Assignment: TF011092

Central America Disaster Risk Reduction and Climate Change Adaptation Initiative Project

SFLAC - SPANISH TF FOR LATIN AMERICA & CARIBBEAN

Task Team Leader: 00000264559 - Ana Campos Garcia
Approving Manager: 00000157892 - Anna Wellenstein

Summary Information

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<th>TF Status</th>
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<tbody>
<tr>
<td>Recipient Country</td>
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<td>Executed By</td>
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<td>Managing Unit</td>
<td>8810 - LCSDU</td>
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<td>Grant Start Date / End Date</td>
<td>12/15/2011 to 12/31/2014</td>
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<td>Program Manager</td>
<td>Barbry R. Keller</td>
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<td>Donor</td>
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This GRM report includes the following sections: Overview, Outcome, Components/Outputs, Execution, Program(SFLAC), Processing, Attached Documents, Disbursements, Internal Comments.
OVERVIEW

Overall Assessments and Ratings

Grant Objectives:
The objective of the Project is to strengthen the Recipient and the Participating Countries' capacity to identify, design and implement disaster risk reduction and climate change adaptation measures.

Overall progress from 10/01/2012 to 09/30/2013 with regard to Achieving Grant Objectives:
Rating: Satisfactory (Previously Rated Satisfactory on 09/30/2012)
Comment:
This grant has financed the support of CEPREDENAC in its implementation of the Central American Comprehensive Disaster Risk Management Policy (Política Centroamericana de Gestión Integral de Riesgo-PCGIR) including the creation of a regional fund for disaster risk management and climate change adaptation (FOCEGIR). During the XXXVIII Central American Presidential Summit (San Salvador, 16 December 2011), CEPREDENAC successfully secured the necessary approval for FOCEGIR's design.

Overall progress from 10/01/2012 to 09/30/2013 with regard to Implementation of Grant Financed Activities:
Rating: Satisfactory (Previously Rated Satisfactory on 09/30/2012)
Comment:
Through the implementation of this grant, CEPREDENAC is supporting the operationalization of three main pillars of its mandate to member countries: (1) identity and assess disaster risk in the 6 SICA countries; (2) design risk reduction and climate change adaptation measures; and (3) design a regional mechanism to finance risk reduction and associated climate change adaptation programs. CEPREDENAC has made significant progress in managing Bank trust funds, particularly in procurement following Bank procedures. With respect to implementation of the different components, CEPREDENAC has made significant advances in the preliminary designs of FOCEGIR, which would facilitate investments in risk reduction measures at the regional level.

Grant follow-up and structure

Description and context of Grant:
Not applicable.

Expected follow up (if any): Follow up Bank project/loan/credit/grant

Comment on follow up:
Not determined yet.

End Date of Last Site Visit:

Restructuring of Grant:
Not applicable.

Activity Risk

Rating: Modest Risk (Original Risk Rating is Negligible or Low Risk)
Comment:
As CEPREDENAC was initially unfamiliar with World Bank Policies and Procedures, the Bank spent significant time strengthening CEPREDENAC's procurement and financial management capacities during the grant's initial stage. While project implementation has since advanced with procurement moving significantly quicker, political changes in CEPREDENAC (e.g. a lack of an Executive Director from June 2013-December 2013) represented a major challenge to project implementation. A new Executive Director is expected to be named before the end of December 2013.
OUTCOME

Comments on outcome achieved from 10/01/2012 to 09/30/2013

Grant Outcome Indicators
Grant outcome indicators are listed below.

Number of active participants in the network on probabilistic risk modeling
Baseline Value:
Date: 09/05/2011
Progress to Date: More than 40 participants in probabilistic risk modeling trainings
Target Value: 50
Date: 06/30/2014

Number of risk reduction and climate change adaptation investment programs under implementation
Baseline Value:
Date: 09/05/2011
Progress to Date: 18 Project Concepts have been designed and the dialogue with possible donors have been initiated
Date: 09/30/2013
Target Value: 2
Date: 06/30/2014

The plan of action adopted by the Central American Presidents
Baseline Value:
Date: 09/05/2011
Progress to Date: The plan of action has been discussed and validated by CEPREDENAC Board. It will be presented in the Central American Presidents Summit in December, 2013
Date: 09/30/2013
Target Value: The plan of action adopted by the Central American Presidents
Date: 06/30/2014
## COMPONENTS/OUTPUTS

### Output and Implementation by Component

#### Establishment of a regional community of practice in probabilistic risk assessment

**Implementation**: Satisfactory  
**Rating**: Under Implementation  
**Status**: Under Implementation  
**Planned Output**: (i) Establish website for community of practice; and (ii) Training material and tutorials on probabilistic risk assessment  
**Actual Output**: Website and training materials under current design

#### Technical Assistance Projects in hazard and risk assessment for selected sectors or municipalities

**Implementation**: Satisfactory  
**Rating**: Under Implementation  
**Status**: Under Implementation  
**Planned Output**: (i) Action Plan to implement vulnerability reduction activities per country; (ii) Technical reports; (iii) Technical interdisciplinary group trained per country (10 individuals)  
**Actual Output**: Terms of reference per country already formulated and approved; two TAPS launched; first two national workshops implemented

#### Design of regional mechanisms to finance risk reduction and associated climate change adaptation programs

**Implementation**: Satisfactory  
**Rating**: Under Implementation  
**Status**: Under Implementation  
**Planned Output**: (i) Financial mechanism to fund DRR & CCA in CA; (ii) Document with design and action plan for implementation; (iii) Mid-term assessment of RPDR document  
**Actual Output**: Proposal for the FOCEGIR fund and strategic plan approved by the CEPREDENAC Board; 16 projects formulated as part of the portfolio

#### Audit

**Implementation**: Satisfactory  
**Rating**: Procurement On going  
**Status**: Procurement On going  
**Planned Output**: Annual Audit  
**Actual Output**: Annual audit for 2012 completed; 2013 audit under preparation for procurement process

### Comment on planned and actual Output

### Comment on component implementation progress
EXECUTION

Bank project related to the grant

Project ID / Name: P125899 - Central America DRR & CCA Program
Project Status: Lending
Global Focal Area: RE - Recipient Executed Activities

Implementing agency and contact details

Agency: The Center for the Prevention of Natural Disasters in Central America CEPREDENAC
Contact: Ivan Morales
Address: Avenida Hincapie 21-72, Zona 13, Ciudad de Guatemala, Guatemala
Phone: 502 - 239 00200
Email: morales@sica.int
Website: www.cepredenac.org

Implementation performance ratings from 10/01/2012 to 09/30/2013 with regard to:

Project Management: Satisfactory (Previously Rated Satisfactory on 09/30/2012)
  Brief Comment:
  CEPREDENAC has carried out activities as expected.

Financial Management: Satisfactory (Previously Rated Satisfactory on 09/30/2012)
  Brief Comment:
  CEPREDENAC submitted audited financial report as established in the grant agreement.

Counterpart Funding: Satisfactory (Previously Rated Satisfactory on 09/30/2012)
  Brief Comment:
  Work carried out in the design of the FOCEGIR structure has been funded by CEPREDENAC resources.

Procurement: Satisfactory (Previously Rated Satisfactory on 09/30/2012)
  Brief Comment:
  CEPREDENAC has complied with procurement plan.

Monitoring and Evaluation: Satisfactory (Previously Rated Not Rated on 09/30/2012)
  Brief Comment:
  Reports have been provided in monthly meetings and report from July, 2013 has been sent

Additional Comments on Implementation Performance:

Low experience in Bank procedures was a initial challenge. In addition, convening activities with representatives of six countries and taking decisions for the implementation has represented also big challenge, which has become critical in the last six months with an interim Executive Secretary. This explains the low disbursements for the current period and cumulatively. New commitment will allow a faster disbursement in next quarters.
PROGRAM

Program Specific Questions

1. Please describe any coordination with Spanish Economic and Commercial Offices in the field/DC, and with Spanish Universities.
   In-country Spanish Economic and Commercial Offices were invited to the June 2103 meetings to present and discuss the FOCEGIR proposal, potential portfolio and example projects eligible for FOCEGIR finance.

2. Please confirm that you have attached to this GRM all relevant documents (reports, conference materials, event invitations, agendas, etc.).
   Report attached.

3. Please list and attach, if possible, all visibility (press releases, etc.) and multimedia products (websites, CD ROMs, videos, etc.) funded by this grant.
   N/A

4. If your grant is not yet disbursing, please describe the reason/s for delay and actions taken.
   Implementation experienced impediments as the former Executive Director resigned in June 2013, with a new Executive Director scheduled to come on board January 2, 2014. A meeting with the next Executive Secretary Roy Barrera was held in Guatemala to brief the Executive Secretary on the current situation. The Executive Director has subsequently begun coordination with the Board to speed up the implementation of CAPRA activities.

5. Has your grant been restructured (closing date extension, change of activities, reallocation of proceeds, etc.)? If so, please provide details on how the grant has been restructured including any revised activities, budget and time line changes.
   N/A
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Internal Bank Report
## DISBURSEMENTS

### Disbursements Summary in USD

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