


THE FOURTH PARTICIPATORY POVERTY ASSESSMENT PROGRESS REPORT

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MAY, 2005



Introduction

- A Participatory Poverty Assessment (PPA) is an interactive participatory research that seeks to understand poverty from the perspective of a range of stakeholders and also involves them directly in planning follow-up action.
- The most important stakeholders here are the poor people.

PPA PROCESS.

- PPA process allows people to speak for themselves, while encouraging them to articulate their own solutions to their problems by a genuinely inclusive approach to the participatory dialogue.
- Conceptually, PPAs seek to understand poverty in its local and institutional context by letting the poor people give their own perceptions on what poverty is, how it is caused and how it can be reduced.
- PPAs go a step further to assess the impact of pro-poor policies while identifying their weaknesses and seeking policy solutions to those weaknesses.

Why do PPA-IV ?

- PPA-IV will be centered on a better understanding of poverty dynamics and diagnostics and the identification of effective public actions to reduce poverty will emerge from this understanding of the people's past poverty dynamics.
- Hence, the PPA-IV initiative will follow the generally accepted participatory procedures in assessing poverty in Kenya.

Cont'

Why do PPA-IV?

- The need to carry out the Fourth Participatory Poverty Assessment (PPA IV) is to complement the findings of the Quantitative (KIHBS) survey from the qualitative point of view and hence enrich the final output of the two surveys.
- The PPA-IV has been designed to be closely linked with the forthcoming KIHBS, so as to generate a rich quantitative-qualitative data set on poverty.

PPA IV and KIHBS

- It is important to note that while KIHBS will focus on making aggregation of data possible and whose results will easily be measurable, PPAIV will strive to get a richer definition of what poverty is and its causal processes while assessing the impact of IP_ERS policies on reducing poverty.
- Hence the KIHBS will be looking at the breadth of poverty while the PP IV will be looking at its depth.

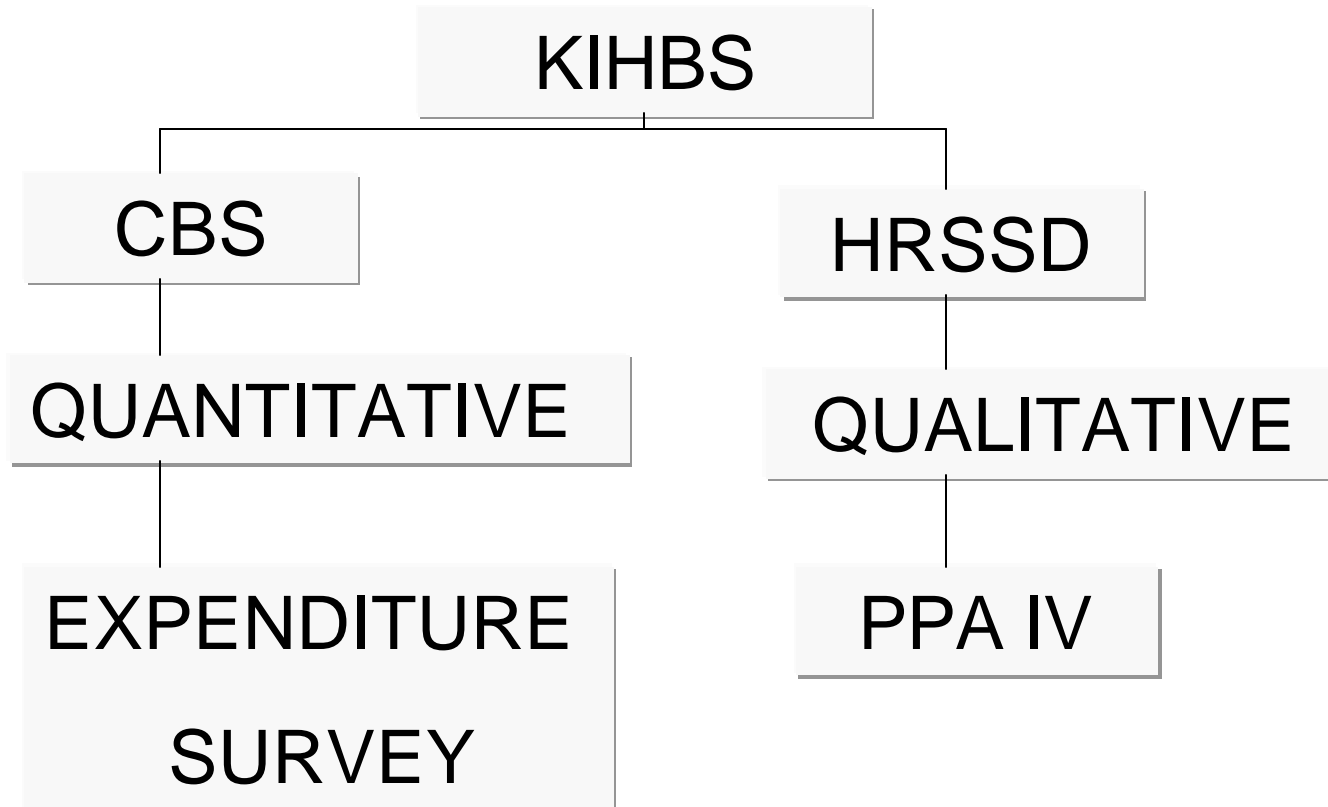
PPA-IV Objectives

- To gain deeper understanding of poverty in Kenya based upon the perceptions of the people themselves especially the poor.
- To help establish a poverty line by incorporating the perceptions of the poor and non-poor Kenyan;
- To provide a richer data set on poverty dynamics in Kenya while assessing the extent to which households move in and out of poverty over time

PPA-IV Objectives Cont.

- To develop further a process through which Kenyans can engage in a dialogue with local and national policy makers influencing public policy and public actions
- To identify and prioritize effective public actions that would support poor communities in their escape from poverty focusing on the delivery of public services and to provide this feedback into IP-ERS process

Institutional arrangement



This arrangement will then ensure:

- Existence of one steering committee for both the KIHBS and PPAIV.
- Sharing of certain user facilities such as vehicles particularly when in common places in the Districts.
- Harmonization of unit cost of activities (e.g. allowances of the researchers, team leaders etc.) and
- Ensure that procedures and regulations for expenditures are strictly and commonly adhered to since it is the same Donors funding the two exercises.

PPA IV Instruments

- The PPA IV has drawn its survey Instruments from three sources namely:
 - Previous PPAs
 - KePIM exercise
 - Stages of progress methodology.

Sample Design

- Under PPA IV poverty incidences, agro-ecological zones and access to markets were used as the criteria for sampling, and 18 districts have been sampled.
- Four clusters, one urban and 3 rural (except for Nairobi and Mombasa which are purely urban) were selected in each district guided by the KIHBS sample and hence making a total of 72 clusters.

Sample Design Cont.

- The selection of 16 districts (except Nairobi and Mombasa) from the strata was probabilistic and hence there was no preference for any particular district among them.
- The probability proportional to size (pps) method was used to select the districts.
- Nairobi and Mombasa were selected with probability Proportional to size of one due to their urban nature

Sampled Clusters and their Geographical Locations

Province	District	Cluster
Central	Kirinyaga	Ndundu, Ragoi, Kiangai Lower and Kianyaga 'C'
	Nyeri	Mugumoini, Kariguini, Rathuthi and Ngomongo
Eastern	Tharaka	Iriani, Kamathu 'B', Mpuku and Kibumbu
	Makueni	Matuyu "B", Makanda, Kika and Emali town
	Marsabit	Jaldesa, Elbogi/Elgade, Abdala, and Gampicha Township

Sampled Clusters Cont.

Nyanza	Kisii Central	Nyangonda, Kanyimbo, Mwasebwengi and Jogoo(prisons)
	Kisumu	Kokelo, Ober, Kanyakraro and Manyatta 'A'
	Migori	Pith Jope A, Pith Jope B Otacho'A" /Nyakrombe Lwanda ,Staff Quarters
Western	Butere	Ebukhoko, Eshiayekwe, Eshiolela and Mushisere
	Bungoma	Ngwelo, Sigulu 'A', Khapala/ Namboboto and Maduwa

Sampled Clusters Cont.

Rift Valley	Marakwet	Chebiemit Centre, Kapkata/Chelach Kaisakat/kakisokat/Kerep and Kapsowar 'A'
	Laikipia	Nturukuma Pri. School/Kenya F, Makutano, Ade Farm and Starehe
	Nandi	Cheptuiya/Kipkei, Kaptepengwo, Kapchepbosei and Show Ground "B"
Nairobi	Nairobi	Thome V, Southlands, Kasabuni and Village 2

Sampled Clusters Cont.

Coast	Kilifi	Roka, Ngombeni, Mwapuka and Kanamai
	Mombasa	Kitaruni-Jerusalem, Wayani Bomani, Mukumaa/Funda
	Tana River	Gelmadhi, Matanya, Chira and Hola Mission 'C'
North Eastern	Wajir	Jay, Quadama, Dadalem and Kenya Power Estate

Current Status of PPAIV.

- To have this program implemented a number of consultative meetings by PPA-IV technical team and key stakeholders have been taking place to review specific terms for this exercise and forge the way forward.
- The PPA-IV has now been integrated with the KIHBS under one steering Committee but is being managed by a separate technical committee coordinated by the Department of Human Resources and Social Services.

Cont'

Current Status of PPAIV.

- Literature review of six policy areas to be covered during PPA IV have been finalised. These are:
 - Water and sanitation
 - Agricultural Extension Services
 - Infrastructure (access roads)
 - Education (Free primary education),
 - Health (Availability, Affordability, and Accessibility), and
 - Gender Mainstreaming.

Cont'

Current Status of PPAIV.

- The checklist focusing on poverty diagnostics and dynamics and five policy areas has already been completed and can now be used for pilot survey
- The Pilot is scheduled to commence towards the end of may this year before starting the actual fieldwork in July this year.
- A PPA IV manual will be developed to guide the researchers while collecting data.

Data collection process

- The PPAIV will be conducted in 5 phases. The first four phases will be composed of four districts each while the fifth phase will be composed of the two urban districts.
- There will be four teams from the headquarters comprising two researchers each that are knowledgeable in the PPAIV methodology.
- Each team will cover one district in one region in each phase.

Data collection process Cont.

- At the District level each team will recruit two facilitators and six research assistants.
- The district will therefore be composed of 8 officials who will form two sub-teams within the district.
- With the guidance of checklist and the manual the data collection process will begin with poverty diagnostics and dynamics.
- This will then be followed by assessment of the impact of policy/service delivery areas.

Data collection process Cont.

- Each sub-team will cover two sites (Clusters) within the district.
- After completing one site, the researchers will proceed to the next site.
- After the four sites are completed and the site and district reports done, the coordinators from the headquarters will move on to the next region.

Period of coverage

- Preparatory work for the PPAIV has already commenced.
- Literature review of selected policies and the PPA-IV documentation sheet or the checklist have been finalized.
- The field manual is in the process of being finalized.
- Recruitment of research assistants, training and pre-testing of the instruments will be done before the fieldwork activities scheduled to commence in July 2005.

Cont'

Period of coverage

- PPAIV will be carried out for a period of four months while analysis and dissemination of its findings will be done afterwards.
- The KIHBS will attempt to capture seasonality by running throughout the year. It has been noted that a pilot exercise for KIHBS has been conducted and data is currently being analyzed

PPA IV BUDGET

	Activity	Amount (Kshs)	US \$ \$ = Kshs 75
1	Literature Review, Manual and Check List Preparation	1,400,000	18,670
2	Printing of Survey Instruments, Stationary and Equipment	2,620,000	34,930
3	Pilot Survey	1,819,700	24,260
4	Recruitment, Training and Data Collection	18,949,100	252,660

PPA IV BUDGET

	Activity	Amount (Kshs)	US \$
5	Data Analysis and Report Writing	1,620,000	21,600
6	Combined KIHBS and PPA IV Report	2,000,000	26,670
7	Dissemination	1,300,000	17,330
8	Technical Assistance	15,675,000	209,00
	Total	45,383,800	605,120

Availability of facilities

- The PPA-IV activities will be implemented using a total of 6 vehicles, but only 4 four-wheel vehicles have been identified by the transport office.
- These vehicles however require to be rehabilitated so as to access the remote and the terrain of the areas where the sample clusters are located.

Collaborating Agency

- The tender for a collaborating agency to work with the government team has already been raised through restrictive tender arrangements.
- The selection of this agency is expected to be accomplished before mid May 2005.

Expected output of PPA-IV

Expected output include:-

- Site Reports
- District Reports
- National Report
- Integrated PPA IV and KIHBS Report

PPA IV implementation timeline and the way forward

Code	Activity	Time	
		Start	End
1.0.0	Literature Review, Preparation of the Checklist and Manual	1- Feb- 05	30 - April -05
2.0.0	Rehabilitation of Vehicles, Purchase of supplies and Equipment	Before 1- June – 05	
2.1.0	Selection of the institution to support PPA IV with Technical Backstopping	3 – May – 05	16 – May - 05

Timeline Cont.

Code	Activity	Time		
3.0.0	Pilot Survey			
3.0.1	Printing of Pilot Checklists and Manuals	17 – May - 05	20 – May - 05	
3.1.0	Recruitment and Mobilization for the Pilot Exercise	23 – May – 05	26 – May - 05	
3.2.0	Training of Research assistants for the Pilot Exercise	30 – May - 05	3 – June - 05	

Timeline Cont.

Code	Activity	Time		
3.3.0	Data Collection and Compilation of the Report (Pilot)	6 – Jun – 05	17 – Jun - 05	
3.4.0	Retreat to discuss Pilot Results and Finalization of the checklist	20 – Jun – 05	24 – Jun - 05	
4.0.0	Printing of the Final Checklists and Manual for the main PPA IV Exercise	27 – Jun – 05	1 – Jul - 05	

Timeline Cont.

Code	Activity	Time	
5.0.0	Training of Coordinators	4 – Jul - 05	7 – Jul - 05
6.0.0	Recruitment of Researchers for Kisumu, Migori, Kisii Central and Nandi	11 – Jul – 05	14 – Jul - 05
7.0.0	Training of Researchers from Kisumu, Migori, Kisii Central and Nandi	17 – Jul – 05	23 – Jul - 05
8.0.0	Field Work and compiling site Reports in Kisumu, Migori, Kisii Central and Nandi	25 – Jul – 05	8 – Aug - 05

Timeline Cont.

Code	Activity	Time	
9.0.0	Recruitment of Researchers in Busia, Butere Mumias and Marakwet and Laikipia	15 – Aug - 05	18 - Aug - 05
10.0.0	Training of Researchers in Busia, Butere Mumias and Marakwet and Laikipia	21 - Aug - 05	27- Aug - 05
11.0.0	Field work and compiling site reports in Busia, Butere Mumias, Marakwet and Laikipia	29 – Aug - 05	12 – Sept - 05

Timeline Cont.

Code	Activity	Time	
12.0.0	Recruitment of Researchers in Nyeri, Kirinyaga and Tharaka and Makueni	19- Sept- 05	22 - Sept - 05
13.0.0	Training of Researchers in Nyeri, Kirinyaga and Tharaka and Makueni	25 – Sept - 05	1 - Oct – 05
14.0.0	Field work and compiling site reports in Nyeri, Kirinyaga and Tharaka and Makueni	3 – Oct - 05	17- Oct - 05

Timeline Cont

Code	Activity	Time		
15.0.0	Recruitment of Researchers in Marsabit, Tana River, Wajir and Kilifi	24 – Oct – 05	27- Oct- 05	
16.0.0	Training of Researchers in Marsabit, Tana River, Wajir and Kilifi	30 - Oct - 05	5 – Nov – 05	
17.0.0	Field work and compiling site reports in Marsabit, Tana River, Wajir and Kilifi	7 – Nov - 05	21 – Nov- 05	

Timeline Cont

Code	Activity	Time		
18.0.0	Recruitment of Researchers in Nairobi and Mombasa	23 – Nov - 05	26 – Nov - 05	
19.0.0	Training of Researchers in Nairobi and Mombasa	28 – Nov - 05	4 – Dec – 05	
20.0.0	Field work and compiling site Reports in Nairobi and Mombasa	5 – Dec - 05	19 – Dec – 05	

Timeline Cont

Code	Activity	Time	
21.0.0	Data Analysis and Report Writing	3 – Jan - 05	23 – Jan – 05
22.0.0	Integrated Analysis (KIHBS and PPA IV)	1 – May - 06	1 – June – 06
23.0.0	Dissemination	June 2006	