

DRAFT With Conflict Questions (May 9, 2005)

Section 0: Interview Information and Household Identification

Interview Information

H001. Name of interviewer: _____

H002. Date of interview: _____

Time started: _____

Time ended: _____

H003. Name of supervisor: _____

Signature of supervisor (indicating questionnaire was reviewed): _____

Any comments/observations during the interview: _____

Household identification

H004. Name of head of household: _____

H005. Street address (if applicable): _____

H006. Country: _____

H007. Area: Rural.....1

Urban.....2

H008. Urban: Neighborhood: _____

H009. City: _____

H010. Department/Province: _____

H011. Rural: Village: _____

H012. County/District: _____

H013. Department/Province/State: _____

H014. When did this household move to this village/neighborhood? _____ (Month/Year)

H015. Household ID number on the sampling list: _____

H016. This household was replaced by household number: _____

H017. This household replaces household number: _____

H018. Reason for replacement:

- DWELLING NOT FOUND 1
 - HOUSEHOLD ABSENT..... 2
 - REFUSAL..... 3
 - OTHER 99
-

H019. Rank on the ladder of life now _____ (As assigned by the Focus Group Discussion: Ladder of Life)

H020. Rank on the ladder of life 10 years ago _____ (As assigned by the Focus Group Discussion: Ladder of Life)

H021. Step on the ladder of life at which the community poverty line was placed _____ (As determined by the Focus Group Discussion: Ladder of Life)

H022. Step on the ladder of life at which the official poverty line was placed _____ (As determined by the Focus Group Discussion: Ladder of Life)

H023. Household Transition Category_ (As determined by the Focus Group Discussion: Ladder of Life)

- MOVER 1
 - CHRONIC RICH..... 2
 - CHRONIC POOR..... 3
 - FALLER 4
-

H024. Ethno-linguistic group of the head of household:

- ETHNO-LINGUISTIC GROUP 1 1
- ETHNO-LINGUISTIC GROUP 2 2
- ETHNO-LINGUISTIC GROUP N n

(Note: While coding for ethno-linguistic groups, each country team will have to come up with categories that best reflect the key socio-economic divisions that impact access to services and economic opportunities. In other words, an anthropological approach would be needed to decide whether the codes should reflect ethnic or linguistic divisions, depending on which impact access more. These groups should then be coded).



H025. Religion of the head of household:

RELIGION 1 1

RELIGION 2 2

RELIGION N..... n

Section 1: Household Roster

Name of respondent: _____

ID code from roster below: _____

We are now going to ask you some questions about today and 10 years ago. How old were you then? Where were you living? Were you married? Did you have any children? How old were they? So now when I ask you about a situation 10 years ago, I want you to think of the specific situation you mentioned.

First, I would like to make a complete list of all the people who normally live and eat their meals together in this dwelling.

| ID Code | Name of household member | Sex MALE.....1 FEMALE...2 | Relationship to the head of household <i>(Please refer to the codes for Question10.3 below)</i> | Age in years <i>(Write 0 if less than 12 months old)</i> | Marital Status <i>(Please refer to the codes for Question10.5 below)</i> | Completed education level <i>(Please refer to the codes for Question 106 below)</i> |
|----------------|---------------------------------|--|---|--|--|---|
| Hid1 | H101 | H102 | H103 | H104 | H105 | H106 |
| 01 | | | | | | |
| 02 | | | | | | |
| 03 | | | | | | |
| 04 | | | | | | |
| 05 | | | | | | |
| 06 | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| N ¹ | | | | | | |

¹ Continue the ID numbers till you cover all members of the household

Codes for Questions²

| | | | |
|-------|---|---|------------------------------------|
| H103. | Relationship to the head of household | | |
| | HEAD..... | 1 | BROTHER/SISTER-IN-LAW..... |
| | WIFE/HUSBAND..... | 2 | GRANDFATHER/MOTHER..... |
| | CHILD/ADOPTED CHILD..... | 3 | FATHER/MOTHER-IN-LAW..... |
| | GRANDCHILD..... | 4 | OTHER RELATIVE..... |
| | NIECE/NEPHEW..... | 5 | SERVANT OR SERVANT'S RELATIVE..... |
| | FATHER/MOTHER..... | 6 | TENANT OR TENANT'S RELATIVE..... |
| | SISTER/BROTHER..... | 7 | OTHER..... |
| | SON/ DAUGHTER/SON-IN-LAW/DAUGHTER-IN-LAW..... | 8 | 99 |
| H105. | Marital Status | | |
| | MARRIED..... | 1 | SEPARATED..... |
| | COMMON-LAW UNION..... | 2 | WIDOW OR WIDOWER..... |
| | DIVORCED..... | 3 | NEVER MARRIED..... |
| H106. | Completed education level | | |
| | NO SCHOOLING, ILLITERATE..... | 1 | SECONDARY COMPLETE..... |
| | NO SCHOOLING, LITERATE..... | 2 | VOCATIONAL/TECHNICAL..... |
| | PRIMARY INCOMPLETE..... | 3 | UNIVERSITY..... |
| | PRIMARY COMPLETE..... | 4 | OTHER..... |
| | SECONDARY INCOMPLETE..... | 5 | 99 |

² Please note that coding lists throughout the questionnaire should not be read out. In other words, the interviewer should not use them as a prompt list to prompt the respondent for answers.

Now I would like to ask you about people in your household who engage in economic activities.

| ID Code | What is their customary main occupation/ sector of activity <i>(Please refer to the codes for Question 107 below)</i> | What is their customary employment status? FULL-TIME WAGE WORK.....1 PART-TIME WAGE WORK.....2 CASUAL/ DAILY WORK.....3 WORK FOR YOUR OWN ACCOUNT...4 NO WORK...5 | What is their secondary occupation/ sector of activity <i>(Please use the codes from Question 107 for this question)</i> | How long has this person lived in this community (in years)? <i>(Write 0 if less than 12 months)</i> | Was this person a member of this household 10 years ago? YES.....1 <i>(If yes, skip to question 113)</i> NO.....2 | Why not? <i>(Please refer to the codes for Question 112 below)</i> |
|---------|--|--|---|---|--|---|
| Hid1 | H107 | H108 | H109 | H110 | H111 | H112 |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Codes for Questions

| | |
|---|----|
| 107. and 109. Customary and secondary occupation/sector of activity | |
| FARMER | 1 |
| FISHERMAN | 2 |
| MANUFACTURING, ARTISANAL..... | 3 |
| MANUFACTURING, INDUSTRIAL | 4 |
| TRADE | 5 |
| OTHER SERVICE (PRIVATE SECTOR), UNSKILLED WORK | 6 |
| OTHER SERVICE (PRIVATE SECTOR), SKILLED WORK..... | 7 |
| PUBLIC SECTOR, UNSKILLED WORK..... | 8 |
| PUBLIC SECTOR, SKILLED WORK..... | 9 |
| OTHER | 99 |
| NONE | 11 |
| AGRICULTURAL WAGE LABOR..... | 10 |
| NON-AGRICULTURAL WAGE LABOR..... | 12 |
| 112. Why not? | |
| NOT BORN YET | 1 |
| NOT MARRIED INTO THE HOUSEHOLD YET | 2 |
| AWAY WORKING | 4 |
| AWAY FOR MILITARY SERVICE..... | 5 |

Migration History of the Household

Now tell me if there were any people who were members of the household 10 years ago, but are now no longer part of the household.

| ID Code | Sex MALE.....1 FEMALE...2 | Relationship to the head of household <i>(Please use the codes for Question 103)</i> | What was this person's age (in years) 10 years ago? <i>(Write 0, if less than 12 months old)</i> | Why is this person no longer in this household? <i>(Please refer to the codes for Question 116 below)</i> | Where is this person now living? <i>(Please refer to the codes for Question 117 below)</i> | Is this person better off, worse off or about the same since they left this household? <i>(Please refer to the codes for Question 118 below)</i> |
|----------------|--|--|--|---|--|--|
| Hid2 | H113 | H114 | H115 | H116 | H117 | H118 |
| 01 | | | | | | |
| 02 | | | | | | |
| 03 | | | | | | |
| 04 | | | | | | |

Codes for Questions

| | | | |
|--------------|---|---|----------------------------------|
| H116. | Why is this person no longer in this household? | DIED1 <i>(If died, skip to Section 2)</i> | AWAY WORKING4 |
| | | MARRIED AND FORMED A SEPARATE HOUSEHOLD 2 | AWAY FOR MILITARY SERVICE5 |
| | | AWAY STUDYING 3 | OTHER99 |
| H117. | Where is this person now living? | SAME VILLAGE/CITY 1 | DIFFERENT REGION 3 |
| | | DIFFERENT VILLAGE/CITY, SAME REGION 2 | DIFFERENT COUNTRY 4 |
| H118. | Is this person better off, worse off or about the same since they left this household? | BETTER OFF 1 | ABOUT THE SAME 3 |
| | | WORSE OFF 2 | |

Section 2: Economic Characteristics of Households

2A. Assets

H201. I would now like to ask whether your household currently owns any of the following items, and whether your household owned any of these items 10 years ago.

| Item | A. Own Currently? YES.....1 NO.....2 | B. Owned 10 years ago? YES.....1 NO.....2 |
|--------------------------------|---|--|
| i. Car or truck or tractor | | |
| ii. Animal drawn cart | | |
| iii. Motorcycle | | |
| iv. Bicycle | | |
| v. Color TV | | |
| vi. Black-and-white TV | | |
| vii. CD or DVD player | | |
| viii. Radio or cassette player | | |
| ix. Refrigerator | | |
| x. Gas stove or range | | |
| xi. Washing machine or dryer | | |
| xii. Sewing machine | | |
| xiii. Electric fan | | |

H202. In the past 10 years, did your household have to sell/mortgage any of these items in order to have money to buy enough food, clothing, or to pay for health care?

YES.....1
NO.....2

H203. Does your household own any livestock now, and did it own any 10 years ago?

| Type of livestock | A. How many are owned now? | B. How many were owned 10 years ago? |
|---------------------------------|-----------------------------------|---|
| i. Oxen | | |
| ii. Cows | | |
| iii. Horses | | |
| iv. Mules | | |
| v. Goats | | |
| vi. Sheep | | |
| vii. Pigs | | |
| viii. Chicken | | |
| ix. Fish | | |
| x. Other aquatic life/creatures | | |

H204. Does your household currently own any farmland, and did it own any 10 years ago?

| Type of Land | A. Number of hectares currently owned | B. Number of hectares owned 10 years ago |
|--------------------------------------|---------------------------------------|--|
| i. Irrigated land | | |
| ii. Unirrigated, temporary crop land | | |
| iii. Unirrigated permanent crop land | | |
| iv. Grazing land/wasteland | | |

H205. If the household currently owns land, do you have any documents, such as a legal title, that prove your ownership of the land?

YES.....1
 NO.....2
 N/A.....3

H206 A. i Does your household currently own the house in which it lives?

YES.....1
 NO.....2 →(If no, skip to question H206B)

ii. If yes, do you have any documents, such as a legal title, that prove your ownership of the house?
 YES1
 NO2

H206B. Did your household own the house in which it lived 10 years ago?

YES.....1
 NO.....2

H207. What are the amenities and characteristics of the house in which the household now lives and of the house in which it lived 10 years ago?

| Type of Amenities | A. In current house | B. In house 10 years ago |
|--|---------------------|--------------------------|
| i. Electric lighting (YES..1, NO..2) | | |
| ii. Water tap in house or yard (YES..1, NO..2) | | |
| iii. Functioning private well (YES..1, NO..2) | | |

| | | |
|--|--|--|
| iv. Number of rooms (living room, bedrooms, rooms for household enterprises) | | |
| v. Flush Toilet (YES..1, NO..2) | | |
| vi. Latrine (YES..1, NO..2) | | |
| vii. Type of Walls (<i>Please refer to codes below</i>) | | |
| viii. Type of Roof (<i>Please refer to codes below</i>) | | |
| ix. Handpumps/Tubewells (YES..1, NO..2) | | |

Codes for Question 207 vii and 207 viii

| | |
|---|--|
| H207 vii. Type of Wall (in current house and in house 10 years ago) | H207 viii. Type of Roof (in current house and in house 10 years ago) |
| BRICK OR CONCRETE.....1 | CONCRETE.....1 |
| MUD.....2 | SHINGLES.....2 |
| BAMBOO..... 3 | METAL..... 3 |
| WOOD, TIN OR ZINC.....4 | WOOD.....4 |
| OTHER..... 99 | THATCH..... 5 |
| | OTHER..... 99 |

2B. Sources of Cash Income

H208. I would now like to talk to you about the different ways in which your household earns income. Does your household now obtain any cash income from the following sources, and did it obtain any cash income from these sources 10 years ago?

| Sources of Income | A. Source of income now | B. Source of income 10 years ago |
|--|--------------------------------|---|
| | YES.....1 NO.....2 | YES.....1 NO.....2 |
| i. Wages from regular employment | | |
| ii. Wages from temporary/casual/daily work | | |
| iii. Sale of crops and minor forest produce | | |
| iv. Sale of livestock and livestock products | | |
| v. Sale of fish and fish products | | |
| vi. Income from a non-agricultural household business | | |
| vii. Rental income (house, room, equipment) | | |
| viii. Interest income from savings account or other financial assets | | |
| ix. Money sent by members of the household living elsewhere | | |
| x. Pension | | |
| xi. Unemployment benefits | | |
| xii. Social assistance or other government programs | | |

H209 A. Which are the three most important sources of income to your household now?

(Please use the codes from question 208 for this question.)

| | | |
|-----|------|-------|
| (i) | (ii) | (iii) |
| | | |

B. Which were the three most important sources of income to your household 10 years ago?

(Please use the codes from question 208 for this question.)

| | | |
|-----|------|-------|
| (i) | (ii) | (iii) |
| | | |

2C. Household Expenditures

H210. How much does your household usually spend per month on the following items?

| Item | Amount Spent (in Local Currency) |
|--|----------------------------------|
| a. Wheat | |
| b. Maize | |
| c. Rice | |
| d. Other grains or flour | |
| e. Bread | |
| f. Sugar | |
| g. Fish | |
| h. Meat | |
| i. Fruits | |
| j. Vegetables | |
| k. Other foods (Spices, Herbs etc.) | |
| l. Alcoholic beverages | |
| m. Non-alcoholic beverages | |
| n. Tobacco products | |
| o. Public transportation (bus, train, taxi, etc.) | |
| p. Private transportation (gasoline, maintenance for car, bicycle) | |
| q. Communication (postage, paper, telephone, telegram, etc.) | |
| r. Personal care (hairdresser, soap, etc.) | |
| s. Products for use in the house (detergents, etc.) | |
| t. Entertainment (movies, cassette rental, newspapers, etc.) | |
| u. Payments for servants and maids | |
| v. Rent | |
| w. Water | |
| x. Lighting (electricity, kerosene, candles, etc.) | |
| y. Cooking fuels (gas, wood, coal, charcoal, etc.) | |

| | |
|------------------------------|--|
| z. Cooking medium (oil etc.) | |
|------------------------------|--|

H211. In the past year, how much did your household spend on the following items?

| Item | Amount Spent (in Local Currency) |
|--|----------------------------------|
| a. Footwear | |
| b. Clothing | |
| c. Linens | |
| d. Cooking and eating utensils | |
| e. House furnishings | |
| f. House maintenance and repair | |
| g. Taxes | |
| h. Other expenditures (festivals, insurance, etc.) | |
| i. Education | |
| j. Health | |

H212. In terms of ability to meet the annual food consumption needs of the household, where would you place your household?

| | | |
|--|--------------------------|--------------------------|
| FOOD DEFICIT/ NOT ADEQUATE FOOD THROUGHOUT THE YEAR.....1 | A. Currently | B. 10 Years Ago |
| DEFICIT/ NOT ADEQUATE FOOD IN SOME MONTHS OF THE YEAR.....2 | | |
| JUST ENOUGH FOOD THROUGHOUT THE YEAR; NEITHER EXPERIENCE DEFICIT NOR ANY SURPLUS..... 3 | <input type="checkbox"/> | <input type="checkbox"/> |
| SURPLUS/ADEQUATE FOOD THROUGHOUT THE YEAR.....4 | | |

H213. Have you and your household had full square meals/sufficient meals every day for the last seven days?

| | |
|------------|--------------------------|
| YES..... 1 | <input type="checkbox"/> |
| NO.....2 | |

H214. In the past year, did your household consume any products that it produced or gathered itself? If so, can you estimate the value of these?

| Type of product | i. Consumed that it produced or gathered YES.....1 NO.....2 | ii. If yes, can you estimate the value of these? |
|----------------------------|---|--|
| a. Crops | | |
| b. Processed crop products | | |
| c. Livestock | | |
| d. Livestock products | | |
| e. Forest products | | |
| f. Fish | | |

| | | |
|----------|--|--|
| g. Other | | |
|----------|--|--|

H215. Does your household regularly send money or goods to relatives or friends?
 YES.....1
 NO.....2 → *(If no, skip to question 217)*

H216. How much on average each month? *(Include the value of goods sent)*

H217. If working for people outside your household, were you or other members of your household paid by other means than cash, for example food, clothing, free transport, housing, etc.?
 YES.....1
 NO.....2 → *(If no, skip to question 219)*

H218. At how much would you estimate the value of those items received over the past year?

H219. Does your household regularly or occasionally receive gifts from relatives or friends?
 YES.....1
 NO.....2 → *(If no, skip to question 221)*

H220. At how much would you estimate the value of those items received over the past year?

2D. Credit and Debt

H221. In the past year, did anyone in this household borrow or obtain credit for regular consumption purposes?

 YES.....1
 NO.....2 → *(If no, skip to question 225)*

H222. What was the total amount borrowed or received on credit? *(If the loan or credit was in goods, please convert it to the equivalent in local currency.)*

H223. Who provided the credit or loan? *(If there were multiple transactions in the past year, please refer to the most important one.)*

- RELATIVES..... 1
- FRIENDS..... 2
- MONEYLENDER..... 3
- TRADER/STORE..... 4
- EMPLOYER..... 5
- LANDLORD 6
- COMMUNITY CREDIT GROUP/ASSOCIATION..... 7
- COMMERCIAL BANK..... 8
- GOVERNMENT BANK..... 9
- NGO..... 10
- OTHER..... 99

H224. Of this amount, how much money do you still owe (Amount in local currency)? _____

H225. In the past 10 years, did anyone in this household borrow or obtain credit in order to pay for a major household event such as a marriage, a sickness (illness or injury) or a funeral?

- YES..... 1 → *(If yes, specify which event).....*
- NO..... 2 → *(If no, skip to question 229)*

H226. What was the total amount borrowed or received on credit *(If any part of the loan or credit was in goods, please convert it to the equivalent in local currency)?*

H227. What was the main source of the credit or loan? *(If there were multiple transactions in the past year, please refer to the most important one.)*

- RELATIVES..... 1
- FRIENDS..... 2
- MONEYLENDER..... 3
- TRADER/STORE..... 4
- EMPLOYER..... 5
- LANDLORD 6
- COMMUNITY CREDIT GROUP/ASSOCIATION..... 7

COMMERCIAL BANK 8
 GOVERNMENT BANK 9
 NGO 10
 OTHER 99

H228. Of the amount, how much money do you still owe? (Amount in local currency) _____

H229. Does your household own a business, such as a farm, store, trading stand, repair business, factory, medical or legal practice, etc.?

YES 1
 NO 2 → *(If no, skip to question 234)*

H230. Over the past 10 years, have you borrowed money or received credit to help you establish or maintain this business activity?

YES 1
 NO 2 → *(If no, skip to question 234)*

H231. What was the total amount borrowed or received on credit?

H232. Which were the two most important sources of credit?

RELATIVES 1
 FRIENDS 2
 MONEYLENDER 3
 TRADER/STORE 4
 EMPLOYER 5
 LANDLORD 6
 COMMUNITY CREDIT GROUP/ASSOCIATION 7
 COMMERCIAL BANK 8
 GOVERNMENT BANK 9
 NGO 10
 OTHER 99

(i) (ii)

H233. Of this amount, how much money do you still owe? (Amount in local currency) _____

H234. Over the past 10 years, did you ever apply for credit for any purpose, but were denied?

YES 1

NO.....2 → (If no, skip to Question 236)

H235. Why do you think you were denied?

- TOO POOR 1
- UNABLE TO PROVIDE A GUARANTEE 2
- PREVIOUS DEFAULT ON LOAN 3
- ETHNIC OR OTHER DISCRIMINATION 4
- OTHER 99

H236. Over the past 10 years, did you ever need a loan or credit but did not try to get it because you expected to be denied?

- YES..... 1
- NO.....2

2E. Access to employment and markets

H237. In the last year, has anyone in your household been unemployed, i.e. wanted to work or work more but could not find it?

- YES..... 1
- NO.....2

H238. Over the past ten years, has it become easier or harder to find work, or is it about the same?

- EASIER 1
- ABOUT THE SAME.... 2
- HARDER 3

H239. In your view, how easy or difficult is it to find a job with a private employer in the local area?

A. Currently

B. 10 years ago

- VERY EASY 1
- FAIRLY EASY..... 2
- NOT SO HARD IF HELP IS AVAILABLE FROM RELATIVES/FRIENDS..... 3
- NOT SO HARD IF HELP IS AVAILABLE FROM A PAID AGENT/MIDDLEMAN .. 4

SOMEWHAT DIFFICULT 5
 VERY DIFFICULT 6

H240 A. (Rural) Do you think that farmers usually get fair prices in their dealings with buyers and sellers?

(Urban) Do you think that people who own a business usually get fair prices in their dealings with buyers and sellers?

USUALLY GET FAIR PRICES 1
 SOMETIMES GET FAIR PRICES BUT NOT ALWAYS 2
 USUALLY DO NOT GET FAIR PRICES 3

B. (Rural) Over the past 10 years, do you think that the ability of farmers to get a fair price for their product has improved, deteriorated, or stayed about the same?

(Urban) Over the past 10 years, do you think that the ability of people who own a business to get a fair price for their product has improved, deteriorated, or stayed about the same?

IMPROVED 1
 STAYED ABOUT THE SAME 2
 DETERIORATED 3

Section 3: Education and Health

3A. Education

H301. In this household, are there any children that are currently in school?

YES.....1
NO.....2

H302. Compared to 10 years ago, has the situation for your child’s schooling improved, deteriorated, or stayed about the same?

PRIMARY SCHOOLS

SECONDARY SCHOOLS

(i)

(ii)

IMPROVED.....1
STAYED ABOUT THE SAME2
DETERIORATED3

H303 A. Do you think that children are treated more or less equally by teachers and school authorities in the schools in your village/neighborhood?

YES, MOST CHILDREN ARE TREATED EQUALLY 1
NO, SOME CHILDREN ARE TREATED BETTER THAN OTHERS.... 2

H303 B. Compared to 10 years ago, do you think that the extent to which teachers treat all children the same in schools has improved, deteriorated, or stayed about the same?

IMPROVED.....1
STAYED ABOUT THE SAME2
DETERIORATED3

H304. How many times in the past year have you or a household member attended a parent-teacher or other meeting in one of the schools which your children attend? _____

3B. Health

Now I would like to talk to you about your health condition, and situation of medical facilities in your community.

H305. In the past 10 years, did your household experience any major health shock (illness, injury or death) that created a crisis for the household?

YES.....1
NO.....2 → (If no, skip to Question 307 A)

H306. How did you address/cope with the financial costs triggered by this health crisis?

DISSAVING.....1
SOLD ASSETS.....2
BORROWED MONEY AT HIGH INTEREST.. 3
BORROWED MONEY ON EASY TERMS.....4
COULD NOT COPE5

H307 A. How good do you consider your health condition to be?

EXCELLENT1
FAIRLY GOOD.2
FAIRLY BAD.....3
VERY BAD4

H307B. Compared to 10 years ago, has your health condition improved, deteriorated, or stayed about the same?

IMPROVED.....1
STAYED ABOUT THE SAME2
DETERIORATED3

H308. If anyone in your household was ill or injured, do you think that he/she would always be able to obtain the necessary health services from clinics and hospitals?

ALWAYS1 → (skip to question 310)
MOST OF THE TIME.....2
SOMETIMES3
RARELY4
NEVER.....5

H309. What is the most important factor that makes it difficult to obtain treatment for an illness or injury?

- TREATMENT TOO EXPENSIVE 1
- MEDICAL FACILITIES TOO FAR 2
- MEDICAL FACILITIES OF POOR QUALITY 3
- FACILITIES HAVE INCONVENIENT HOURS OR ARE OFTEN CLOSED 4
- ETHNIC OR RACIAL BIAS 6
- RELIGIOUS DISCRIMINATION 7
- OTHER 99

H310. Compared to 10 years ago, has situation of health services in your community improved, deteriorated, or stayed about the same?

- IMPROVED 1
- STAYED ABOUT THE SAME 2
- DETERIORATED 3

(Questions H311-319 are for countries affected by conflict)

H311. In the last week, including today, have you been bothered or distressed by nervousness or shakiness inside?³



- NOT AT ALL 1
- A LITTLE 2
- QUITE A BIT 3
- EXTREMELY 4

H312. In the last week, including today, have you been bothered or distressed by feelings of restlessness or inability to sit still?



- NOT AT ALL 1
- A LITTLE 2
- QUITE A BIT 3
- EXTREMELY 4

H313. In the last week, including today, have you been bothered or distressed by feelings of low energy or a feeling of being slowed down?



- NOT AT ALL 1
- A LITTLE 2
- QUITE A BIT 3
- EXTREMELY 4



H314. In the last week, including today, have you been bothered or distressed by feelings of worthlessness?

- NOT AT ALL.....1
 - A LITTLE.....2
 - QUITE A BIT.....3
 - EXTREMELY.....4
-

H315. In the past week, how much have you been bothered by recurring nightmares?

- NOT AT ALL.....1
 - A LITTLE.....2
 - QUITE A BIT.....3
 - EXTREMELY.....4
-

H316 In the past week, how much have you been bothered by feelings that you don't have a future?

- NOT AT ALL.....1
 - A LITTLE.....2
 - QUITE A BIT.....3
 - EXTREMELY.....4
-

H317. In the past week, how much have you been bothered by feelings that people don't understand what happened to you?

- NOT AT ALL.....1
 - A LITTLE.....2
 - QUITE A BIT.....3
 - EXTREMELY.....4
-

H318. In the past week, how much have you been bothered by feelings that people you have no one to rely on?

- NOT AT ALL.....1
 - A LITTLE.....2
 - QUITE A BIT.....3
 - EXTREMELY.....4
-

H319. In the past week, how much have you been bothered by feelings of disinterest in daily activities?

- NOT AT ALL.....1
 - A LITTLE.....2
 - QUITE A BIT.....3
 - EXTREMELY.....4
-

Section 4: Social Capital

4A. Groups and Networks

Now I would like to talk to you about the groups or associations, networks, and organizations to which you belong. These could be formally organized groups or just groups of people who get together regularly to do an activity or talk about things.

| | A. Currently | B. 10 Years Ago |
|--|---|------------------------|
| H401. To how many groups or associations do you belong that relate to your main economic activity (for example, farming, fishing, trade, manufacturing, etc)? | | |
| H402. To how many groups or associations do you belong that deal with finance, credit or savings? | | |
| H403. To how many groups or associations do you belong that deal with health or education issues? | | |
| H404. To how many political groups or associations do you belong? | | |
| H405. To how many religious groups or associations do you belong? | | |
| H405 i. To how many ethnic groups or associations do you belong? | | |
| H406. To how many other groups or associations do you belong? | | |
| TOTAL | | |
| | <i>(If the total for currently is zero, skip to question 411)</i> | |

H407. Of all the groups and associations to which you or members of your household currently belong to, which one is the most important to you?

(i)Name of group _____

(ii)Type of group

PRODUCTION OR TRADE 1

FINANCE, CREDIT OR SAVING 2

HEALTH OR EDUCATION 3
 POLITICAL 4
 RELIGIOUS 5
 ETHNIC 6
 OTHER 99

| | A. Currently YES.....1 NO.....2 | B. 10 Years Ago YES.....1 NO.....2 |
|---|--|---|
| H408. Thinking about the members of (write name of group), are/were most of them of the same | | |
| i. Gender | | |
| ii. Religion | | |
| iii. Ethnic or linguistic background | | |
| iv. Race/caste/tribe | | |
| H409. Thinking about the members of this group, do/did members mostly have the same | | |
| i. Occupation | | |
| ii. Educational background or level | | |

H410. Does this group work with or interact with groups outside the village/neighborhood?

NO 1
 YES, OCCASIONALLY 2
 YES, FREQUENTLY 3

H411. *(Ask only if the respondent belonged to any groups or associations, networks, and organizations 10 years ago, in Question 401-406)*

Now lets go back to 10 years ago. Of all the groups and associations to which you belonged to 10 years ago, which one was the most important to you?

(i)Name of group _____

(ii)Type of group

PRODUCTION OR TRADE 1

FINANCE, CREDIT OR SAVING2
 HEALTH OR EDUCATION3
 POLITICAL4
 RELIGIOUS5
 ETHNIC6
 OTHER99

4B. Trust and Solidarity

In every community, some people get along with others and trust each other, while other people do not. Now, I would like to talk to you about trust and solidarity in your community.

H412. Generally speaking, would you say that most people can be trusted or that you can't be too careful in dealing with people?

PEOPLE CAN BE TRUSTED1
 YOU CAN'T BE TOO CAREFUL2

H413. Do think that over the last 10 years, the level of trust in this village/neighborhood has gotten better, worse, or stayed about the same?

GOTTEN BETTER1
 STAYED ABOUT THE SAME2
 GOTTEN WORSE3

H414. In general, do you agree or disagree with the following statements?

i. Most people in this village/neighborhood are willing to help if you need it.
 ii. In this village/neighborhood, one has to be alert or someone is likely to take advantage of you.

AGREE STRONGLY1
 AGREE SOMEWHAT2
 DISAGREE SOMEWHAT3
 DISAGREE STRONGLY4

(Before asking the following question, please specify what you mean by local government so the reference point is clear)

H415. How much do/did you trust

| | A. Currently | B. 10 Years Ago |
|-------------------------------|--------------|-----------------|
| i. Local government officials | | |

| | | |
|--|--|--|
| ii. State/Central government officials | | |
|--|--|--|

- TO A VERY GREAT EXTENT 1
- TO A GREAT EXTENT 2
- NEITHER GREAT NOR SMALL EXTENT 3
- TO A SMALL EXTENT 4
- NOT AT ALL..... 5

4C. Collective Action and Cooperation

H416. In the past year, how many times did you or anyone in your household participate in any community activities, in which people came together to do some work for the benefit of the village/neighborhood?

Number of times

H417. If there was a problem with getting enough water in this village/neighborhood, how likely is it that people would cooperate to try to solve the problem?

- VERY LIKELY 1
 - SOMEWHAT LIKELY 2
 - SOMEWHAT UNLIKELY 3
 - VERY UNLIKELY..... 4
-

H418. Suppose something unfortunate happened to someone in the village/neighborhood, such as a serious illness, or his house burning down. How likely is it that some people in the community would get together to help this person?

- VERY LIKELY 1
 - SOMEWHAT LIKELY 2
 - SOMEWHAT UNLIKELY 3
 - VERY UNLIKELY 4
-

4D. Social Cohesion

H419. There are often differences in characteristics between people living in the same village/neighborhood such as differences in religion, ethnicity, social status or wealth. To what extent do factors like religion, social status or wealth divide people in the village/neighborhood from one another today? And ten years ago? Use a five point scale where 1 means no division and 5 means to a very great extent.

- NO DIVISION..... 1
- TO A VERY SMALL EXTENT 2
- TO A SMALL EXTENT 3


TO A GREAT EXTENT4
 TO A VERY GREAT EXTENT5

A. Currently?


B. 10 years ago?

H420. In the past 10 years, have any of these differences in this village/neighborhood ever led to violence?


YES..... 1
 NO..... 2 → *(skip to question 420 b)*

 *(Questions H 420 a-h, are for countries affected by conflict)*

a. If yes, what is the likelihood that these differences could result in violence again within the next year or two?

 VERY UNLIKELY1
 UNLIKELY2
 NEITHER UNLIKELY NOR LIKELY3
 LIKELY.....4
 VERY LIKELY.....5

b. Over the past ten years, have there been any difficult disputes between two or more local people who have been unable to resolve the dispute themselves and have had to seek help with mediating their conflict?

 YES.....1
 NO..... 2 → *(skip to question 420 e)*

c. If yes, where did local people turn for help with mediating their disputes? *(circle any that apply, not just one)*

| <i>Type of Mediator*</i> | d. Effectiveness Rating (see H420 d below) |
|---|---|
| i. Community Leader (if inter-ethnic or inter-religious) | |
| ii. Respected elder (if different from i) | |
| iii. Religious leader (if different from i and ii) | |

| | |
|---|--|
| iv. Ethnic leader (if different from i and ii) | |
| v. The police | |
| vi. The courts | |
| vii. Other government officials from any level | |
| viii. Head of associational groups (e.g. micro finance group, or burial group) | |
| ix. Teacher | |
| x. Armed opposition group | |
| xi. Self-defense group | |
| xii. Others (specify and code) | |
| | |
| | |
| | |

*Substitute local terms where appropriate.

- d.** Above, in the second column on the right, indicate how effective each type of mediator you identified has been in helping local people to resolve disputes and overcome the potential for more serious conflict.

Effectiveness Rating



1. Always succeeds in solving local disputes
2. Mostly succeeds in solving local disputes
3. Sometimes succeeds and sometimes fails to resolve local disputes.
4. Mostly fails in solving local disputes.
5. Always fails in solving local disputes.

- e.** Are local youth involved in crime and violence in this community?



- YES..... 1
 NO..... 2 → *(skip to question 420 h)*
 DON'T KNOW3 → *(skip to question 420 h)*

- f.** Please identify below the actors who work most closely with youth in the community to keep youth on a good path and away from involvement in crime and violence. (Please circle any that apply, not just one.)



| <i>Name of Actor</i> | g. <i>Effectiveness Rating (see 420 g below)</i> |
|-----------------------------------|---|
| i. Parents | |
| ii. Community leader | |
| iii. Respected elder in community | |

| | |
|---|--|
| iv. Religious leader | |
| v. Ethnic leader (substitute local terms) | |
| vi. The police | |
| vii. The courts | |
| viii. Other government officials from any level | |
| ix. Head of Associational groups (e.g. micro finance group; sports group) | |
| x. Teacher | |
| xi. Armed opposition group | |
| xii. Self-defense group | |
| xiii. Others (specify and code) | |
| | |
| | |

g. Above, in the second column on the right, indicate each of these actor's effectiveness in reducing crime and violence among youth on the following scale:

Effectiveness Rating



1. Very effective
2. Effective
3. Neither effective nor ineffective
4. Ineffective
5. Very ineffective

h. Are there any community activities, such as those organized by the local government, religious organizations, the school, the local development association, etc., in which you think you are not allowed to participate?

1. YES → if so, list up to three activities [add code for each]
2. NO, I CAN PARTICIPATE IN ALL ACTIVITIES → *(skip to question H21)*



| | |
|--------------------|--------|
| Activities: | [CODE] |
| | |
| | |
| | |

H421. Have you ever felt discriminated against when you tried to buy something, enter a place, use a service, or in any other circumstance?

YES.....1
 NO.....2

Section 5: Power, Governance and Access to Information

5A. Power and Governance

H501 A. How much control do you feel you have in making personal decisions that affect your everyday activities?

- CONTROL OVER ALL DECISIONS 1
- CONTROL OVER MOST DECISIONS 2
- CONTROL OVER SOME DECISIONS 3
- CONTROL OVER VERY FEW DECISIONS..... 4
- NO CONTROL 5

H501 B. Compared to 10 years ago, do you feel that you have now more, less, or about the same amount of control in making personal decisions that affect your everyday activities?

- MORE 1
- ABOUT THE SAME 2
- LESS 3

H502 A. To what extent do local government and local leaders take into account concerns voiced by you and people like you when they make decisions that affect you?

- A LOT 1
- A LITTLE 2
- NOT AT ALL 3

H502 B. Compared to 10 years ago, does the local government now pay more, less, or about the same attention to what people like you think when it decides what to do?

- MORE 1
- ABOUT THE SAME 2
- LESS 3

H503. In the past 10 years, have you done any of the following?

i. Attend an organized meeting of residents to discuss community issues?

ii. Attend a neighborhood council meeting, a public hearing or discussion?

iii. Met with a local politician, called him/her, or sent a letter?

(Before asking the following question, please define to the respondent what you mean by a personal matter/ public issue. A personal matter can be one that benefits only one person, while a public issue benefits almost everyone).

a. If yes, was it for personal matter or public issue?

PERSONAL MATTER 1
PUBLIC ISSUE2
OTHER99

iv. Met with a national politician, called him/her, or sent a letter.....

(Before asking the following question, please define to the respondent what you mean by a personal matter/ public issue. A personal matter can be one that benefits only one person, while a public issue benefits almost everyone or a large number of people).

a. If yes, was it for personal matter or public issue?

PERSONAL MATTER 1
PUBLIC ISSUE2
OTHER99

v. Signed a petition to make a demand from your local or state/national government.....

vi. Participated in a protest or demonstration

vii. Participated in an information or election campaign

viii. Alerted newspaper, radio or TV to a local problem

ix. Notified police or court about a local problem

YES..... 1
NO..... 2

H504. Over the past 10 years, do you think that your ability to contact your local government and influence their actions has increased, decreased, or stayed about the same?

INCREASED 1
STAYED ABOUT THE SAME 2
DECREASED 3

H505. Generally speaking, would you say that this country is run by a few big interests looking out for themselves, or that it is run for the benefit of all the people?

RUN FOR ALL THE PEOPLE 1
RUN BY A FEW BIG INTERESTS 2

(Before asking the following question, please specify what you mean by local government so the reference point is clear)

H506. Generally speaking, would you say that your local government is run by a few big interests looking out for themselves, or that it is run for the benefit of all the people?

RUN FOR ALL THE PEOPLE 1
RUN BY A FEW BIG INTERESTS 2

H507. In general, compared to 10 years ago, do local government officials now act more in the interest of everyone or more in their own self interest or has it stayed about the same?

MORE FOR THE GREATER PUBLIC INTEREST 1
ABOUT THE SAME 2
MORE FOR THEIR OWN SELF INTEREST 3

H508. Lots of people find it difficult to get out and vote. Did you vote in the last state/national/presidential election?

YES..... 1
NO..... 2

H509. Did you perceive that election to be fair and free?

YES..... 1
NO..... 2

H510. In general, how satisfied are you with the way democracy works in this country?

VERY SATISFIED..... 1
 SOMEWHAT SATISFIED 2
 SOMEWHAT DISSATISFIED 3
 VERY DISSATISFIED 4

H511. In general, how satisfied are you with the way democracy works in your local government?

VERY SATISFIED 1
 SOMEWHAT SATISFIED 2
 SOMEWHAT DISSATISFIED 3
 VERY DISSATISFIED 4

H512. How widespread do you think bribe taking and corruption are in this country? How many government officials/civil servants are engaged in it?

ALMOST NO GOVERNMENT OFFICIALS ARE ENGAGED IN IT.....1
 A FEW GOVERNMENT OFFICIALS ARE ENGAGED IN IT..... 2
 MOST GOVERNMENT OFFICIALS ARE ENGAGED IN IT.....3
 ALMOST ALL GOVERNMENT OFFICIALS ARE ENGAGED IN IT..... 4

H513. I am now going to name several types of officials. For each one, could you tell me how much confidence you have that they will act in the interest of everyone rather than in their own narrow self interest?

- i. Local government officials
- ii. State/National government officials
- iii. Local politicians
- iv. Traditional village leaders
- v. Doctors and nurses in the health clinic
- vi. Teachers and school officials
- vii. Police

viii. Judges and the staff of courts

ix. The staff of NGOs.....

- A GREAT DEAL OF CONFIDENCE 1
- SOME CONFIDENCE..... 2
- NOT VERY MUCH CONFIDENCE..... 3
- NO CONFIDENCE AT ALL..... 4

5B. Access to Information

H514. At times the local government carries out programs in your villages that you may benefit from economically. What are your three main sources of information of programs of this type?

(i) (ii) (iii)

| | | |
|--|--|--|
| | | |
|--|--|--|

- RELATIVES, FRIENDS AND NEIGHBORS 1
- COMMUNITY BULLETIN BOARD 2
- LOCAL MARKET 3
- COMMUNITY OR LOCAL NEWSPAPER 4
- NATIONAL NEWSPAPER 5
- RADIO 6
- TELEVISION 7
- GROUPS OR ASSOCIATIONS 8
- BUSINESS OR WORK ASSOCIATES 9
- POLITICAL ASSOCIATES 10
- COMMUNITY LEADERS 11
- LOCAL GOVERNMENT OFFICIALS/CIVIL SERVANTS..... 12
- NGOS 13
- INTERNET 14

H515 A. How easy or difficult is it to obtain information about such programs of your local government?

- VERY EASY 1
- SOMEWHAT EASY 2

| |
|--|
| |
|--|

SOMEWHAT DIFFICULT 3
 VERY DIFFICULT 4

H515B. In general, compared to 10 years ago, has access to information about the activities of your local government improved, deteriorated, or stayed about the same?

IMPROVED 1
 STAYED ABOUT THE SAME 2
 DETERIORATED 3

H516. What are your three main sources of market information about factors that affect your livelihood (such as prices of goods, crops, inputs etc.)?

(i) (ii) (iii)

RELATIVES, FRIENDS AND NEIGHBORS 1
 COMMUNITY BULLETIN BOARD 2
 LOCAL MARKET 3
 COMMUNITY OR LOCAL NEWSPAPER 4
 NATIONAL NEWSPAPER 5
 RADIO 6
 TELEVISION 7
 GROUPS OR ASSOCIATIONS 8
 BUSINESS OR WORK ASSOCIATES 9
 POLITICAL ASSOCIATES 10
 COMMUNITY LEADERS 11
 LOCAL GOVERNMENT OFFICIALS/CIVIL SERVANTS 12
 NGOS 13
 INTERNET 14

H517 A. How easy or difficult is it to obtain this information?

VERY EASY 1
 SOMEWHAT EASY 2
 SOMEWHAT DIFFICULT 3
 VERY DIFFICULT 4

H517B. In general, compared to 10 years ago, has access to information about these issues improved, deteriorated, or stayed about the same?

IMPROVED 1
 STAYED ABOUT THE SAME 2
 DETERIORATED 3

H518. How many times in the last month have you or anyone in your household read a newspaper or had one read to you?

Number of times

H519. In the past month, how many times have you made or received a phone call?

Number of times

Section 6: Freedom, Crime and Violence

6A. Freedom

| | A. Currently YES.....1 NO.....2 | B. 10 Years Ago YES.....1 NO.....2 |
|---|--|---|
| H601. Do you think that in this country people feel free to say what they want, even if it is a criticism of the government? | | |
| H602. Do you think that in this country the newspapers, radio and TV report the news freely? | | |
| H603. Can you talk openly about any problems that you see in this village/neighborhood? | | |
| H604. If you wanted to form a group or association with others in your community, could you do so without prior government approval? | | |
| H605. Do you feel free to openly express your religious beliefs? | | |
| H606. Do you think that all people in this village/neighborhood are treated fairly by the law? | | |

H607 A. Currently, how difficult do the rules and regulations of the government make it to set up a business in this village/neighborhood?

- VERY EASY 1
 - SOMEWHAT EASY 2
 - SOMEWHAT DIFFICULT 3
 - VERY DIFFICULT 4
-

H607 B. Compared to 10 years ago, is it now more difficult, less difficult, or about equally difficult to set up a business in this village/neighborhood?

- LESS DIFFICULT 1
 - ABOUT EQUALLY DIFFICULT 2
 - MORE DIFFICULT 3
-

6B. Crime and Violence

H608. In your opinion, is/was this village/neighborhood generally peaceful or marked by crime and violence?

H608A. Currently

H608B. 10 years ago

- VERY PEACEFUL 1 **[SKIP TO QUESTION H609]**
- MODERATELY PEACEFUL 2 **[SKIP TO QUESTION H609]**
- MODERATELY VIOLENT..... 3
- VERY VIOLENT 4

H608 a. For each area mentioned below, please consider to what extent the violence experienced in the community during the last ten years has had an important and lasting impact on you and other members of your household. Please use the following to rate the extent of this impact.⁴



1. Very favorable impact
2. Favorable impact
3. No impact
4. Harmful impact
5. Very harmful impact

| | |
|--|--|
| i. Livelihoods | |
| ii. Property | |
| iii. Savings | |
| iv. Overall health, excluding mental health | |
| v. Mental health | |
| vi. Delivery of health services | |
| vii. Delivery of education services | |
| viii. Relations among family members | |
| ix. Relations among relatives, friends and neighbors | |
| x. Participation in community groups | |
| xi. Freedom to move within the community | |
| xii. Freedom to travel outside the community | |

⁴

Question for countries affected by conflict


H609. Do you think that in this village/ neighborhood, there is much violence against girls and women within households?


A. Currently

B. 10 years ago

- NONE AT ALL 1
- A LITTLE..... 2
- MUCH 3
- VERY MUCH..... 4

H610. How effectively do you feel the authorities would protect you if somebody tried to make you leave your property/land?⁵

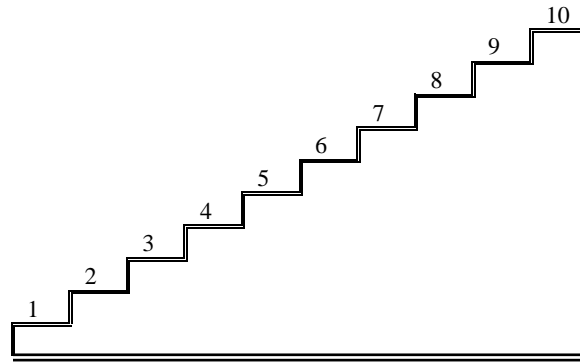
-  VERY EFFECTIVELY 1
 - EFFECTIVELY..... 2
 - NOT VERY EFFECTIVELY..... 3
 - NOT AT ALL..... 4
-

⁵  Question for countries affected by conflict

Section 7: Perceptions of Well-being and Aspirations

7A. Perceptions of Well-being

Figure 1. 10-Step Ladder



H701. I am now going to ask you a series of questions on your life and how you feel about it. Here is a picture of a 10-step ladder. Imagine that at the bottom, on the first step, stand the poorest and worst off people, and on the highest step, the tenth, stand the richest and best off. On which step of this ladder are you located today?

Step no.

H702. And on which step were you located 10 years ago?

Step no.

H703. *(If there was a positive change)* What are the three most important factors that helped you move up? *(Please write down responses now, and be sure to code them at the end of the interview)*

(i) (ii) (iii)

| | |
|--|----|
| STEADY JOB/INCREASE IN WAGES | 1 |
| GOT A JOB/ BETTER JOB/MORE WORK OPPORTUNITIES | 2 |
| GOT A GOVERNMENT JOB..... | 3 |
| CHILDREN COMPLETED SCHOOL/ GOT JOBS | 4 |
| HIGHER EDUCATION/RECEIVED DEGREE | 5 |
| NEW SOURCES/MULTIPLE SOURCES OF INCOME | 6 |
| WOMEN STEPPED OUT TO WORK..... | 7 |
| CROP-DIVERSIFICATION..... | 8 |
| INCREASED CROP PRODUCTION BECAUSE OF IMPROVED AGRICULTURAL TECHNOLOGY/IRRIGATION/HIGH-YIELDING VARIETY OF SEEDS | 9 |
| NEW BUSINESS/ BETTER BUSINESS | 10 |
| IMPROVED NATIONAL ECONOMY | 11 |
| INCREASE IN COMMUNITY PROSPERITY | 12 |
| IMPROVED ACCESS TO MARKETS (ROADS, RAILS ETC.)..... | 13 |
| IMPROVED ACCESS TO GOVERNMENT SERVICES (WATER, POWER ETC.) ... | 14 |
| OBTAINED LOAN/CREDIT..... | 15 |
| OBTAINED LEGAL TITLE | 16 |
| MIGRATION..... | 17 |
| MOVE TO NEW RESIDENCE..... | 18 |
| RECEIVED MORE REMITTANCES..... | 19 |
| LOCAL FAMILY AND COMMUNITY SUPPORT | 20 |
| GOVERNMENT CONTACTS..... | 21 |
| MORE GOVERNMENT ASSISTANCE | 22 |
| MORE NGO ASSISTANCE..... | 23 |
| COMMUNITY ASSOCIATIONS/JOINED A GROUP..... | 24 |
| BETTER NATIONAL GOVERNMENT | 25 |
| BETTER LOCAL GOVERNMENT..... | 26 |
| LESS CORRUPTION..... | 27 |
| IMPROVED SECURITY (LESS CRIME AND ROBBERY)..... | 28 |
| MARRIAGE..... | 29 |
| DIVORCE/SEPARATION | 30 |
| FEWER CHILDREN | 31 |
| CHILDREN GOT MARRIED/ LEFT HOME..... | 32 |
| BETTER HEALTH..... | 33 |
| HOUSING IMPROVEMENTS..... | 34 |
| LOTTERY/ LUCK..... | 35 |
| INHERITANCE | 36 |
| HARD WORK | 37 |
| ILLEGAL ACTIVITIES (THEFT, SELLING DRUGS ETC.)..... | 38 |
| OTHER..... | 99 |

H704. *(If there was a negative change)* What are the three most important factors that contributed to this change? *(Please write down responses now, and be sure to code them at the end of the interview)*

(i) (ii) (iii)

| | | |
|--|--|--|
| | | |
|--|--|--|

| | |
|--|----|
| JOB LOSS/UNEMPLOYMENT | 1 |
| INCONSISTENT WORK OPPORTUNITIES | 2 |
| LOW AGRICULTURAL YIELD/ BAD HARVEST | 3 |
| DEATH OF ANIMAL (CATTLE/GOAT ETC) | 4 |
| LOST A BUSINESS | 5 |
| INCREASED RESTRICTIONS ON BUSINESS/INCREASED TAXES | 6 |
| VULNERABILITY TO MARKET PRICE FLUCTUATIONS (LOW OUTPUT PRICES/ HIGH INPUT PRICES) | 7 |
| ECONOMY GOT WORSE..... | 8 |
| HIGH INFLATION/INCREASE IN PRICE OF BASIC NECESSITIES..... | 9 |
| FAILURE TO OBTAIN CREDIT | 10 |
| TOO MUCH DEBT | 11 |
| LOST LEGAL TITLE TO PROPERTY | 12 |
| RECEIVED LESS REMITTANCES | 13 |
| LESS GOVERNMENT ASSISTANCE..... | 14 |
| LESS NGO ASSISTANCE..... | 15 |
| WORSE NATIONAL GOVERNMENT..... | 16 |
| WORSE LOCAL GOVERNMENT..... | 17 |
| MORE CORRUPTION..... | 18 |
| RISING INSECURITY (CRIME, ROBBERY, EXTORTION THREATS) | 19 |
| COMMUNAL/CASTE /RACIAL DISCRIMINATIONS | 20 |
| MARRIAGE..... | 21 |
| DIVORCE/SEPARATION | 22 |
| DOWRY/EXPENSES ON DEATH FEASTS AND WEDDINGS..... | 23 |
| FAMILY SIZE (MORE CHILDREN/DEPENDANTS)..... | 24 |
| PROBLEMS WITH CHILDREN | 25 |
| HEALTH PROBLEMS/ ACCIDENT/HIGH HEALTH EXPENSES | 26 |
| DEATH OF EARNING MEMBER | 27 |
| AGING..... | 28 |
| RISE IN HOUSING COSTS/LOST HOUSE AND HAD TO MOVE | 29 |
| BAD LUCK | 30 |
| GAMBLING/ALCOHOL/DRUG ADDICTION | 31 |
| FAILURE TO ACHIEVE GOAL (EDUCATIONAL, ENTREPRENEURIAL)..... | 32 |
| NATURAL DISASTER (DROUGHT, FLOOD, CYCLONE, RIVER EROSION)..... | 33 |
| OTHER | 99 |

H705. (If there was no change and if the answers to Question 701 and 702 are less than or equal to 5) What are the three most important reasons why there has been no change in your situation? (Please write down responses now, and be sure to code them at the end of the interview)

(i) (ii) (iii)

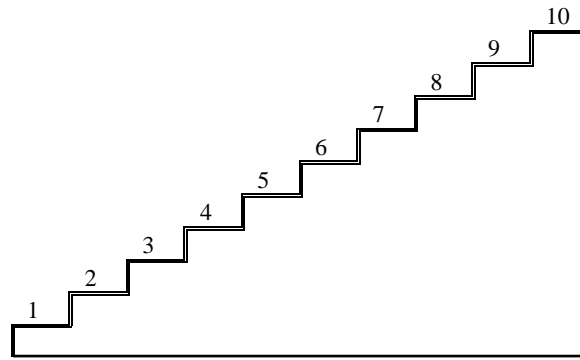
| | | |
|--|--|--|
| | | |
|--|--|--|

| | |
|---|----|
| UNEMPLOYMENT | 1 |
| INCONSISTENT WORK OPPORTUNITIES..... | 2 |
| WAGES DID NOT INCREASE (ESPECIALLY IN RELATION TO INFLATION RATES)..... | 3 |
| LACK OF EDUCATION..... | 4 |
| POOR ACCESS TO MARKETS AND INPUTS..... | 5 |
| LACK OF GOVERNMENT SERVICES (WATER, SANITATION ETC.)..... | 6 |
| RESTRICTIVE GOVERNMENT RULES (NATIONAL/LOCAL)..... | 7 |
| FAILURE TO OBTAIN CREDIT..... | 8 |
| TOO MUCH DEBT..... | 9 |
| NO TITLE TO PROPERTY..... | 10 |
| LACK OF GOVERNMENT ASSISTANCE..... | 11 |
| LACK OF GOVERNMENT CONTACTS..... | 12 |
| LACK OF NGO ASSISTANCE..... | 13 |
| CORRUPTION/INABILITY TO PAY BRIBES..... | 14 |
| RISING INSECURITY (CRIME, ROBBERY, EXTORTION THREATS)..... | 15 |
| CASTE/CLASS/BARRIERS..... | 16 |
| GENDER DISCRIMINATION/SOCIAL BARRIER ON SENDING WOMEN TO WORK..... | 17 |
| FAMILY SIZE (MORE CHILDREN/DEPENDANTS)..... | 18 |
| HEALTH PROBLEMS/ ACCIDENT/HIGH HEALTH EXPENSES..... | 19 |
| DEATH OF EARNING MEMBER..... | 20 |
| AGING..... | 21 |
| GAMBLING/ALCOHOL/DRUG ADDICTION..... | 22 |
| OTHER..... | 99 |

H706. Where on the ladder would you locate a household which has an income equal to the national poverty line?
 (Note: You could use the local currency equivalent of the national poverty line for the monthly/daily consumption of a family of four – 2 adults and 2 children – since it is intuitive and easy to grasp. This should take into account the value of the goods that the family produces for its own consumption.)

Step no.

Figure 2. 10-Step Ladder (Power and Rights)



H707. And now imagine, please, another 10-step ladder, where at the bottom, on the first step, stand people who are completely powerless and without rights, and on the highest step, the tenth, stand those who have a lot of power and rights. On which step of this ladder are you today?

Step no.

H708. And on which step were you 10 years ago? *(If there was no change between the two periods, skip to Question 711)*

Step no.

H709. *(If there was a positive change)* What are the two most important factors that contributed to this change? *(Please write down responses now, and be sure to code them at the end of the interview)*

- STEADY JOB/INCREASE IN WAGES 1
- GOT A JOB/ BETTER JOB/MORE WORK OPPORTUNITIES 2
- GOT A GOVERNMENT JOB..... 3
- CHILDREN COMPLETED SCHOOL/ GOT JOBS 4

| | |
|----------------------|----------------------|
| (i) | (ii) |
| <input type="text"/> | <input type="text"/> |

| | |
|--|----|
| HIGHER EDUCATION/RECEIVED DEGREE | 5 |
| NEW BUSINESS/ BETTER BUSINESS | 6 |
| ECONOMY IMPROVED | 7 |
| IMPROVED ACCESS TO GOVERNMENT SERVICES (WATER, POWER ETC.) | 8 |
| OBTAINED LOAN/CREDIT | 9 |
| OBTAINED LEGAL TITLE | 10 |
| ACQUIRED LAND (WITH OR WITHOUT TITLE) | 11 |
| BOUGHT TOOL/GOOD/ASSET THAT ALLOWED MORE PRODUCTION..... | 12 |
| MIGRATION..... | 13 |
| RECEIVED MORE REMITTANCES..... | 14 |
| MORE GOVERNMENT ASSISTANCE | 15 |
| CHANGE IN GOVERNMENT RULE..... | 16 |
| MORE NGO ASSISTANCE..... | 17 |
| MEMBERSHIP TO COMMUNITY ORGANIZATIONS/GROUPS | 18 |
| ASSOCIATION WITH A LABOR UNION..... | 19 |
| GAINED LEADERSHIP POSITION TO COMMUNITY ORGANIZATION..... | 20 |
| INCREASED RESPECT WITHIN COMMUNITY | 21 |
| WOMEN RECEIVING MORE RESPECT/AUTOMONY WITHIN HOUSEHOLDS .. | 22 |
| BETTER NATIONAL GOVERNMENT | 23 |
| BETTER LOCAL GOVERNMENT..... | 24 |
| LESS CORRUPTION | 25 |
| STRONGER JUDICIARY/LEGAL SYSTEM..... | 26 |
| MARRIAGE..... | 27 |
| DIVORCE/SEPARATION..... | 28 |
| CHILDREN GOT MARRIED/ LEFT HOME..... | 29 |
| BETTER HEALTH..... | 30 |
| LOTTERY/ LUCK..... | 31 |
| INHERITANCE | 32 |
| OTHER | 99 |

H710. *(If there was a negative change)* What are the two most important factors that contributed to this change? *(Please write down responses now, and be sure to code them at the end of the interview)*

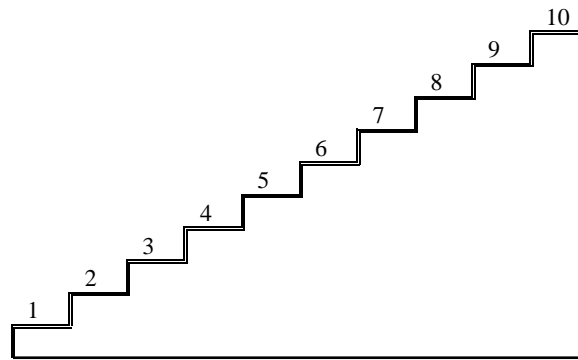
| | |
|--|----|
| JOB LOSS/UNEMPLOYMENT | 1 |
| LOST A BUSINESS | 2 |
| ECONOMY GOT WORSE..... | 3 |
| POOR ACCESS TO GOVERNMENT SERVICES (HEALTH, EDUCATION ETC.)... | 4 |
| FAILURE TO OBTAIN CREDIT | 5 |
| TOO MUCH DEBT | 6 |
| LOST LEGAL TITLE TO PROPERTY | 7 |
| RECEIVED LESS REMITTANCES | 8 |
| LESS GOVERNMENT ASSISTANCE..... | 9 |
| CHANGE IN GOVERNMENT RULE..... | 10 |
| LESS NGO ASSISTANCE..... | 11 |

(i)

(ii)

| | |
|--|----|
| LOST OR STEPPED DOWN FROM LEADERSHIP POSITION..... | 12 |
| LOSS OF RESPECT WITHIN COMMUNITY | 13 |
| GENDER DISCRIMINATION WITHIN HOUSEHOLDS..... | 14 |
| WORSE NATIONAL GOVERNMENT..... | 15 |
| WORSE LOCAL GOVERNMENT | 16 |
| MORE CORRUPTION..... | 17 |
| UNFAIR JUDICIARY/LEGAL SYSTEM..... | 18 |
| RISING INSECURITY (CRIME, ROBBERY, EXTORTION THREATS) | 19 |
| PROBLEMS WITH CHILDREN | 20 |
| MARRIAGE..... | 21 |
| DIVORCE/SEPARATION..... | 22 |
| FAMILY SIZE (MORE CHILDREN/DEPENDANTS)..... | 23 |
| HEALTH PROBLEMS/ ACCIDENT..... | 24 |
| DEATH OF EARNING MEMBER | 25 |
| AGING | 26 |
| GAMBLING/ALCOHOL/DRUG ADDICTION..... | 27 |
| NATURAL DISASTER (DROUGHT, FLOOD, CYCLONE, RIVER EROSION)..... | 28 |
| OTHER | 99 |

Figure 3. 10-Step Ladder (Happiness)



H711. Now imagine, please, another 10-step ladder, where at the bottom, on the first step, stand people who are sad, and on the highest step, the tenth, stand those who are the happiest. On which step of this ladder are you today?

Step no.

H712. And on which step were you 10 years ago (*If there was no change between the two periods, skip to Section 7B, Aspirations*)

H713. If you are now happier, what were the two most important factors that contributed to this? *(Please write down responses now, and be sure to code them at the end of the interview)*

- STEADY JOB/INCREASE IN WAGES1
- BETTER JOB.....2
- NEW BUSINESS.....3
- MORE HOUSEHOLD INCOME4
- CHILDREN COMPLETED SCHOOLING/GOT JOB.....5
- HIGHER EDUCATION/RECEIVED DEGREE6
- BETTER NATIONAL GOVERNMENT7
- BETTER LOCAL GOVERNMENT.....8
- IMPROVED ACCESS TO SERVICES (HEALTH ETC.).....9
- DECREASE IN CRIME AND INSECURITY10
- MORE RIGHTS/REPRESENTATION11
- MARRIAGE/HAVING CHILDREN12
- DIVORCE/SEPARATION13
- CHILDREN GOT MARRIED/BIRTH OF GRANDCHILDREN14
- SOCIAL RELATIONSHIPS.....15
- RELIGION.....16
- IMPROVEMENT IN HOME/MOVE TO NEW HOME17
- BETTER HEALTH.....18
- OTHER99

(i) (ii)

| | |
|--|--|
| | |
|--|--|

H714. If you are now less happy, what were the two most important factors that contributed to this? *(Please write down responses now, and be sure to code them at the end of the interview)*

- LOST A JOB.....1
- LOST BUSINESS2
- LESS HOUSEHOLD INCOME.....3
- WORSE NATIONAL GOVERNMENT.....4
- WORSE LOCAL GOVERNMENT.....5
- INCREASE IN CRIME AND INSECURITY6
- MARRIAGE7
- DIVORCE/SEPARATION8
- DIFFICULTIES WITH CHILDREN (EG. CANNOT FIND JOBS9
- MORE FAMILY RESPONSIBILITIES (EG. MORE UNEXPECTED CHILDREN, TAKING CARE OF ELDERLY RELATIVES10
- FAMILY DISPUTES11
- WEAKENING OF SOCIAL TIES.....12
- PROBLEMS IN THE COMMUNITY13
- MOVE TO NEW HOME AND/OR NEIGHBORHOOD.....14
- HEALTH PROBLEMS15
- OTHER99

(i) (ii)

| | |
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| | |
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H715. To what extent are you satisfied with the financial situation of your household at the present time?

- FULLY SATISFIED..... 1
- SOMEWHAT SATISFIED 2
- NEITHER SATISFIED NOR UNSATISFIED . 3
- SOMEWHAT UNSATISFIED..... 4
- VERY UNSATISFIED 5

H716. 10 Years from now do you expect to be better off, worse off or about the same?

- BETTER OFF 1
- ABOUT THE SAME..... 2
- WORSE OFF 3

H717. Do you think that in the future the next generation will live better, worse, or about the same as you?

- BETTER 1
- ABOUT THE SAME..... 2
- WORSE 3

H718. (*Ask only if the household has children*) Think about your oldest child. What level of schooling would you like him/her to achieve?

- | | | |
|--|--------------------------|--------------------------|
| NONE 1 | i. BOY | ii. GIRL |
| LESS THAN COMPLETED PRIMARY 2 | <input type="checkbox"/> | <input type="checkbox"/> |
| COMPLETED PRIMARY 3 | | |
| LESS THAN COMPLETED SECONDARY 4 | | |
| COMPLETED SECONDARY 5 | | |
| POST-PRIMARY VOCATIONAL 6 | | |
| UNIVERSITY OR OTHER POST-SECONDARY EDUCATION 7 | | |

H719. Are any of your household members between the ages of 15 and 25?

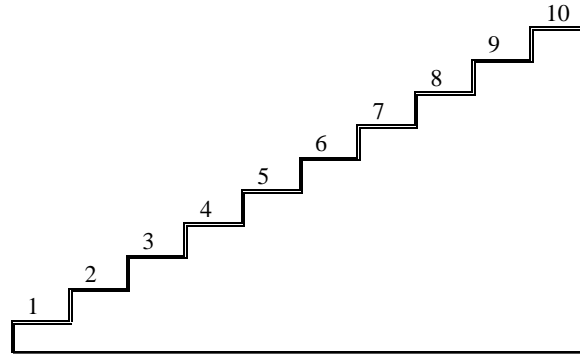
- YES.....1
- NO.....2

[If there is no such household member:

- *Thank the respondents for their cooperation and end the interview now*
- *Write the time in Section 0]*

If yes, now I would like to speak to this household member (If there are several household members aged 15-25 years, please interview only one, and try and vary ages across households)

Figure 4. 10-Step Ladder



H720. Here is a picture of the 10-step ladder. At the bottom, on the first step, stand the poorest and worst off people, and on the highest step, the tenth, stand the richest and best off. On what step do you think that you will be when you are 30 years old?

Step no.

H721. I would like to talk to you about how you imagine your future. Do you expect to have a job or own a business when you are 30 years old?

- YES, A JOB 1
- YES, A BUSINESS 2
- YES, ONE OR THE OTHER 3
- NO 4

H722. What occupation do you want to have when you are 30 years old?

- SALARIED JOB (GOVERNMENT; SENIOR LEVEL)..... 1
- SALARIED JOB (GOVERNMENT; LOW SKILLED)..... 2
- SALARIED JOB (PRIVATE; SENIOR LEVEL)..... 3
- SALARIED JOB (PRIVATE; LOW SKILLED)..... 4
- PROFESSIONAL (DOCTOR; ENGINEER; LAWYER)..... 5

| | |
|-------------------------------|----|
| OWN BUSINESS..... | 6 |
| AGRICULTURE/HORTICULTURE..... | 7 |
| INFORMAL WORK..... | 8 |
| ARMED FORCES/POLICE..... | 9 |
| POLITICIAN..... | 10 |
| SPORTS/ACTOR/DRAMA..... | 11 |
| OTHER..... | 99 |

H723. How sure are you that at age 30 you will have this occupation?

- | | | |
|----------------------|---|--------------------------|
| COMPLETELY SURE..... | 1 | <input type="checkbox"/> |
| FAIRLY SURE..... | 2 | |
| NOT VERY SURE..... | 3 | |
| NOT AT ALL SURE..... | 4 | |

H724. Now I will mention different reasons that might result in your not being able at age 30 to work in the occupation that you want. Which are the two most important reasons that might interfere?

- | | | |
|---|----|--------------------------|
| CANNOT GET THE NECESSARY EDUCATION..... | 1 | (i) |
| FAMILY CIRCUMSTANCES AND RESPONSIBILITIES | 2 | <input type="checkbox"/> |
| FINANCIAL PROBLEMS..... | 3 | (ii) |
| HEALTH PROBLEMS | 4 | <input type="checkbox"/> |
| OVERALL SHORTAGE OF JOBS | 5 | |
| BAD LUCK..... | 6 | |
| NOTHING WILL INTERFERE..... | 7 | |
| OTHER REASON..... | 99 | |

Thank the respondents for their cooperation and end the interview. Write the time in Section 0.