funds/Asset Management

Sector Served: Solar, Wind, Geothermal, Biomass power/products, Hydropower, Energy efficiency

Geographic Focus: Pennsylvania

Contact Information: Mr. Richard Mappin
Vice President For Grantmaking
P.O. Box 212
501 Washington Street
Reading, Pennsylvania 19603

W- 610-685-2227
F- 610-685-2240

richardm@bccf.org

Origin of Funding: Established by GPU

Web Address: www.bccf.org
MeXSI, Inc.

**Organization:** Energy analysis studies related to capital projects and energy efficiency improvements. ROI projections and simple payback analysis, including construction cost projections. Technical assistance related to PV, thermal storage ice plants, building material analysis, and facility central plants.

**Type of Financing:** Other

**Sector Served:** Solar, Energy efficiency

**Geographic Focus:** northeast US

**Contact Information:**
Mr. Salvador Behar
President
434 mamaroneck ave
suite 102
Mamaroneck, NY 10543

W- 914 698 2429
F- 914 698 2499

behar@salbehar.com

**Origin of Funding:** private and governmental

**Web Address:** www.salbehar.com
Milbank, Tweed, Hadley & McCloy LLP

Organization: Milbank, Tweed, Hadley & McCloy LLP has been representing project sponsors and lenders in renewable energy projects for nearly twenty years, including some of the first and some of the largest projects in the United States. Recent projects include representation of the lenders on wind energy projects in Texas, California and the Midwest, geothermal projects in Nevada and California, and biomass projects in the West. In addition to renewable energy projects, the Firm has led numerous transactions in the United States, Latin America and Asia, including the development, acquisition and financing of generation assets, transmission lines, gas pipelines, petrochemical plants, offshore oil rigs, telecommunications infrastructure, water and waste facilities and toll roads.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Venture Capital

Sector Served: All types of Renewables

Geographic Focus: International

Contact Information:

Mr. Edwin Feo
Partner
601 South Figueroa Street
30th Floor
Los Angeles, CA 90017

W- 213-892-4417
F- 213-892-4717
efeo@milbank.com

Mr. Eric Silverman
Project Finance Group Chairman
One Chase Manhattan Plaza
New York, New York 10005-1413

W- 212-530-5000
F- 212-530-5219
csilverman@milbank.com

Origin of Funding:

Web Address: www.milbank.com
## Mille Capital Corporation

<table>
<thead>
<tr>
<th><strong>Organization:</strong></th>
<th>We serve as a financial advisory and/or advisory board member to clean energy companies to assist them in reaching their growth goals</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Financing:</strong></td>
<td>Corporate Financing, Mezzanine Financing, Venture Capital, State/Provincial</td>
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<td><strong>Sector Served:</strong></td>
<td>All types of Renewables</td>
</tr>
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<td><strong>Geographic Focus:</strong></td>
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</tr>
</tbody>
</table>
| **Contact Information:** | Ms. Alexa Willson  
President  
590 Madison Avenue  
21st Floor  
New York, New York 10022  
W- (212) 758-0607  
adw@millecap.com |
| **Origin of Funding:** | Various |
| **Web Address:** | www.millecap.com |
Mo DNR Energy Center

**Organization:** Energy Revolving Fund assists Public Schools, County and City Governments with financing energy efficient projects for their facilities.

**Type of Financing:** Construction Financing

**Sector Served:** Solar, Wind, Geothermal, Biomass power/products, Energy efficiency

**Geographic Focus:** Missouri

**Contact Information:**
Mr. Bernard Thompson
Program Manager
PO Box 176
Jefferson City, Mo 65102

W- 800-361-4827

energy@dnr.mo.gov

**Origin of Funding:** Govt

**Web Address:** www.dnr.state.mo.us/energy/
MVC Capital, Inc. c/o Jasper Energy

Organization: MVC Capital is a Business Development Company traded on the New York Stock Exchange (NYSE:MVC) that seeks to provide long-term debt and equity investment capital to fund growth, acquisitions and recapitalizations of companies in a variety of industries. Jasper Energy is a private developer and operator of cogeneration and renewable energy projects. MVC will direct any investment opportunities for technical evaluation to Jasper Energy.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Mezzanine Financing, Public Equity Funds/Asset Management

Sector Served: All types of Renewables

Geographic Focus: None

Contact Information:

Ms. Jackie Shapiro
Corporate Secretary
287 Bowman Avenue
3rd Floor
Purchase, NY 10577

W- 914.701.0311
F- 914.701.0315
jackie@mvccapital.com

Robert (Bob) Paladino
Jasper Energy

914.698.5800 ext. 110
rpaladino@jasperenergy.com

Origin of Funding: Public

Web Address: www.mvccapital.com
**Natexis Banques Populaires**

**Organization:** Natexis has been actively pursuing project finance opportunities in the renewable industry, with a focus on wind power. We have committed to two major wind projects in the past year and are close to committing to a third at the time of this writing. Natexis' New York Project Finance Group has a portfolio of $500 mm in committed funds spanning all sectors of the energy industry, from power generation to oil and gas to infrastructure. The New York group focuses on North and Latin America.

**Type of Financing:** Project Finance/Debt

**Sector Served:** Solar, Wind, Geothermal, Biomass power/products, Biofuels, Hydropower, Energy efficiency

**Geographic Focus:** North, Latin, South America

**Contact Information:**

Mr. Robert Park  
Analyst  
1251 Avenue of the Americas, 34th Floor  
New York, NY 10020

W- 212-872-5104  
robert.park@nyc.nxbp.com

**Origin of Funding:**

**Web Address:** http://www.nxbp.com
### National Ethanol Vehicle Coalition

**Organization:** The National Ethanol Vehicle Coalition is the nation’s primary advocate dedicated to the use of 85 percent ethanol as a form of alternative transportation fuel. Financial assistance for the NEVC comes from advocates of clean, renewable, domestic energy.

**Type of Financing:** Other

**Sector Served:** Biofuels

**Geographic Focus:** US

**Contact Information:**
- **Mr. Phil Lampert**
  - Executive Director
  - 3118 Emerald Lane, Suite 100
  - Jefferson City, MO 65109
  - W- (573) 635-8445
  - F- (573) 635-5466
  - plampert@e85fuel.com

**Origin of Funding:** Government

**Web Address:** [http://www.e85fuel.com](http://www.e85fuel.com)
National Lease Financing Services

**Organization:** We arrange tax oriented, tax exempt, capital and operating leases and loans for commercial and municipal/state energy projects including solar, cogeneration, and wind projects. Equipment only financing and refinancing for terms to 12 years. Longer terms with real property collateral. Over 33 years experience. Small and large projects considered.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing

**Sector Served:** Solar, Wind, Geothermal, Biomass power/products, Biofuels

**Geographic Focus:** USA and Canada

**Contact Information:** Mr. Chuck Gerni
President
4370 La Jolla Village Drive, Suite 400
San Diego, California 92122

W- (858) 546-4888

leasefinance@san.rr.com

**Origin of Funding:** Institutional

**Web Address:**
Native Energy, LLC

Organization: NativeEnergy is a national marketer of renewable energy credits or “green tags,” offering individuals, businesses and organizations a means to compensate for their global warming pollution, or to effectively power their homes and businesses with renewable energy. NativeEnergy’s patent-pending business process brings upfront payment to renewable projects for their future green tag output, enabling its customers to help finance the construction of new wind farms and other renewable energy projects that directly reduce our reliance on fossil fuels to meet the nation’s electricity needs.
For more information, call 800-924-6826 or visit www.NativeEnergy.com.

Type of Financing:

Sector Served: All types of Renewables

Geographic Focus: USA-National

Contact Information: Mr. Billy Connelly
Marketing Communications Advisor
P O Box 539
Charlotte, VT 5445

W- 800-924-6826
F- 802-425-3431
billy.connelly@nativeenergy.com

Origin of Funding:

Web Address: www.nativeenergy.com
Natsource LLC

Organization: Natsource LLC’s Environmental Brokerage and Strategic Services Units offer unique financial and advisory services to assist renewable energy project developers and owners with capital mobilization and green asset management. We maximize clients’ value and revenue-generating opportunities through our distinctive ability to offer advisory services and execute a successful marketing strategy for project outputs. The company dedicates particular attention to assisting project developers in structuring and securing long term purchase agreements (PPAs) for their renewable energy output. Through our global brokerage operations and policy expertise, Natsource provides unparalleled custom services to clients.

Natsource works with clients to secure and enhance project financing through the structuring innovative forward contracts for power and renewable energy certificates. In particular, Natsource’s presence in the liquid power and environmental commodity markets enables us to create bid interest for the project output. Our approach expands upon the usual single source PPA negotiation to identify alternative sales options where projects are not automatically obligated to sell to the incumbent utility.

Natsource is the leading broker in regulated and voluntary markets for renewable energy attributes throughout the United States, Canada, UK, EU and beyond. We work with our clients to develop strategies tailored to meet individual circumstances of their projects, including technology type, market region, and operating characteristics.

Type of Financing: Other

Sector Served: All types of Renewables

Geographic Focus: USA

Contact Information: Mr. Matt Williamson
Director of Renewable Energy
140 Broadway
30th Floor
New York, NY 10005

W- 212 232 5305
F- 212 232 5353

mwilliamson@natsource.com

Origin of Funding: Private

Web Address: www.natsource.com
New Alternatives Fund Inc.

**Organization:** New Alternatives Fund Inc. is an open end mutual fund. (The ticker symbol is NALFX). The fund invests in listed securities - with a concentration in alternative and renewable energy.

**Type of Financing:** Other

**Sector Served:** All types of Renewables, Solar, Wind, Geothermal, Biomass power/products, Biofuels, Hydropower, Energy efficiency, Ocean energy

**Geographic Focus:** US, Canada, Spain, Japan, Australia, Denmark

**Contact Information:**
Mr. David Schoenwald
Co-Manager
150 Broadhollow Road
Suite 306
Melville, NY 11747

W- 631 423 8383

newalternativesfund@compuserve.com

**Origin of Funding:** Shareholders

**Web Address:** http://www.newalternativesfund.com
### New Energy Capital

**Organization:** New Energy Capital (NEC) invests in renewable energy and distributed generation assets, both in development and already operating. In addition, NEC invests in developers and technologies which will lead to a scaleable pipeline of project opportunities.

**Type of Financing:** Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

**Sector Served:** All types of Renewables

**Geographic Focus:** North America

**Contact Information:**
- **Mr. Dan W. Reicher**  
  President  
  266 Galloping Wind Rd  
  Warren, VT 5674  
  W- 802-496-3529  
  F- 802-377-9138  
  dreicher@newenergycapital.com

- **Mr. Scott Brown**  
  Chief Executive Officer  
  30 Washington Street  
  Wellesley Hills, MA 2481  
  W- 603-643-4240  
  F- 781-658-2226  
  sbrown@newenergycapital.com

**Origin of Funding:** Private

**Web Address:** www.newenergycapital.com
**New Energy Capital, LLC**

**Organization:** New Energy Capital (NEC) invests in renewable energy and distributed generation assets, both in development and already operating. In addition, NEC invests in developers and technologies which will lead to a scaleable pipeline of project opportunities.

**Type of Financing:** Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

**Sector Served:** All types of Renewables

**Geographic Focus:** North America

**Contact Information:** Mr. Daniel P. Goldman  
Founder and Chief Financial Officer  
30 Washington Street  
Wellesley Hills, MA 2481

W- 781-416-5126  
F- 253-399-5321

dgoldman@newenergycapital.com

**Origin of Funding:** Private

**Web Address:** www.newenergycapital.com
New Energy Fund LP

**Organization:** We have an actively managed aggressive global growth small/micro cap portfolio investing in pure play sustainable energy corporations. We also have an index made up of the top 50 companies by market cap whose core competency is sustainable energy.

**Type of Financing:** Public Equity Funds/Asset Management

**Sector Served:** All types of Renewables, Solar, Wind, Geothermal, Biomass power/products, Biofuels, Hydropower, Energy efficiency, Ocean energy

**Geographic Focus:** Global

**Contact Information:**
Mr. Mark T. Cox
Portfolio Manager
319 East 50th Street
Suite 10K
New York, NY 10022

W- 917 941 0220
F- 917 591 8535

[markcox@newenergyfundlp.com](mailto:markcox@newenergyfundlp.com)

**Origin of Funding:** None as yet

**Web Address:** www.newenergyfundlp.com
Newport Partners, LLC

Organization: Newport Partners, LLC specializes in consulting and syndication of tax credits associated with US renewable energy projects. We represent both Institutional Investors and Developers with regard to tax credit equity.

Type of Financing: Project Finance/Equity, Other

Sector Served: Solar, Wind, Biomass power/products, Biofuels

Geographic Focus: USA

Contact Information: Mr. John Carroll
Vice President
9 Cushing - Suite 200
Irvine, California 92618

W- 949.923.7804

jcarroll@newportpartners.com

Origin of Funding: Private

Web Address: jcarroll@newportpartners.com
NexGen Power LLC

**Organization:** NexGen Power LLC is a privately owned equity firm, which can provide immediate investment capital for funding and financing proposed and ongoing projects in North America, with emphasis on existing and late stage development projects.

NexGen is focused on acquiring and/or providing equity based project financing for power and/or thermal generating assets with equivalent production capacity of less than 200 megawatts. In North America, we will give special attention to alternative energy projects.

NexGen Power, LLC is headquartered in Chicago, with offices in Washington, DC, San Francisco, San Diego and Los Angeles.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Mezzanine Financing

**Sector Served:** All types of Renewables

**Geographic Focus:** North America

**Contact Information:**

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<tr>
<th>Name</th>
<th>Title</th>
<th>Address</th>
<th>Phone</th>
<th>Email</th>
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</thead>
<tbody>
<tr>
<td>Mr. David Olson</td>
<td>SVP Business Development</td>
<td>321 N. Clark St. Suite 2500</td>
<td>(312) 917-7502</td>
<td><a href="mailto:dolson@nexgen-power.com">dolson@nexgen-power.com</a></td>
</tr>
</tbody>
</table>

**Origin of Funding:** Private

**Web Address:** nexgen-power.com
NextWave Energy, Inc.

Organization: NextWave Energy is a professional services firm oriented to building new ventures that improve the economic environmental efficiency of the energy sector. Our motto is to make alternative energy serious business. Not only do we offer assistance in raising capital for emerging energy firms and projects, we provide support in all of the other ways that are necessary to make a firm or project financeable: developing strategies, forming a strong management team (including playing interim management roles), helping market and sell to customers.

Type of Financing: Other

Sector Served: All types of Renewables

Geographic Focus: North America

Contact Information: Mr. Richard Stuebi
President
1600 Broadway, Suite 2400
Denver, CO 80202

W- (303) 352-0377
F- (303) 573-1830

rts@nextwave-energy.com

Origin of Funding:

Web Address: www.nextwave-energy.com
The New Jersey Clean Energy Program offers support to help encourage the installation of renewable, clean energy generation systems. To assist in startup, the program even includes financial incentives to owners who install qualifying clean energy generation systems on site for their New Jersey homes or businesses. These systems enable the owners to produce their own electricity – while at the same time helping protect the environment and reducing strain on the grid (existing electric transmission and distribution systems). The program supports a variety of renewable technologies, such as fuel cells, photovoltaics (solar electricity), small wind, and sustainable biomass equipment installations. The Renewable Energy Economic Development (REED) Program provides funding for renewable energy businesses in New Jersey. The New Jersey Clean Energy Program is working with the New Jersey Economic Development Authority in providing venture capital to businesses. The BPU will pre-qualify the applicants in terms of their renewable energy involvement and the EDA will assess the quality of the business plan. The funding will be given out to qualified applicants as recoverable grants of up to $500,000 per business. The companies will be required to pay back the money as their business venture begins to generate revenues. The concept is to provide seed capital for new businesses or business ventures and then to transition the business into traditional capital markets.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Construction Financing, Venture Capital, State/Provincial, Other

Sector Served: All types of Renewables, Solar, Wind, Geothermal, Biomass power/products, Biofuels, Energy efficiency, Ocean energy

Geographic Focus: New Jersey

Contact Information: Mr. Scott Hunter
Renewable Energy Program Administrator
44 South Clinton Avenue
Post Office Box 350
Trenton, New Jersey 08625-0350

W- 1-609-777-3300
F- 1-609-777-3330

benjamin.hunter@bpu.state.nj.us

Origin of Funding: Government

Web Address: www.njcleanenergy.com
NJTC Venture Fund

Organization: The NJTC Venture Fund provides financing to promising start-ups and early-stage companies located in the New Jersey region. The initial investment ranges from $1 - 2 million. Over the course of the portfolio company partnership, NJTC Venture Fund expects to invest $3 - 4 million. At the time of investment, companies may be seed stage or have as much as $5 million in annual revenue.

NJTC Venture Fund provides responsive capital and an unparalleled active local network of partners through our affiliation with the leading business networking organization in New Jersey, The New Jersey Technology Council. The New Jersey Technology Council and its 1200 corporate members make up the 'NJ Network.' A number of prominent members of the NJ Network are also investors in the NJTC Venture Fund and actively support NJTC portfolio companies. This is why our motto is 'Networked Capital.'

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: Metro New York to Metro Philadelphia

Contact Information: Mr. Brett Topche
Associate
1001 Briggs Road
Suite 280
Mount Laurel, NJ 8054

W- 856-273-6800

brett@njtcvc.com

Origin of Funding: Private

Web Address: www.njtcvc.com
North Castle Capital Advisory LLC

Organization: North Castle works with developers and equity investors to structure and finance utility scale wind projects.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Insurance

Sector Served: Wind

Geographic Focus: US, Canada and Europe

Contact Information: Mr. David Freund
President
8 Embassy Court
Armonk, NY 10504

W- 914-582-9551
F- 914-509-5424
david@northcastlecapital.com

Origin of Funding: Private

Web Address:
Nth Power LLC

Organization:

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus:

Contact Information:
Mr. Rodrigo Prudencio
Principal
50 California St.
Suite 840
San Francisco, CA 94111

W- 415.983.9983

info@nthpower.com

Origin of Funding:

Web Address: www.nthpower.com
## Oregon Department of Energy Small Scale Loan Program

**Organization:** SMALL SCALE ENERGY LOAN PROGRAM  
The 1979 Oregon Legislature created the Small Scale Energy Loan Program (SELP). In 1980, voters approved an amendment to the Oregon Constitution authorizing sale of general obligation bonds to finance small scale, local energy projects. SELP promotes energy conservation and renewable energy resource development in Oregon. Renewable resources include solar, geothermal, biomass, hydro, wind, and waste heat. SELP also can fund projects that use alternative fuels, save transportation energy, make products from recycled material, or increase the production or efficiency or extend the operating life of a project.

The program often makes loans that conventional lenders cannot. In some cases, conventional lenders lack the technical expertise to evaluate a project. In other cases, SELP’s tax-exempt rates or its long-term, fixed-rate loans make a project economically viable. The program is self-supporting. Bond sales provide loan funds and borrowers pay program costs. The staff of ten includes lenders, accountants, and an engineer. Loan size can range from $10,000 for residential projects to several hundred thousand dollars for schools, to millions of dollars for industrial and energy-generation projects. Loan security may be a first or second mortgage on project land, buildings, and equipment. Loan applications for more than $100,000 receive a review from the seven-member SELP advisory committee, whose volunteers are made up of Oregonians in the financial and energy community.

**Type of Financing:** Project Finance/Debt, Construction Financing, State/Provincial  
**Sector Served:** All types of Renewables  
**Geographic Focus:** Oregon State

**Contact Information:**  
Mr. Jeff Keto  
Loan Manager  
625 Marion Street NE  
Salem, OR 97301-3737

W- 503-378-4040  
F- 503-373-7806

jeff.s.keto@state.or.us

**Origin of Funding:** General Obligation Bonds  
**Web Address:** www.energy.state.or.us
Pacific Capital Resources, LLC

Organization: Pacific Capital Resources, LLC and Stone & Webster Management Consultants are sponsors to the Leaf Environmental Infrastructure Fund.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management

Sector Served: All types of Renewables

Geographic Focus: N. America

Contact Information: Mr. Philip Dyk
Managing Director
19 Vitti Street
New Canaan, CT 6840

W- 1-203-438-5578
pdyk@pacificcapitalresources.com

Origin of Funding: private equity

Partech International

Organization:

Type of Financing: Mezzanine Financing, Venture Capital

Sector Served: Solar, Biomass power/products, Hydropower, Energy efficiency

Geographic Focus: USA, Europe, Israel

Contact Information:
Mr. Philippe Cases
Partner
50 California Suite 3200
San Francisco, CA 94111

W- 415 788 2929
F- 415 788 6763
pcases@partechvc.com

Origin of Funding:

Web Address: www.partechvc.com
Pennsylvania Department of Environmental Protection, Office of Energy & Technology Development

Organization: DEP's Office of Energy & Technology Development serves as the energy office for the Commonwealth of Pennsylvania. The office offers a variety of programs for financing clean, advanced energy projects in Pennsylvania. The office also houses the Pennsylvania Energy Development Authority, an independent financing authority that can issue tax-free bond financing as well as grants and loans for clean, indigenous energy projects. The office works with its sister agency, the Department of Community and Economic Development, to recruit energy projects and energy companies to Pennsylvania.

In addition, the office develops energy policy for the Commonwealth and evaluates new energy and environmental technologies. The office also houses the Small Business Office, which offers financing and compliance assistance services to Pennsylvania small businesses.

Type of Financing: Project Finance/Debt, State/Provincial

Sector Served: Solar, Wind, Biomass power/products, Biofuels, Hydropower, Energy efficiency

Geographic Focus: Pennsylvania

Contact Information: Mr. Daniel Desmond
Deputy Secretary, Office of Energy & Technology Development
RCSOB
400 Market Street, 16th Floor
Harrisburg, PA 17101

W- (717) 783-0540
F- (717) 783-0546
ddesmond@state.pa.us

Origin of Funding: Government

Web Address: www.dep.state.pa.us
Perseus

Organization: Perseus is a private equity fund with over $1.5 billion of capital. Perseus was one of the first energy technology investors in the U.S. and has made investments in approximately 20 companies. Later stage, revenue generating businesses are our primary focus. Investments have been made in a variety of areas in and around energy and power, including energy services, transmission and distribution, fuel cells, flywheels, distributed generation, wind, and solar.

Type of Financing: Project Finance/Equity, Mezzanine Financing, Venture Capital

Sector Served: All types of Renewables

Geographic Focus: USA/Europe

Contact Information: Mr. Philip Deutch
Managing Director
2099 Penn. Ave. NW
Suite 900
Washington, DC 20006

W- 202-772-1469
F- 202-429-0588
pdeutch@perseusllc.com

Origin of Funding: Private

Web Address: www.perseusllc.com
Pillsbury Winthrop LLP

Organization: Pillsbury Winthrop is an international law firm working with clients in the development, finance, construction, operation and maintenance of energy (including power, liquid fuels and other energy) and infrastructure facilities in the US and worldwide.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility, Export Credit Financing

Sector Served: All types of Renewables

Geographic Focus: USA/International

Contact Information: Mr. Mark Riedy
1133 Connecticut Ave., N.W.
Suite 1200
Washington, D.C. 20036

W- 202-775-9822
F- 202-833-8491
mriedy@pillsburywinthrop.com

Origin of Funding: Private and Government Sectors

Web Address: www.pillsburywinthrop.com
Power Generating Inc

Organization: PGI has patented technology on distributed biomass fueled CHP facilities. This technology is economic today (<$.05/kWh) because it uses a gas combustion turbine in a pressurized system instead of a steam cycle. PGI is currently in the process of commercializing this technology and seeking funds to install several at utility or industrial sites using a build, own, operate business model.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Venture Capital, Federal agencies

Sector Served: Biomass power/products

Geographic Focus: US and EU

Contact Information: Mr. Jeffrey McMahon
President
2501 Parkview Drive
Ste 500
Fort Worth, TX 76102

W- 817-332-8553
F- 817-332-4732
mcmahon@powergeneratinginc.com

Origin of Funding: Private, Government

Web Address: www.powergeneratinginc.com
Power Project Financing

Organization: Power Project Financing LLC is a specialized consulting firm located in San Anselmo, California.

PPF has experience in more than $1 billion of power sector financing, in the U.S. and many emerging market countries, and in just about all power technologies. Areas of expertise are power sector reform, power project development, renewable energy, financial structuring, business and risk analysis, computer financial modeling, equity placement, and implementing joint ventures for power projects.

Our most financing advisory was with Gamesa Energia, who commissioned a 50 MW wind farm in Illinois in December 2003.

Current mandates include securing venture capital for a new wind technology, an industrial energy efficiency technology, and advising on a large power plant in Sri Lanka.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Venture Capital, Seed Stage/Feasibility, Export Credit Financing, Federal agencies, State/Provincial

Sector Served: All types of Renewables

Geographic Focus: Emerging Markets

Contact Information:
Mr. Daniel Potash
President
70A Longview Avenue
San Anselmo, CA 94960

W- 415-457-3251
Dpotash@PowerProjectFinancing.com

Origin of Funding: Private, Government

Web Address: www.PowerProjectFinancing.com
PowerLight Corp

**Organization:** Large (100 kW - 10 MW) solar electric (photovoltaic) system project development. With over 250 installations completed to date, PowerLight is a leader in financing, building and maintaining large solar projects.

**Type of Financing:** Other

**Sector Served:** Solar

**Geographic Focus:** US, Europe

**Contact Information:**
Mr. Gregory Rosen  
Sr. Mgr., Customer Finance  
2954 San Pablo Ave  
Berkeley, CA 94702

W- 510-868-1233  
F- 510-540-0552  
grosen@powerlight.com

**Origin of Funding:** Private and through partnering

**Web Address:** www.powerlight.com
PowerWorks Inc.

Organization: PowerWorks Inc. and its affiliate, Pacific Winds Inc., owns and operates over 900 wind turbines in California. We are actively looking for additional investments in wind and solar power projects. Please visit our web site for additional information.

Type of Financing: Project Finance/Equity, Venture Capital

Sector Served: Solar, Wind

Geographic Focus: North America

Contact Information: Mr. Rick Koebbe
President
5356 N. Cattail Way
Boise, Idaho 83714

W- 208.853.4602
F- 208.853.4628
rskoebbe@powerworksinc.com

Origin of Funding: Private

Web Address: www.powerworksinc.com
Prometheus Institute for Sustainable Development

Organization:

Type of Financing: Other

Sector Served: Solar

Geographic Focus:

Contact Information: Mr. Travis Bradford
President
20 Mt. Auburn St.
Cambridge, MA 2138

W- 617-868-4851
F- 617-812-0299

tbradford@prometheusinstitute.org

Origin of Funding:

Web Address: www.prometheusinstitute.org
Prospect Energy

Organization: Investment company, with special focus on energy and infrastructure-related companies.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility, Export Credit Financing

Sector Served: All types of Renewables

Geographic Focus:

Contact Information: Mr. Grier Eliasek
President & COO
10 East 40th St.
44th Floor
New York, NY 10016

W- 212-448-9577
F- 212-448-9652

grier@prospectstreet.com

Origin of Funding: Public and private

Web Address: www.prospectstreet.com
RE Capital

**Organization:** Seasoned technology executives and experts seeking early-stage equity investment opportunities in renewable energy technologies. Preferred investment areas include energy storage, hydrogen, solar power, and power electronics.

**Type of Financing:** Venture Capital, Seed Stage/Feasibility

**Sector Served:** All types of Renewables

**Geographic Focus:** Canada, United States, EU

**Contact Information:**
Mr. Robert Edwards  
Principal  
2201 Shaftesbury Court  
Oakville, Ontario L6H 6C6  
W- 905-464-2941  
robertedwards@cogeco.ca

**Origin of Funding:** Private

**Web Address:**
Renergy Corporation

**Organization:** Commercialization of ocean power generation and other renewable/sustainable technologies for which commercial, long-term Power Purchase Agreements can be structured to expand the supply of renewable power generation to the grid

**Type of Financing:** Project Finance/Equity

**Sector Served:** All types of Renewables

**Geographic Focus:** World

**Contact Information:**
Mr. Steven Mueller
President
733 8th Ave
San Diego, CA 92101

Steve@renergypacific.com

**Origin of Funding:** private

**Web Address:** renergypacific.com
### Renewable Energy Development Institute (REDI)

**Organization:** REDI specializes in financing the development of renewable energy projects. Conventional commercial equipment leasing, residential real estate mortgages, tax-exempt financing for public sector and third party investor financing are all available. Technical assistance with designing projects, developing solicitations and evaluating opportunities are other typical services available.

**Type of Financing:** Project Finance/Equity, Corporate Financing, Construction Financing, Private Foundations, Federal agencies, State/Provincial

**Sector Served:** Solar, Biomass power/products, Biofuels, Energy efficiency

**Geographic Focus:** USA

**Contact Information:**

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<th>Name</th>
<th>Address</th>
<th>Phone</th>
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<tbody>
<tr>
<td>President</td>
<td>Mr. Keith Rutledge</td>
<td>75 N. Main Street</td>
<td>707-459-1256</td>
<td><a href="mailto:keith@redinet.org">keith@redinet.org</a></td>
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<td>Willits, CA 95490</td>
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**Origin of Funding:** Public and private funding

**Web Address:** www.redinet.org
Renewable NRG LLC

Organization: We are furthering the market by creating a group of financial sources to establish a 100 million fund to further renewables commercial implementation. Our model is similar to Vote Solar's initiative in San Francisco using DG and remediation to improve economics and payback.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Construction Financing, Public Equity Funds/Asset Management, Federal agencies, State/Provincial

Sector Served: All types of Renewables

Geographic Focus: USA

Contact Information: Mr. Ron Leonard  
Owner  
Box1117  
Woodstock, NY 12498  

W- 845-657-6655

NRGgreen@aol.com

Origin of Funding: Banking

Web Address:
Ridgeline Energy

Organization: Ridgeline Energy is a windfarm development company.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Construction Financing, Seed Stage/Feasibility

Sector Served: Wind

Geographic Focus:

Contact Information: Mr. Dennis Meany
President
8 Old Kings Highway
Norwalk, CT 6850

W- 203 847 7824
dmeany@rl-en.com

Origin of Funding: Private

Web Address:
RockPort Capital Partners

Organization: RockPort Capital Partners is a venture capital firm based in Boston, MA that invests in early to mid stage companies in the energy, environmental and advanced materials sectors. The firm is committed to companies with breakthrough technologies that deliver significant economic value to large potential markets.

Type of Financing: Venture Capital

Sector Served: Solar, Wind, Hydropower, Energy efficiency

Geographic Focus: USA

Contact Information:
Mrs. Bettina Metais
Coordinator
160 Federal Street
18th Floor
Boston, MA 2110
W- 617-912-1420
F- 617-912-1449
bmetais@rockportcap.com

Mr. Chuck McDermott
Partner
160 Federal Street
18th Floor
Boston, MA 2110
W- 617 912-1450
F- 617 912-1449
cmcfbermott@rockportcap.com

Origin of Funding: Private and SBA

Web Address: www.rockportcap.com
Ruskin Moscou Faltischek, P.C.

**Organization:** Full-service law firm with active energy, environmental and project-finance practices.

**Type of Financing:** Other

**Sector Served:** All types of Renewables

**Geographic Focus:** North America/Caribbean Basin

**Contact Information:**
Mr. Jon Schuyler Brooks  
Chair, Environmental Practice Group  
190 EAB Plaza  
East Tower - 15th Floor  
Uniondale, New York 11556-0190

W- 516 663-6632  
F- 516 663-6832  

jbrooks@rmfpc

**Origin of Funding:**

**Web Address:** www.rmfpc.com
RWE Dynamics Venture Capital Management GmbH

Organization: RWE Dynamics Venture Capital Management invests in high-tech companies within the core businesses of RWE: electricity, gas, water and environmental services. Along these segments investments are carried out worldwide with an emphasis on Europe. The organizational structure of the fund combines the global resources of RWE with a true venture capital investment approach.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: USA, Germany, Europe

Contact Information:
Dr. Dietmar Bauer	Mr. Michael Weber
Managing Director	Dr.
Residenzstrasse 9	Residenzstr. 9
Munich, - 80333	München, Bayern 80937

W- 0049 89 20 50 89 0	W- 0049 (0) 89/ 20 50 89-0
F- 0049 89 20 50 89 99	F- 0049 (0) 89/ 20 50 89-99

d.bauer@rwe-vc.com	m.weber@rwe-vc.de

Origin of Funding: RWE

Web Address: www.rwe-vc.com, www.rwe-vc.de
SAM Sustainable Asset Management

Organization: SAM Sustainable Asset Management is an independent asset management company headquartered in Zurich, Switzerland. Established in 1995, SAM was among the first asset managers to specialize in the field of sustainability-driven investments. SAM manages institutional and private mandates in line with sustainability criteria. SAM offers sustainability-driven investment vehicles such as the Sustainable Performance Group and various mutual funds. And together with Dow Jones & Company, SAM launched the world's first index to track the performance of sustainability-driven companies worldwide.

Type of Financing: Public Equity Funds/Asset Management, Venture Capital

Sector Served: All types of Renewables

Geographic Focus: global

Contact Information: Mr. Urs Schön
Sustainability Analyst
Seefeldstr. 215
Zurich, 8006

W- +41 1 397 1039
F- +41 1 397 1050
urs@sam-group.com

Origin of Funding: Private

Web Address: www.sam-group.com
Saw Mill Capital

Organization: Saw Mill Capital is a private equity firm focusing on buyouts and growth equity investments focused in the Energy Industry. We look for investment opportunities where we can leverage our Energy Industry knowledge and experience to successfully finance and consummate transactions, partner with exceptional management teams and contribute to the future success of the company. Our objective is to realize superior long-term capital appreciation by partnering with the management of middle-market manufacturing, technology and service companies. Saw Mill Capital is actively looking for companies with a minimum of $5 million in cash flow and compelling organic or external growth opportunities. We frequently meet with companies with less than $5 million in cash flow as acquisitions opportunities for our portfolio companies.

Type of Financing: Public Equity Funds/Asset Management

Sector Served: All types of Renewables

Geographic Focus:

Contact Information:
Mr. Victor Krupinski
Director of Research
555 Pleasantville Rd
South Bldg, Suite 220
Briarcliff Manor, NY 10510

W- 914-741-9093

vkrupinski@sawmillcapital.com

Origin of Funding: Private

Web Address: www.sawmillcapital.com
Sea Breeze Power Corp.

Organization: Sea Breeze Power Corp. is a renewable energy company located in Vancouver, Canada. While Sea Breeze Power’s primary focus is wind energy development on Northern Vancouver Island, the company is also involved in other green power projects, including 'run of river' hydro, providing renewable energy solutions to remote communities, and innovative energy storage and transmission technologies.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Venture Capital

Sector Served: Wind, Hydropower

Geographic Focus: British Columbia

Contact Information: Mr. Paul Manson
President
1400-601 W. Hastings Street
Box 91
Vancouver, British Columbia V6B 5A6

W- 604 689-2991
F- 604 689-2990
samkenny@seabreezepower.com

Origin of Funding: Shareholders

Web Address: www.seabreezepower.com
Self-Help Credit Union

Organization: For over 20 years Self-Help has been serving its mission of creating ownership and economic opportunity for minorities, women, rural residents, and low-wealth families. We help provide financing for low-wealth homebuyers, childcare facilities and other small businesses, non-profits, and enterprises that have a positive environmental impact. There are two primary components of our organization, one is our lending program and the second is deposits. When you make a deposit with Self-Help we are able to provide more loans to low-wealth individuals, nonprofits, rural residents and families. Investing your money in a market rate and federally insured Self-Help CD and or money market account is a great way for you to help support the environment.

Type of Financing: Other

Sector Served: All types of Renewables

Geographic Focus:

Contact Information: Mrs. Christina Fish
Investment Marketing Associate
301 W. Main Street
Durham, NC 27701

W- 1-800-966-SELF

christina@self-Help.org

Origin of Funding: Depositors

Web Address: www..self-help.com
Shell WindEnergy

**Organization:** Equity investors in the development, construction and operational stages of utility scale wind projects.

**Type of Financing:** Project Finance/Equity, Corporate Financing

**Sector Served:** Wind

**Geographic Focus:**

**Contact Information:**
Mr. Michael Payne  
Senior Vice President  
PO Box 38000  
Amsterdam, 1030 BN  

W- 31 20 630 2406  
michael.payne@shell.com

**Origin of Funding:** Corporate

**Web Address:** www.shell.com/renewables
ShoreBank Pacific

Organization: ShoreBank Pacific invests in people, businesses, and real estate in the Pacific Northwest to create strong companies, vibrant communities, and a healthy environment. The bank focuses on:
* Manufacturers, Distributors, & Service Companies that treat their resources with care
* Sustainable Use of Real Estate: we finance Green Buildings, Brownfield Redevelopments, Historic Renovations, Land Trusts, Mixed-Use & 'Smart' Development Projects
* Food and Forestry: we service the entire value chain, from farms and fisheries, to processors and retailers

ShoreBank Pacific targets loans between $250,000 and $4 Million and has 3 offices - Portland, OR, Ilwaco and Olympia, WA. The bank's services include:
* Business Lines of Credit
* Equipment Loans
* Commercial Real Estate Loans
* Online Cash Management and Depository Services
* Specialized Services for Non-Profits
* Employee Savings and IRA Accounts

Type of Financing: Project Finance/Debt, Corporate Financing, Construction Financing

Sector Served: All types of Renewables

Geographic Focus: Pacific Northwest

Contact Information:
Mr. Randell Leach
Director - Business Banking
721 NW 9th
Suite 195
Portland, OR 97212

W- 503.916.1552
F- 503.827.5003
Randell@ShoreBankPacific.com

Origin of Funding: Depositors

Web Address: http://www.ShoreBankPacific.com
Sierra Capital Services

**Organization:** Sierra Capital Services specializes in providing innovative financing services for renewable energy systems. We offer a full array of tailored project and corporate financing solutions.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing

**Sector Served:** Solar, Wind, Geothermal, Biomass power/products, Biofuels

**Geographic Focus:** United States

**Contact Information:**

Mr. Tim Young  
President  
1235 Kachina  
Reno, NV 89511

W- (775) 852-8826  
F- (775) 852-8829

tyoung@sierracapitalservices.com

**Origin of Funding:** Private

**Web Address:** www.sierracapitalservices.com
SJF Ventures

Organization: SJF Ventures provides equity financing in rounds from $500,000 to $5,000,000. We invest in companies that provide good, entry-level jobs. Our primary sectors are clean technology, industrial technology, business services and consumer products.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: Eastern U.S.

Contact Information:
Mr. Larry Waddell
Managing Director
255 S. 17th Street
Suite 1301
Philadelphia, PA 19103
W- 215-545-1750
F- 215-545-1751
lwaddell@sjfund.com

Ms. Rosemarie Monge
Analyst
255 S. 17th St.
Suite 1301
Philadelphia, PA 19103
W- 215-545-1750
rmonge@sjfund.com

Origin of Funding: Private, Government

Web Address: www.sjfund.com
SmartPower

**Organization:** SmartPower is a non-profit marketing campaign dedicated to increasing public awareness and demand for clean, renewable energy.

**Type of Financing:**

**Sector Served:** All types of Renewables

**Geographic Focus:** Northeast/Mid-Atlantic

**Contact Information:**

Mr. Brian Keane  
Executive Director  
100 Pearl Street  
14th Floor  
Hartford, CT 6103

W- (860) 249-7040  
F- (860) 249-7001

bkeane@smartpower.org

**Origin of Funding:** Private

**Web Address:** www.smartpower.org
Solar International Management, Inc.

Organization: SIM offers financial advisory and strategy consulting services to corporate and institutional clients. In addition, SIM is the sponsor of the SolarBank Program, a proposed wholesale capital fund for the financing of solar energy worldwide.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Venture Capital, Other

Sector Served: All types of Renewables, Solar

Geographic Focus: Global

Contact Information:
Mr. Michael Eckhart
President
1825 I Street NW, Suite 400
Washington, DC 20006

W- 202-429-2030
F- 202-429-5532

meckhart@aol.com

Origin of Funding:

Web Address: www.solarbank.com
SSA CAPITAL

Organization: Have Funded several Large Solar and Wind projects. Size ranging from 100K to 10 Million.

Type of Financing: Project Finance/Debt, Project Finance/Equity

Sector Served: Solar, Wind, Biomass power/products, Hydropower, Energy efficiency

Geographic Focus: USA

Contact Information:
Mr. Thomas Anderson
Partner
301 Sycamore Ave.

Mill Valley, CA 94941

W- 415 388-5455
F- 415 388-4910

ssacap@aol.com

Origin of Funding: Banks, Private

Web Address:
Sterling Energy International

Organization: Sterling Energy is a company completely dedicated to professional management of power generation assets, including renewables. Since we incorporated in 1989, we have managed assets in more than 15 countries. Even more important, we’re known for setting the highest professional standards in the industry. The assets and teams under our management have consistently delivered strong financial returns through extraordinary performance with low operating costs.

Sterling Energy has worked with project sponsors and lenders of renewable projects throughout the life of projects, from development through operations. Projects have included, biomass, solar, wind and gasification.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Seed Stage/Feasibility, Other

Sector Served: Wind, Geothermal, Biomass power/products, Biofuels

Geographic Focus: International

Contact Information: Mr. Mark Juergensen
Director
26893 Calle Hermosa
Capistrano Beach, CA 92624

W- 949-248-2917
F- 949-248-239

MarkJ@Sterling-Energy

Origin of Funding:

Web Address: www.Sterling-Energy.com
Sterling Partners

Organization: Sterling Venture Partners invests in expansion-stage Healthcare Services and Technologies, Outsourced Business Services, Education/Testing/Training, and Industrial Technology companies. Sterling Venture Partners is a division of Sterling Partners, a diversified private equity firm that has managed more than $700 million of committed capital since its founding in 1983.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: United States

Contact Information:
Mr. Eric Becker
Managing Partner
6225 Smith Ave.
Suite 210
Baltimore, MD 21209

W- 443-703-1700
ebecker@sterlingpartners.us

Origin of Funding: Institutions

Web Address: http://www.sterlingpartners.us/venture/index.html
**Stonehenge Capital Company, LLC**

**Organization:** Stonehenge Capital Company is interested in reviewing proposals from developers of RE projects, particularly wind. We are seeking to provide debt and tax credit equity financing to projects in the 1-30MW range.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Mezzanine Financing, Venture Capital

**Sector Served:** All types of Renewables, Wind

**Geographic Focus:** Nationwide

**Contact Information:**

Mr. Clifford Kenwood  
Vice President  
Baton Rouge, LA 70806

W- 225-408-3258  
emkenwood@stonehengecapital.com

**Origin of Funding:** Private

**Web Address:** www.stonehengecapital.com
SunEdison

Organization: SunEdison simplifies solar. Most customers want clean onsite solar energy, not solar generating assets that take time to payback. Imagine if you could have a solar energy system installed at your site today without the headache of upfront costs. SunEdison makes that possible.

Type of Financing: Project Finance/Debt, Project Finance/Equity

Sector Served: Solar

Geographic Focus: United States

Contact Information: Mr. Brian Robertson
Consultant
2128 12th street, NW
Washington, DC 20009

W- 617-320-9572
F- 978-246-6434
bdrobert@yahoo.com

Origin of Funding: Private

Web Address: www.sunedison.com
SUNTEG U.S.A. Inc.

Organization: An international group focusing on sourcing and marketing renewable technologies.

Type of Financing: Corporate Financing, Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: Part of a worldwide organization

Contact Information: 
Mr. Aaron Etra 
Director 
1350 Avenue of the Americas-29th floor 
New York, NY 10019 

W- 212-265-8600 
F- 212-581-8958 

aaron@sunteg.com

Origin of Funding: Private, depositors, governmental

Web Address: www.sunteg.com
Sustainable Energy Fund

Organization: The Sustainable Energy Fund of Central Eastern PA (SEF) is a private, non-profit foundation. We intend to make investments:

To promote the use of renewable energy and advanced clean energy technologies among residential, commercial, institutional and industrial customers in the PPL service territory.

To promote energy conservation and energy efficiency among residential, commercial, institutional, and industrial customers in the PPL territory.

To promote the start-up, attraction, expansion and retention of sustainable energy businesses and projects in the PPL service territory, or when the investment may be outside the territory but it provides an indirect benefit to ratepayers in PPL territory.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables, Solar, Wind, Geothermal, Biomass power/products, Biofuels, Hydropower, Energy efficiency

Geographic Focus: Central Eastern Pennsylvania

Contact Information: Dr. Thomas Tuffey
Executive Director
Allentown, PA 18101
W- (610)740-3182
F- (610)740-9511
TheSEF@SustainableEnergyFund.org

Origin of Funding: PPL ratepayers

Web Address: www.SustainableEnergyFund.org
Sustainable Energy Ventures

Organization: Sustainable Energy Ventures provides venture and growth capital to companies operating in the energy technology universum.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: Europe + North America

Contact Information:
Mr. Paul Decraemer
Managing Director
Hooikaai 55
Brussels, Brussel Region 1000

W- 32475432035
F- 3222295255

paul.decrmer@sev.be

Origin of Funding: Financial institutions + investment groups

Web Address: www.sev.be
SustainableBusiness.com

Organization: We help businesses to get ready for fundraising and then help them connect with the right investment partners.

Type of Financing: Other

Sector Served: All types of Renewables

Geographic Focus: anywhere

Contact Information: Ms. Rona Fried
President & CEO
231 W. Pulaski Rd.
Huntington Station, NY 11746

W- 631-423-3277
rona@sustainablebusiness.com

Origin of Funding: private

Web Address: http://www.sustainablebusiness.com
**T2M Resources Inc**

**Organization:** T2M is a sustainable resource company that provides advisory services to the renewable energy industry. Its primary areas of focus are executive recruitment, project development, financing solutions, and strategic planning.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Venture Capital, Seed Stage/Feasibility

**Sector Served:** All types of Renewables

**Geographic Focus:** North America, Europe

**Contact Information:**
Mr. Glenn Smith  
President & CEO  
1200 Fifth Ave, Suite 1800  
Seattle, WA 98101  
W- 425 391 6844  
F- 206 956 0863  
gsmith@t2mresources.com

**Origin of Funding:** Private

**Web Address:** www.T2Mresources.com
# Taproot Ventures

**Organization:** Taproot Ventures invests in early and growth stage technology companies that focus on the industrial segments of the economy--sectors such as manufacturing and equipment, advanced materials, transportation, energy and environmental engineering.

**Type of Financing:** Venture Capital

**Sector Served:** All types of Renewables

**Geographic Focus:** North America

**Contact Information:**

- Mr. Brandon Bezzant  
  Vice President  
  7701 Forsyth Blvd  
  Suite 600  
  St. Louis, MO 63105

- W- 314 889 0838

- info@taprootventures.com

**Origin of Funding:**

**Web Address:** [www.taprootventures.com](http://www.taprootventures.com)
Technology & Market Solutions, LLC

Organization: Technology & Market Solutions, LLC assists technology vendors, developers, state/local governments and financiers with strategic, finance, market and environment benefit aspects of the full suite of renewable energy technologies. After collecting market intelligence and conducting a market assessment, Technology & Market Solutions assist vendors in overcoming market barriers and defining market entry strategies. The use of innovative financing schemes, financial/regulatory mechanisms and revenue streams from displaced (avoided) environmental emissions are explored.

Technology & Market Solutions, LLC is a small consulting firm, with a large network of highly qualified experts. Solutions are tailored to the needs of the client.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Venture Capital, Export Credit Financing, Federal agencies, State/Provincial, Other

Sector Served: All types of Renewables

Geographic Focus: US, Global

Contact Information:
Mr. David W. South
President
7904 Hollington Place
Fairfax Station, VA 22039-3161

W- 703.690.2737
F- 703.690.2736

david@t-msolutions.com

Origin of Funding:

Web Address: www.t-msolutions.com
Technology Partners

Organization: Ira is a General Partner at Technology Partners, a 20 year-old venture capital firm that manages more than $400 million of investment capital with current investments being made from Technology Partners Fund VII. Technology Partners teams with visionary entrepreneurs to build successful new companies. The majority of investments are in early stage companies. The firm invests approximately half of its capital in Technology companies and the other half into companies focused on the Life Sciences. Within Technology, Technology Partners currently focuses on the areas of Energy and Water Technology, as well as Enterprise Automation. For more information on Technology Partners, go to www.technologypartners.com.

Type of Financing: Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus:

Contact Information: Mr. Ira Ehrenpreis
General Partner
550 University Ave.
Palo Alto, CA 94301

ira@technologypartners.com

Origin of Funding:

Web Address: www.technologypartners.com
The Black Emerald Group

**Organization:** Black Emerald is an investment banking and asset management firm specializing in renewable energy project and corporate finance.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Other

**Sector Served:** All types of Renewables

**Geographic Focus:** US and Europe

**Contact Information:**
Mr. Eric Urbani
Managing Director
7th Floor, Dudley House
169 Piccadilly
London, England W1J 9EH

W- +1 212 675 3205
F- +1 212 675 5890

eric.urbani@blackemerald.com

**Origin of Funding:**

**Web Address:** www.blackemerald.com
The CIT Group, Inc./Equipment Finance

**Organization:**
THE CIT Group, Inc., is a Global Finance company on the New York Stock exchange (Ticker:CIT). Started in 1909, it is a diversified financial services company with over $50 Billion in managed assets.

**Type of Financing:**
Project Finance/Debt, Corporate Financing, Construction Financing, State/Provincial

**Sector Served:**
All types of Renewables

**Geographic Focus:**
USA & Canada

**Contact Information:**
Mr. Craig Raddatz  
Vice President/Energy  
256 S. Thorne Grove Drive  
Vernon Hills, IL 60061

W- (847) 573-1942  
F- (847) 573-1943

craig.raddatz@cit.com

**Origin of Funding:**
Public Company

**Web Address:**
www.cit.com
The Gemstone Group, Inc.

Organization: The Gemstone Group, Inc. is an investment and merchant bank specializing in renewable energy and environmental projects. Gemstone provides traditional investment banking services as well as makes direct project finance equity and debt investments.

Gemstone also provides innovative and creative financing techniques to bridge the gap between traditional project finance and the unique attributes of the renewable energy sector.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing

Sector Served: All types of Renewables

Geographic Focus: Eastern US

Contact Information:
Mr. Adam Stern  Gary Kleiman
Partner  Partner
435 Devon Park Drive -  435 Devon Park Drive –
Building 400  Building 400
Wayne, Pennsylvania 19087  Wayne, Pennsylvania 19087

W- 610-659-7713  610-254-4110
F- 610-825-1578  610-971-1770

ajstern@thegemstonegroup.com  gkleiman@thegemstonegroup.com

Origin of Funding: Private

Web Address: www.thegemstonegroup.com
<table>
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<th><strong>Organization:</strong></th>
<th>An award-winning socially and environmentally responsible business and investing publication. Publishing since 1992 in print and 1995 online.</th>
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<td><strong>Type of Financing:</strong></td>
<td>Other</td>
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<td><strong>Sector Served:</strong></td>
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<td><strong>Geographic Focus:</strong></td>
<td>Global</td>
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| **Contact Information:** | Mr. Cliff Feigenbaum  
Publisher and Founder  
PO Box 67  
Santa fe, NM 87504  
W- 505-988-7423  
cliff@greenmoney.com |
| **Origin of Funding:** | NA |
| **Web Address:** | www.greenmoney.com |
The Stella Group, Ltd.

Organization: Provide leasing to all forms of clean distributed generation for commercial, industrial and government customers. Also facilitate access to funding resources from multilateral banks, US government programs, state clean air, clean energy and homeland security funds.

Type of Financing: Other

Sector Served: All types of Renewables

Geographic Focus: Worldwide

Contact Information: Mr. Scott Sklar
President
1616 H Street, NW
10th floor
Washington, D.C., DC 20006

W-  202-347-2214
F-  202-347-2215

solarsklar@aol.com

Origin of Funding: Government & Private

Web Address: www.thestellagroup ltd.com and www.stellacapital llc.com
Toll Cross Investments Inc.

**Organization:** Toll Cross Investments Inc. is a unique Canadian organization designed to address the increasingly complex needs of smaller, fast-growing companies in today's capital markets. We bring together a unique group of professionals with specialty knowledge in the areas of communications, technology, energy, resources and finance.

Toll Cross operates out of offices in Toronto, Montreal, Ottawa and Vancouver.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility

**Sector Served:** Geothermal, Hydropower

**Geographic Focus:** North America

**Contact Information:**
Mr. John Maycock  
Executive VP  
320 - 1122 Mainland Street  
Vancouver, BC V6B 5L1  

W- 604-677-4131  
F- 604-687-4131  
john.maycock@tollcross.ca

**Origin of Funding:** Institutional & Private

**Web Address:** www.tollcross.ca
Travelers Financial Corporation

Organization:

Travelers Financial Group is active in structured project financing for the energy sector, with particular interest in 'green' and alternative energy projects backed by long term power purchase agreements. Small hydro, biomass, wind, wave and community energy projects offer significant potential for contributing to Canada’s future energy needs using under-utilized energy resources. Our primary focus includes:

- Long Term Takeout Financing – designed to pay out third party construction lenders at project completion; typically Travelers provides an option to fix the interest rates for the full amortization period of the project – up to 20 years.
- Construction Financing – short-term financing with progressive drawdowns according to construction expenditures; typically Travelers provides floating rate financing during the construction phase.
- Construction and Takeout Financing may be offered in a combined facility, that offers the advantages of 'one-stop' financing, with the option to lock-in a long term rate at the commencement of the construction phase.

Travelers is also interested in securing financing mandates for the following requirements:

- Subordinated Debt Financing – to source short term and / or long term financing, structured with financing terms that meet the equity requirements of construction and / or takeout lenders.
- Equity Financing – to source share capital investments from institutional and private equity investors.

Type of Financing:

Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing

Sector Served:

All types of Renewables

Geographic Focus:

Canada

Contact Information:

Mr. Robert van Santen
Director, Corporate Finance
500-4180 Lougheed Hwy
Burnaby, BC V5C 6A7

W- 604-293-0202
F- 604-473-3816
rvansanten@travelersfinancial.com

Origin of Funding:

Private

Web Address:

http://www.travelersfinancial.com
TRF's Sustainable Development Fund

Organization: At SDF, our mission is:

* To promote the use of renewable energy and advanced clean energy technologies among residential, commercial, institutional and industrial customers in the PECO Energy service territory.

* To promote energy conservation and energy efficiency among residential, commercial, institutional and industrial customers in the PECO Energy service territory.

* To promote the start-up, attraction, expansion and retention of sustainable energy businesses that benefit customers in the PECO Energy service territory.

Type of Financing: Project Finance/Debt, Corporate Financing, Mezzanine Financing, Venture Capital, Other

Sector Served: All types of Renewables

Geographic Focus: PECO Energy Service Territory, i.e. the 5 Southeastern PA counties surrounding Philadelphia

Contact Information: Mr. Robert Reiner
Sr. Loan Investment Officer
718 Arch Street
Suite 300N
Philadelphia, PA 19106

W- 215-925-1130

robert.reiner@trfund.com

Origin of Funding: Created by the Pennsylvania Public Utility Commission in its final order in the PECO Energy electric utility restructuring proceeding

Web Address: http://www.trfund.com/SDF/index.htm
Trintek Energy Consulting, Inc.

**Organization:**
Trintek Energy Consulting, Inc., an Illinois corporation, was founded in January 2003, by T.N. Libson, its President and Principal Consultant to serve clients in the energy industry with specific project development analysis and services including project financing. Project financing services offered are indicated below:
* Assistance in choosing lead bank(s) or equity partners, Negotiating, structuring of loan covenants and project contracts.
* Assistance in developing and due diligence on financial models.
* Assistance in choosing second tier financing counter parties and negotiation of sub debt
* Advice on negotiating equity contribution agreements
* Preparation of lender RFP's, term sheets, and documentation.
Trintek Energy Consulting is respected for its detailed and thoughtful due diligence, project development insight, and loan covenant negotiations. Please contact Mr. T.N. (Tim) Libson with any questions, or for a consulting proposal tailored to meet your project financing needs.

**Type of Financing:**
Other

**Sector Served:**
Wind

**Geographic Focus:**
Global

**Contact Information:**
Mr. Tim Libson
Principal Consultant
183 Kenilworth Ave.
Glen Ellyn, Illinois 60137

W- 630-776-6247
F- 630-469-3215
tnlibson@trintek-energy.com

**Origin of Funding:**
third party sources

**Web Address:**
http://www.trintek-energy.com
Triodos Renewable Energy for Development Fund

Organization: Triodos Renewable Energy for Development Fund aims to serve as a source of finance and business development support to private sector enterprises, (micro)finance institutions and organizations that facilitate the introduction of and widespread access of off grid renewable energy services to underserved people in developing countries. The Fund can make investments (loans, guarantees and limited ‘seed capital’) in the range of Euro 100,000 to Euro 250,000.

Type of Financing: Project Finance/Debt, Mezzanine Financing, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: developing countries in Asia and Africa

Contact Information: Mr. Hans Schut
managing director
PO Box 55
Utrechtseweg 60
Zeist, NL 3700 AB

W- +31 30 693 6500
F- +31 30 693 6566
tredf@triodos.nl

Origin of Funding: private and governments

Web Address: www.triodos.com
Triton Venture Partners, LP

Organization: Triton Ventures is an Austin, Texas-based venture capital fund specializing in high technology spin-off companies and other promising startups. Periodically, the business world experiences a 'sea change': a fundamental shift in how things get done. At Triton Ventures we actively seek out opportunities to benefit from these changes. However, we recognize that opportunity is only part of the picture. Successful companies require the right team of people and the capital to execute. Opportunity, people and capital - Triton Ventures helps companies with all three.

Triton was established with the belief that significant opportunities exist to transfer technology from corporate or institutional development environments into new entrepreneurial companies focused on rapid growth. For that reason we are especially interested in spin-out opportunities. In fact, most of our investment activity has been with this kind of company.

In deciding which companies to fund among the hundreds we encounter, we tend to focus on three key considerations:
1. Will the company’s technology-based product or service significantly change the way business is conducted?
2. Is there a large and/or rapidly growing market, manageable competition and moderate capital requirements?
3. Is there a “positively charged” management team with experience and enthusiasm that we will feel good about working with even when things do not go according to plan?
If the answer to all three questions is yes, we will definitely have an interest.

Type of Financing: Venture Capital
Sector Served: Wind
Geographic Focus: Contact
Information: Mrs. Marie Warden
Operations Manager
6801 N. Capital of Texas Hwy
Building 2, Suite 225
Austin, TX 78731

W- 512 795 5820
F- 512 795 5828
marie@tritonventures.com

Origin of Funding: Private
Web Address: www.tritonventures.com
**U.S. Global, LLC**

**Organization:**
U.S. Global, LLC (“USG”) is a South Florida investment and development firm that funds companies and develops projects in the energy, technology, manufacturing and retail industries. The principals of USG have extensive financial structuring and placement experience with the major Wall Street firms, including: Goldman Sachs, Credit Suisse First Boston, Jefferies and NatWest. Building on this experience, USG specializes in re-structuring enterprises, establishing creditworthiness, expanding businesses and unlocking inherent value.

USG has strong strategic relationships within numerous industries upon which it draws to provide partnership opportunities, contractual relationships and additional financial resources for its projects. As a result, USG is able to invest in companies and develop projects with a wide range of capital requirements.

U.S. Global currently has a two-tiered investment strategy:
- USG's venture capital activity focuses on taking small positions in relatively high-risk companies. Our venture capital investments range from software companies to energy storage.
- USG's private equity activity is geared towards taking majority positions in operating companies with significant sales and/or assets. Private equity investments under consideration range from service stations to energy projects.

**Type of Financing:**
Project Finance/Equity, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

**Sector Served:**
All types of Renewables

**Geographic Focus:**
South Eastern US, Caribbean, Latin America

**Contact Information:**
Mr. Benoit Wirz  
Vice President  
953 Hillsboro Mile  
Hillsboro Beach, FL 33062  
W- 954 7846442  
F- 3055135216  
bwirz@usgloballlc.com

**Origin of Funding:**
Private

**Web Address:**
ww.usgloballlc.com
Uni-Solar

Organization: Uni-Solar offers large solar electric systems under long term power purchase agreements. In select markets the programs offer rates for clean energy at or below avoided retail electric prices, with long term rate stability. Uni-Solar also offers systems in conjunction with performance contracts for overall energy efficiency from industry leading ESCO providers.

Type of Financing: Project Finance/Debt

Sector Served: Solar, Energy efficiency

Geographic Focus: CA, NJ

Contact Information:

Mr. Mark Holohan
Director Commercial/Industrial
3800 Lapeer Road
Auburn Hills, MI 48326

W- 800-397-2083

mholohan@uni-solar.com

Origin of Funding: Private

Web Address: www.uni-solar.com
United Capital

Organization: Since 1994, United Capital has provided over $500MM in funding for the development, construction and acquisition of over 100 renewable energy projects. UC offers a wide range of financial products to the renewable energy market place including senior and subordinated term financings up to 15 years, construction financing, working capital loans and Letter of Credit facilities which support project obligations.

United Capital lenders have considerable experience in providing financing for a wide range of renewable energy technologies, clean energy projects and high efficiency HVAC installations.

Type of Financing: Project Finance/Debt, Corporate Financing, Construction Financing, Mezzanine Financing

Sector Served: All types of Renewables

Geographic Focus: United States

Contact Information:
Mr. Jerry Peters  
Senior Vice President  
87 Post Road East  
Westport, Connecticut 6880  
W- 203-291-6639  
F- 203-291-6652  
jerry.peters@hudsonunitedbank.com

Mr. Thomas Craig  
Director of Investments  
87 Post Road East  
Westport, Connecticut 6880  
W- 203-291-6637  
F- 203-291-6652  
tcraig@hudsonunitedbank.com

Origin of Funding: United Capital is a Division of the Hudson United Bank

Web Address: HudsonUnitedBank.com
uPower Supply

Organization: Distribution with Inventory logistics

Type of Financing: Project Finance/Debt

Sector Served: Solar, Wind

Geographic Focus: DC Power

Contact Information:
Mr. Gary Gray
CEO
109 Fairfield Way
ste 106
bloomingdale, il 60108

W- 630.893.4450
F- 630.893.4365

gary@gray.net

Origin of Funding: Private

Web Address: www.upowersupply.com
**US Department of Energy**

**Organization:** United States Department of Energy.

**Type of Financing:** Federal agencies

**Sector Served:** All types of Renewables

**Geographic Focus:** US

**Contact Information:**

<table>
<thead>
<tr>
<th>Role</th>
<th>Name</th>
<th>Title</th>
<th>Office and Address</th>
<th>Phone</th>
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**Origin of Funding:** Federal

**Web Address:** www.eere.energy.gov
U-solar PV

Organization: U-solar PV is an online service designed to provide initial information to potential PV consumers. Note that I am also an MBA student from Stanford, working for the summer at Energy Innovations in Pasadena

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility, Export Credit Financing, Private Foundations, Federal agencies,

Sector Served: All types of Renewables

Geographic Focus: all

Contact Information:
Mr. Greg Boutin
Founder
87 Hulme Ct. #5E
Stanford, CA 94305

W- (650) 269 67 39

gb@u-solar.com

Origin of Funding:

Web Address: www.u-solar.com
Vencon, Inc

Organization: Vencon is one of the world's oldest venture firms, established in 1973. It has been in renewable energy since the early 1980's.

Type of Financing: Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: GLOBAL

Contact Information:
Ms. Barbara Burdzy
ANALYST
301 w. 53rd Street
New York, NY 10019

W- 212-581-8787
F- 208-955-5165

vencon@worldnet.att.net

Origin of Funding: Private

Web Address: www.venconinc.com
Viant Capital

Organization: Viant Capital, is an investment bank committed to providing high quality and innovative services. The senior members of the firm all have extensive Wall Street and industry backgrounds. The firm (which was founded in 1998) has 21 professionals in its offices located in New York, London and San Francisco. We are focused on M&A, private placements, structured finance and advisory services. Viant has extensive experience in energy, power and renewables.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Public Equity Funds/Asset Management, Venture Capital, Seed Stage/Feasibility, Export Credit Financing, Private Foundations, Federal agencies,

Sector Served: Solar, Wind, Geothermal, Biomass power/products, Biofuels, Hydropower, Energy efficiency, Ocean energy

Geographic Focus:

Contact Information: Mr. Eric Manley
Managing Director
1120 Avenue of the Americas
4th Floor
New York, NY 10036

W- 212-626-6906
F- 212-626-6908
emanley@viantgroup.com

Origin of Funding:

Web Address: http://www.viantgroup.com
Vinson & Elkins L.L.P.

Organization: Law firm

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Mezzanine Financing, Venture Capital, Seed Stage/Feasibility

Sector Served: All types of Renewables

Geographic Focus: Texas

Contact Information:
Mr. J. Nixon Fox III
Partner
2801 Via Fortuna
Suite 100
Austin, Texas 78746

W- (512) 542-8427
F- (512) 236-3216

nfox@velaw.com

Origin of Funding: N/A

Web Address: www.velaw.com
Viridis Energy Capital Pty Limited

**Organization:** Viridis is an Australian based investment management company focused exclusively on the clean and renewable energy industries. Viridis has launched an investment fund with a mandate to invest in a global portfolio of clean and renewable energy projects. Viridis is positioned to partner with project developers and owners, delivering them value for equity interests and recycling their development capital.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity

**Sector Served:** Wind, Geothermal, Biomass power/products, Hydropower

**Geographic Focus:** USA & Canada, Australia & New Zealand, Europe

**Contact Information:**
Mr. Edward Northam
Chief Executive Officer
Level One,
35 Little Bourke Street
Melbourne, VIC 3000

W- 61 3 9677 8000
F- 61 3 9677 8080

enquiries@viridisenergy.com

**Origin of Funding:** Private

**Web Address:** www.viridisenergy.com
WECS, Inc.

Organization: WECS, Inc. is the holder of an assignment of, and has applied for a patent for a new concept in wind generation using a wind turbine rather than a bladed machine. WECS, Inc. is seeking seed capital to finance construction of a prototype and future marketing of the machine.

Type of Financing: Seed Stage/Feasibility

Sector Served: Wind

Geographic Focus: US

Contact Information:
Mr. Lewis Campbell
Vice President
P.O. Box 51508
Albuquerque, New Mexico 87181-1508

W- 505 323 8292
F- 505 298 1088
LOC@spinn.net

Origin of Funding: Private

Web Address: None.
West Mountain Energy Capital, LLC

**Organization:** West Mountain Energy Capital, Ltd. (WMEC) provides developers of wind energy projects with the financial expertise required to successfully develop and fund their projects. Additionally, WMEC works with investors to acquire wind energy projects which meet their investment criteria.

**Type of Financing:** Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing

**Sector Served:** All types of Renewables

**Geographic Focus:** US, Europe

**Contact Information:**

Mr. Michael Campson  
President  
7 Tower Drive  
Simsbury, CT 6070

W- 860.748.4118  
mike@westmountainenergy.com

**Origin of Funding:** Private

**Web Address:** www.westmountainenergy.com
West Penn Power Sustainable Energy Fund

Organization: WPPSEF's mission is to promote clean energy and energy efficient technologies and businesses in the West Penn Power market region. We invest in projects and companies that contribute to the sustainable energy and economic development of the region and of the Commonwealth of Pennsylvania.

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, Venture Capital

Sector Served: All types of Renewables, Solar, Wind, Geothermal, Biomass power/products, Biofuels, Hydropower, Energy efficiency

Geographic Focus: Central and western Pennsylvania

Contact Information: Mr. Joseph Badin
Fund Advisor
Energetics, Inc
7164 Columbia Gateway Drive
Columbia, MD 21046

W- 410-953-6252
F- 410-270-0377
jbadin@energetics.com

Origin of Funding: system benefit charge

Web Address: www.wppsef.org
Western GeoPower Corp

Organization: Western GeoPower Corp. is an emerging Independent Power Producer developing Canada's first commercial Geothermal power plant for electricity generation. The South Meager project is 70 km north of Whistler and 170 km north of Vancouver BC Canada. GeothermEx, the world's foremost authority on geothermal energy, has concluded that the South Meager Geothermal Project has the potential to support a minimum power plant size of 100 MW, with a probable capacity of 200 MW.

Type of Financing: Project Finance/Debt, Project Finance/Equity

Sector Served: Geothermal

Geographic Focus: Vancouver British Columbia

Contact Information: Mr. Kenneth MacLeod
President & CEO
Suite 411-837 West Hastings St
Vancouver, British Columbia V6C 3N6

W- 604-662-3338
F- 604-646-6603
kmacleod@geopower.ca

Origin of Funding: Public Markets - Private Placement

Web Address: www.geothermal.ca
<table>
<thead>
<tr>
<th><strong>Organization:</strong></th>
<th>Windustry is involved with most aspects pertaining to the finance of community and locally owned utility scale wind projects</th>
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<tr>
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<td>Wind</td>
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<td><strong>Geographic Focus:</strong></td>
<td>Midwest</td>
</tr>
</tbody>
</table>
| **Contact Information:** | Mr. Warren Ault  
Project Development Engineer  
2105 First Avenue South  
Minneapolis, MN 55404  
W- 612 605 1548  
wault@windustry.org |
| **Origin of Funding:** | Private |
| **Web Address:** | www.windustry.org |
Yellowstone Capital, Inc.

Organization: A private equity venture capital fund formed to invest in companies focused on alternative energy technologies and renewable energy.

Type of Financing: Corporate Financing, Public Equity Funds/Asset Management, Venture Capital

Sector Served: All types of Renewables

Geographic Focus:

Contact Information: Mr. Omar Sawaf
President
5555 San Felipe
Suite 1650
Houston, Texas 77056

W- 713-650-0065

info@yellowstonecapital.com

Origin of Funding: Private

Web Address: www.yellowstonecapital.com
Zelini, LLC

Organization: Provide facilities which employ biomass and other waste streams to generate Clear Stream Energies.
Current projects include:
Western Renewable Wood Biomass Plant, Eager, AZ
SuperDrive truck CV Transmission, Piqua, OH
Zelini fluid actuated expansion motors, Carmel, IN
WTT biomass btu enhancement processes, Tucson, AZ

Type of Financing: Project Finance/Debt, Project Finance/Equity, Corporate Financing, Construction Financing, Mezzanine Financing, State/Provincial, Insurance, Other

Sector Served: Geothermal, Biomass power/products, Biofuels, Energy efficiency

Geographic Focus: national

Contact Information: Mr. Robert Hennkens
Director of Development
PO Box 3220
Prescott, Arizona 86302

W- 928 717 0077
F- NA
newfrontier@earthlink.net

Origin of Funding: Private

Web Address: www.superdriveinc.com
Zero Stage Capital

Organization: Zero Stage is one of the nation's leading early stage, high-technology venture firms. The alternate energy and clean technology areas are one of its three areas of focus. Examples of Zero Stage investments in these areas include Konarka Technologies, Evergreen Solar, and PowerSpan.

Type of Financing: Venture Capital

Sector Served: All types of Renewables

Geographic Focus: Northeast

Contact Information: Mr. Bic Stevens
Managing Director
101 Main Street
17th Floor
Cambridge, MA 2142

W- 617-876-5355 x108
bstevens@zerostage.com

Origin of Funding:

Web Address: www.zerostage.com