

# CLIMATE INVESTMENT FUNDS

SCF/TFC.2/3  
January 16, 2009

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Meeting of the SCF Trust Fund Committee  
Washington, D.C.  
January 27, 2009

## **PPCR PROGRESS REPORT**

## **Introduction**

1. At its meeting in November 2008, the SCF Trust Fund Committee approved the Pilot Program for Climate Resilience (PPCR) as a target program of the Strategic Climate Fund (SCF). This note provides an up-date on the progress that has been made in operationalizing the program.

## **Purpose and Objectives of the PPCR**

2. The PPCR is the first targeted program under the SCF. The objective of the PPCR is to pilot and demonstrate ways to integrate climate risk and resilience into core development planning, while complementing other ongoing activities. The pilot programs implemented under the PPCR are to be country led, build on National Adaptation Programs of Action and other relevant country studies and strategies, and be strategically aligned with the Adaptation Fund and other donor funded activities to provide pilot finance in the short term so as to learn lessons that will be useful in designing scaled up adaptation financing.

3. The PPCR aims to contribute to achieving the objectives of the SCF by seeking to provide incentives for scaled-up action and transformational change in integrating consideration of climate resilience in national development planning consistent with poverty reduction and sustainable development goals.

## **PPCR Sub-Committee**

4. The Sub-Committee of the PPCR (Sub-Committee) is comprised of the following Members:

Australia,  
Bangladesh,  
Bolivia,  
Canada,  
Germany,  
Japan,  
Maldives,  
Samoa Senegal,  
UK,  
Yemen, and  
The Developing Country Chair or Vice-Chair of the Adaptation Fund Board.

5. The Sub-Committee is responsible for:

- (a) approving programming priorities, operational criteria and financing modalities for the PPCR;

- (b) selecting countries to be funded under the PPCR and approving PPCR financing for programs;
- (c) approving periodic reports to the SCF Trust Fund Committee on the operations of the PPCR, so as to ensure that lessons learned are transmitted through the SCF Trust Fund Committee to the AFB, the United Nations Framework Convention on Climate Change (UNFCCC) and other stakeholders;
- (d) ensuring complementarity between activities foreseen for the PPCR and activities of other development partners active in the field of climate change adaptation, including the Global Environment Facility (GEF) and the United Nations (UN) organizations, and ensuring effective cooperation between the PPCR and the GEF and UN country activities to maximize synergies and avoid overlap;
- (e) approving members of the Expert Group and providing guidance and information necessary for the Expert Group to perform its functions; and
- (f) exercising such other functions as they may deem appropriate to fulfill the purposes of the PPCR.

### **Co-Chairs of the Sub-Committee**

6. At its first meeting, the Sub-Committee elected Mr. Robin Davies (Australia) and Mr. Sami Sofan (Yemen) as its co-chairs.

### **PPCR Expert Group**

7. The design of the PPCR provides for an expert group to be established by the Sub-Committee to make recommendations on the selection of pilot programs to be financed under the PPCR based on:

- (a) transparent vulnerability criteria;
- (b) country preparedness and ability to move towards climate resilient development plans, taking into account efforts to date and willingness to move to a strategic approach to integrating climate resilience into development; and
- (c) country distribution across regions and types of hazards, as appropriate.

8. The expert group is to consist of up to eight (8) members and include a range of individuals with scientific, economic, social, environmental, development, policy and/or governance/institutional expertise, as well as climate-related knowledge in areas such as agriculture, forestry, fisheries, and health. Members of the expert group are to be selected by the PPCR Sub-Committee in accordance with clear and transparent criteria.

9. After its organizational meeting in October 2008, the Sub-Committee approved by mail the *Expert Group Selection Criteria* (document dated November 6, 2008) and the *Terms of Reference and Guidance for the Expert Group* (document dated November 6, 2008), to guide the process of selecting the expert group.

10. Nominations for individuals to serve on the expert group were invited from members of the PPCR Sub-Committee, the Adaptation Fund, MDBs, the UN and other relevant agencies, and an open call for nominations was posted on the CIF website.

11. The MDB Committee reviewed the nominations received and proposed to the Sub-Committee a list of experts that meets the approved selection criteria, including a regional and gender balance (see document PPCR/SC.1/2, *Approval of Experts to Serve on the Expert Group*). The Sub-Committee reviewed and approved the proposed list of experts as follows:

**Table 1 – PPCR Expert Group**

Climate Scientist / Climatologist	Mr. Nick Brooks, Independent climate change consultant and Visiting Research Fellow, University of East Anglia, U.K.
Development / Climate Change Policy Specialist	Mr. Nobuo Mimura, Director, Institute of Global Change Adaptations Science, Ibaraki University and Vice President Extraordinary, Ibaraki University, Japan
Economist	Mr. Shardul Agrawala, Principal Economist, Climate Change, OECD, France
Environmental Specialist	Mr. Leonard Nurse, Senior Lecturer, Center for Resource Management and Environmental Studies, (CERMES) Faculty of Pure and Applied Sciences, Cave Hill Campus, Barbados
Governance and Institutions	Mr. Ian Burton, Scientist Emeritus position with Environment Canada and Emeritus Professor with the University of Toronto, Canada
Rural Development Specialist	Mr. Saleem Huq, Director of the climate change program at the International Institute for Environment and Development (IIED), U.K.
Social Development Specialist	Ms. Rosa Perez, Project Leader, Ayala Foundation, and Project Leader, International Development Research Center (IDRC) of Canada through the Environment and Economy of Southeast Asia Program (EEPSEA), with PAGASA, Philippines
Natural Resources Management Specialist	Ms. Baggis Osman-Elasha, Senior Researcher, Higher Council for Environment & Natural Resources (HCENR), Sudan

12. The Sub-Committee agreed that the experts group should select two co-chairs, one from a developing country and one from a developed country, to lead their work. During their first meeting on, November 25, 2008, the Expert Group members unanimously elected **Mr. Saleem ul Huq** and **Mr. Ian Burton** as their co-chairs.

13. The experts group held four meetings, the most recent of which was in Washington from January 5-9, 2009. At this meeting, the group carried out in-depth deliberations with a view to finalizing their report and recommendations on the selection of pilot programs.

14. The experts are in the process of completing their final report and recommendations, which are to be considered by the Sub-Committee at its next meeting on January 27-28, 2009.

### **Awareness Raising and Consultations**

15. The Secretariat prepared a short descriptive document on the PPCR program and disseminated the document to countries during the fourteenth session of the UNFCCC Conference of the Parties in Poznan, Poland (December 1-12, 2008), and through other communication channels.

16. Since its establishment in late November 2008, the expert group has been engaged in consultations with Parties to the UNFCCC, and a variety of other experts and interested groups and individuals. During these consultations, the expert group operated largely in a “listening mode” gathering ideas and suggestions, and clarifying its own role and work as required.

17. Three members of the group held consultations at the UNFCCC COP in Poznan on Saturday, December 6, 2008. A number of issues arose from those consultations, which clustered around five key themes:

- (a) The number of countries in pilot program;
- (b) The issue of funding made available through loans versus through grants;
- (c) The process of application to the PPCR, and criteria of country selection;
- (d) The potential for particular groups or types of countries to be selected such as regional groupings of countries, and post-conflict countries;
- (e) The relationship between the PPCR and UNFCCC processes.

18. An interim progress report on the work of the expert group was prepared by the group and submitted to the Sub-Committee.

### **Next Steps**

19. At its next meeting, the Sub-Committee will consider:

- (a) the *Acceptance of offer to Participate Template* to be submitted by countries selected for the pilot programs
- (b) issues related to the programming design and financing for PPCR pilots, and
- (c) the report of the PPCR Expert Group.

20. The Sub-Committee is expected to select the pilot programs during the meeting.

The Secretariat has begun consultations with representatives from Canada on the preparation of a performance framework for the PPCR. An organizational meeting was held on January 13, 2009, to develop an initial work plan. It was agreed that a working group comprised of experts from governments, MDBs, UN agencies, and NGOs should

be established to help prepare a proposed performance framework. An initial meeting of the working group, to be chaired by Canada, will be held on January 29-30, 2009. The results of the working group will be submitted for consideration and approval to the Sub-Committee at its meeting in May 2009.