

## ANNEX 3: SOCIAL DEVELOPMENT RESULTS FRAMEWORK

### Millennium Development Goals (MDG) Linkage

To reduce poverty and meet the MDGs, practitioners must integrate economic and social development. The *Social Development Implementation Plan* identifies strategic priorities on how the Bank can promote sustainable poverty reduction and empowerment through assisting countries in fostering inclusive, cohesive, and accountable institutions.

Country Outcomes				Bank Strategy	Process Indicators	
<i>Final Outcomes</i>	<i>Final Indicators</i>	<i>Intermediate Outcomes</i>	<i>Measurement: Intermediate Indicators</i>		<i>Country</i>	<i>Bank</i>
Country outcomes: Results on-the-ground <sup>54</sup>				<b>Strategic Priority 1:</b> Increase attention to SD in Bank's policy dialogue and development policy lending (DPL)		
Empowerment of poor and marginalized people through inclusive, cohesive, and accountable institutions <sup>55</sup>	<i>Inclusion:</i> Gini index (WDI, Table 2.7)  Share of richest/poorest quintiles in total income/ consumption (WDI,	Country policies and strategies enable inclusive, cohesive, and accountable institutions (e.g.,	Review by the SD Sector Board (SB) of Country Policy and Institutional Assessment (CPIA) show improvement in gender	1.1 Support multi-stakeholder participation in PRSP, CAS and DPL (e.g., multi-stakeholder dialogue; policy workshops, etc)	1.1 Countries to support policies and strategies enabling multi-stakeholder participation	1.1 No. & % of Bank programs and DPLs applying participatory approaches :  ■ 42 CASs demonstrate responsiveness to stakeholder

<sup>54</sup> The outcome indicators are limited in terms of coverage, and impacts cannot be fully measured or attributed solely to Bank supported activities.

<sup>55</sup> To enable countries to develop sustainable poverty reduction programs, their policies, programs, and institutions should be: *inclusive* so that everyone has equal opportunity to gain a return to their assets; *cohesive*, so they facilitate people working together to overcome common obstacles and manage conflict in a non-violent way; and *accountable*, so they respond to the needs and

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	<p>Table 2.7)</p> <p><i>Cohesion:</i></p> <p>Country political risk rating (WDI Table 5.2)</p> <p>% GDP on health and education (WDI, Table 2.14, 2.9)</p> <p><i>Accountability:</i></p> <p>Civil liberties index, (SDI/ICRG)<sup>56</sup></p> <p>Democratic accountability rating</p>	<p>Nicaragua and Philippines policies on indigenous land titling ; conflict-peace transition strategies in 11 post-conflict countries; Bolivia popular participation law; Peru program on participatory budgeting)</p>	<p>equality, equity in public resource use, building human resources, and property rights and rule-based governance</p>	<p>1.2 Improve understanding of social context<sup>59</sup> in CAS and DPL (e.g., country social analysis; country systems; etc.)</p>	<p>1.2 Countries to strengthen capacities for social analyses in strategies and policies.</p>	<p>concerns and country ownership in SDSB Review</p> <p>DPLs reviewed rated 80% satisfactory on participation (QAG)</p> <p>1.2. No. &amp; allocation of completed and planned diagnostics:</p> <p>Up to 40 new country level social analyses including, includes:</p> <p>[2] Country Systems Analyses for Social Safeguards</p>

desires of all in a manner that is transparent, fair and just.

<sup>56</sup> World Development Indicators (WDI), Social Development Indicator (SDI); refer to : <http://esd.worldbank.org/sdstats/>; International Country Risk Guide (ICRG); To strengthen capacities to monitor social development outcomes, the Bank has rolled out a set of social development indicators (SDIs) for 142 countries. These indicators assist countries, and Bank teams, in establishing a baseline for strategy implementation, including the PRS and CAS. These SDIs are available in time series for 21 indicators of inclusion; 6 on cohesion; and 10 for accountability. In addition, these indicators inform the Country Policy and Institutional Assessment (CPIA). There are 16 indicators describing the social context.

<sup>57</sup> World Bank Governance Database (WBG), Transparency International (TI).

<sup>58</sup> Legislative index of electoral participation measures political participation and can be found in the WBG and SDI website. The global indicators have been tested in several countries; see Chr. Michelsen Institute's (CMI) reports on social development, foreign direct investments, and social development outcomes (2003); see: <http://esd.worldbank.org/sdstats/>

<sup>59</sup> Social contexts include the economic, cultural, historical and political conditions in a country.

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	(SDI/ICRG) Index of law and order (WBG) <sup>57</sup> Corruption perception index (SDI. TI) Legislative index of electoral competitiveness <sup>58</sup>			1.3 Ensure CAS, programs and DPL components promote inclusion, cohesion, and accountability (e.g., core diagnostic or technical inputs to ESWS; etc.)	1.3 Countries to improve policies and strategies to reflect social dimension; 50 % of PRSPs refer to inclusion, cohesion and accountability	80 % of DPLs satisfactory on social analyses (QAG) 1.3 No. & allocation of completed and planned country diagnostics: Share of DPLs with SD themes among top three to be at 6% or more CAS review shows increase in SD components of country programs
Country outcomes: Results on-the-ground				<b>Strategic Priority 2:</b> Increase attention to SD in Bank's investment lending		
Empowerment of poor and marginalized people through inclusive, cohesive and	<i>Inclusion:</i> Gini index (WDI, Table 2.7) Share of richest/poorest quintiles in total	Country development programs enable inclusion, cohesion, and accountability	Review of Government's investment programs shows that SD issues are increasingly	2.1 Encourage multi-stakeholder participation throughout the project cycle	2.1 Countries to support and expand stakeholder participation in projects and promote enabling	2.1. Quality assessment of Participatory approaches in Bank assisted projects: ■ quality at entry results on stakeholder

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accountable institutions	<p>income/consumption (WDI, Table 2.7)</p> <p><i>Cohesion:</i></p> <p>Country political risk rating (WDI Table 5.2)</p> <p>% GDP on health and education (WDI, Table 2.14, 2.9)</p> <p><i>Accountability:</i></p> <p>Civil liberties index, (SDI/ICRG)</p> <p>Democratic accountability rating (SDI/ICRG)</p> <p>Index of law and order (WBG)</p> <p>Corruption perception index (SDI. TI)</p> <p>Legislative index of</p>		<p>addressed (e.g., government ownership and project/program effectiveness improves by addressing needs and increasing voice of poor and marginalized people; providing social accountability</p>	2.2 Improve understanding of social context at project level	<p>conditions for stakeholder participation</p> <p>2.2 Countries to improve capacities in applying social analyses tools</p>	<p>participation remain above 90% satisfactory ratings</p> <ul style="list-style-type: none"> <li>■ quality at supervision results on stakeholder participation remain above 75% satisfactory ratings</li> <li>■ participatory M&amp;E developed in [15] projects</li> </ul> <p>2.2. No. and quality of completed social analyses:</p> <ul style="list-style-type: none"> <li>■ [6] sector level social analysis</li> <li>■ quality at entry results on social and poverty considerations above 90% satisfactory ratings</li> </ul>

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	electoral competitiveness			2.3. Strengthen social development portfolio	2.3 Countries to strengthen capacities for social development through learning-by-doing in projects with SD themes	<ul style="list-style-type: none"> <li>■ quality at supervision results on social and poverty considerations above 80% satisfactory ratings</li> </ul> <p>2.3 No. &amp; % of projects mapped to SD themes:</p> <ul style="list-style-type: none"> <li>■ Increased to 9% (from 7%) in FY02</li> <li>■ Maintain or increase SDSB portfolio currently (\$1.5 billion)</li> <li>■ Invest at least in 6 projects with explicit development objectives (DO) promoting inclusion, cohesion, and accountability</li> </ul>

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Country Outcomes: Results on-the-ground				<b>Strategic Priority 3:</b> Improve SD in operational research, and capacity building, and partnerships		
Empowerment of poor and marginalized people through inclusive, cohesive and accountable institutions	Civil society index (WBG, SDI) Civil liberties index, (SDI/ICRG) Democratic accountability rating (SDI/ICRG) Index of law and order (WBG) Corruption perception index (SDI. TI)	Global knowledge and capacities strengthened to promote enabling policies and programs for inclusion, cohesion, and accountability  Global, regional, and in-country partnerships strengthened to enable inclusion, cohesion, and accountability	WBI country capacity assessments show improvements.  Sector Board Review of research and Knowledge products (FY08)	3.1 Operational Research and Knowledge: Improve knowledge on how institutions can promote empowerment and improvement in living standards of poor and marginalized groups	3.1 Government strategies reflect best practices on dealing with SD issues	3.1 Types and no. of research and knowledge management: WDR on equity Pro-poor growth and land inequality studies Conflict management Empowerment studies (social exclusion; governance, culture, gender, CDD, ethnic diversity, etc) Policy analysis (survey research, project evaluation, qualitative research, etc.)  3.2 No. & allocation of

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				<p>3.2 Capacity Building: Strengthen capacities of countries for dealing with issues of inclusion, cohesion, and accountability (e.g., tools for participatory budgeting, conflict analysis; poverty and social impacts; etc)</p>	<p>3.2 Countries to facilitate capacity building programs to better address issues of inclusion, cohesion, and accountability</p>	<p>capacity building programs (as per SDSB review ) such as:</p> <ul style="list-style-type: none"> <li>2 networks of SD practitioners established</li> <li>2 network strengthened</li> </ul> <p>Capacity building programs enhanced (per SDSB review) such as:</p> <ul style="list-style-type: none"> <li>CDD and natural resource management program in Nigeria; Social auditing capacity building in Bolivia; Social accountability, participatory budgeting, and performance monitoring programs (e.g., Bosnia-Herzegovina, Sri Lanka, Peru, Philippines, etc)</li> </ul> <p>3.3 No &amp; allocation to support partnerships:</p> <ul style="list-style-type: none"> <li>■ Country, regional,</li> </ul>

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				3.3 Partnerships: More partners pursuing coherent approaches to Social Development issues	3.3 Country Governments s to strengthen partnerships with non-governmental institutions, media, local groups and private sector and development partners	<p>global SD partnerships for knowledge sharing, operations and research</p> <ul style="list-style-type: none"> <li>■ DGF programs enhanced and sustained</li> <li>■ Knowledge partnerships with Norway, Finland and Japan sustained</li> <li>■ Donor safeguards harmonization expanded to include social safeguard</li> <li>■ Community Foundations pilot successfully mainstreamed (FY08)</li> </ul>