



Preliminary Finding on FY01 Social Assessments

Paula Franklin Lytle



Preliminary Findings on FY01 Social Assessments

- ④ Social Assessment broadly defined
- ④ 111 identified for FY01
- ④ 48 SAs reviewed
- ④ TMs, SD specialists, consultants interviewed
- ④ Data on resources collected



Distribution of SAs Reviewed

AFR	4
EAP	7
ECA	12
LCR	16
MNA	3
SAR	6

Transportation	9
Social Protection	8
Agriculture	5
Education	5
Environment	5
Health, Nutr & Popn.	4
Water Supply & Sanitn.	4
Energy	3
Public Sector Managmnt.	2
Finance	1
Urban Development	1
Mining	1



Research Methods Used by SAs

- ④ 44% (21) employ survey methods
- ④ Of these, 10 conducted in ECA
- ④ 63% (30) employ focus group discussions
- ④ 44% (21) conduct interviews
- ④ 25% (12) employ participatory methods



Process Followed by SAs

- ④ 15 managed by a consultant
- ④ 29 managed by SD staff
- ④ 4 directly contracted by TM
- ④ Over 90% conducted by local consultants or by the client
- ④ 4 SAs conducted by international consultants, three in conjunction with local consultants



Resources Applied by SAs

- ④ Cost of SAs ranged from \$2,300 to \$120,000
- ④ Mean - \$31,500 Median - \$20,000
- ④ Funding sources:
 - ④ Bank financing- 14
 - ④ Trust funds - 21
 - ④ Other agency - 6
 - ④ Client's budget - 3
 - ④ Don't know - 3
- ④ Average time from TOR to draft- 6 months



Domains Addressed by SAs

- ④ Majority 77% (37) address opportunities and constraints
- ④ 60% (29) examine social diversity
- ④ 52% (25) examine social risks
- ④ 42% (20) address gender
- ④ Social safeguards a factor in 37% (18)
 - ④ Of SAs with a safeguard component, 13 also address non-safeguard issues



Operational Relevance of SAs

- ④ Half of the SAs include focused recommendations connected to the project's objectives
- ④ Remaining SAs mix operational recommendations with general, extensive or vague recommendations
- ④ Half of the SAs recommend indicators for M & E
- ④ Two-thirds of the SAs are cited in the PADs as contributing to project design or as imparting lessons for implementation
- ④ Remaining third observes SA was conducted and no adverse social impact found



Preliminary Conclusions: FY01 SAs

- ④ SAs reviewed focus primarily on investment projects
- ④ SAs address social domains broadly, only 5 of those reviewed focused exclusively on safeguards
- ④ Transition from “how to conduct an SA” to ensuring operational relevance
- ④ Challenge: Establishing linkages between research methods employed, social domains addressed, forms of analysis, and operational recommendations