Macro Coordination and Alignment Framework:

The Development of Sustainable Human Settlements

FOSTERING THE CONVERGENCE OF MULTIPLE MANDATES IN THE DEVELOPMENT OF INTEGRATED HUMAN SETTLEMENTS

Presentation to Spatial Outcomes Workshop
28th and 29th September 2009
SA Reserve Bank Conference Centre

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…no great improvements in the lot of mankind are possible until a great change takes place in the fundamental constitution of their modes of thought… (Anon)
President Zuma: More than just housing..... transforming our residential areas and building communities with closer access to work and social amenities, including sports and recreation facilities...
• **Minister Sexwale**: …places where people can play, stay and pray. They should be green, landscaped communities -- pleasant places, where people live, learn and have leisure

• **Breaking New Ground (2004)**
Integrated Human Settlement
INTERNAL INFRASTRUCTURE

Legend
Water
Sewer
Electrical
Resource development + transport + social amenities + secured tenure + electricity + other = human settlements product

Diagram showing:
- Dam
- River
- Water treatment works
- Bulk water pipeline
- Pumping station
- Bulk infrastructure
- Connector infrastructure
- Internal infrastructure
- Distribution reservoir
- Connector pipeline
- To other settlements
- Wastewater treatment works
- Treated effluent outfall
- Outfall sewer
- Sewerage
- Distribution infrastructure

The diagram illustrates the various components and processes involved in providing water and wastewater services, along with associated infrastructure development.
Implications for the “Department of Human Settlements”

Mandate:

- **Housing Act Mandate (Functions and Role)**
  - Determine Housing Policy
  - Establish National Housing Programmes & set targets
  - Finance Housing Programmes
  - Maintain data-banks (HUIMS/ HSS/ Demand Data. etc)
  - Support the Capacity of Provinces to perform
  - Monitor the performance of the sector

- “Housing” a concurrent function (Constitution)

- **Facilitate** the Development of Human Settlements

- **Coordinate** the Government’s Programme on Human Settlements – **REMEMBER BNG!!!**
Who/Where is planning?
Who/Where is coordinating?
Who is monitoring?
Who is accounting?
Housing to Human Settlements

• Achieved by planning and building human settlements in an integrated, coordinated and holistic way

• Means maximum cooperation and coordination with other national departments and spheres of government as well as the private sector and civil society

• Fostering the convergence of multiple mandates in the development of integrated human settlements
Macro Co-ordination & Alignment

Urbanisation & Spatial Management

Institutional Re-alignment

Transformation (Paradigm shift)

Key Priorities

prevailing reality

Expected Outcomes

Human Settlements Strategy
### Differentiated Interventions

<table>
<thead>
<tr>
<th>Urban</th>
<th>Regeneration</th>
<th>Urban Renewal</th>
<th>Planned gentrification</th>
<th>Urban development</th>
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<tbody>
<tr>
<td>Major Urban</td>
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<td>Secondary City &amp; Large towns</td>
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<tr>
<td>Dense rural</td>
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<tr>
<td>Low density rural</td>
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- **New Existing**
- **Precinct**
- **City-wide**
- **Mun-wide**
Macro Coordination and Alignment

**Purpose**

**Principles**

**Priorities**

**Impact**

(1) Plan
   a. National Dev Plan SHS
   b. Settlement Plans

(2) Fund
   c. Human Settlements Funding

(3) Implement
   d. Project Management Capability

Differentiated Interventions (urban and rural)

Human Settlements Product
Community Based Plans

Integrated Development Plans (settlement level plans)

Provincial Growth and Development Strategies (housing plans within the human settlements mandate)

National Human Settlements Plan (Spatial orientation)

Green Paper (National Spatial Planning)

Presidency (NPC)

National

Provincial

Local

Housing
Community level infra.
Land/spatial
Transport

human settlements
Department: Human Settlements
REPUBLIC OF SOUTH AFRICA
(1) National Plan for Human Settlements

- Indicators
  - Indicate the **long-term spatial trends** and demand for housing
  - Outline **principles for managing urbanisation** and optimal utilisation of space and land uses
  - Inform allocation of resources and prioritisation across areas for life cycle of projects
  - Determine objectives and **indicators** for SHS
  - Provide norms and standards for household access to social and economic services from a land-use, spatial and transport perspective
  - Outline institutional and implementation arrangements
  - It should provide this **guidance for different settlement type categories**
(2) Settlement level plans

- Emanates from the IDP and defines the “product” at a local level – funds must follow good settlement plans
- Focus on spatial and physical layout and urban design (participatory and response to socio-eco)
- Renewed focus in physical planning
- Indicators
  - Defines geographic boundaries
  - Sets targets for population and infrastructure carrying capacity
  - Sets norms and standards
  - Duration, management requirements and dedicated budget
(3) Project Development

- Each settlement level plan approached through several integrated projects (directing resources and limited grant funding)
- Initiation of projects can be triggered by variety of actors (provided it is within the confines of settlement level plan)
- Project applications endorsed by relevant province and municipality
(5) Funding for Human Settlements

- Indicators
  - Provide for the alignment and/or consolidation of built environment related conditional grants
  - Address the needs of different settlement type categories
  - Balance between a formula based approach and a needs based approach
  - Encourage co-funding and use of conditional grants as a catalyst - public and private sector investment
  - Flexibility in use of conditional grants to enable shifting of funds between projects
  - Institutional arrangements for managing application and approval system and process
  - Allowance for Capacity funding for planning, project preparation and project management
  - Encourage broader participation and innovation in application and grant usage
Macro Coordination & Alignment for Human Settlements

1. Compile a National (Provincial) Development Plan for Human Settlements, produce settlement level plans and promote innovative project development.

2. Align funding streams and existing built environment related grants/ resources and

3. At an implementation level - ensure effective project management and capacity assembly
What the framework will do

- To provide a **policy coordinating** role for human settlements
- To ensure **accountability** in human settlements delivery
- To direct **resource allocation and distribution** towards human settlements
- To “define” human settlements planning across sector departments and spheres of government
- To ensure there is greater coordination and alignment of various **planning instruments and economic policies** which constitute the heart of sustainable human settlements
- To **operate within the current** planning, funding and implementation environment but to improve and strengthen coordination between these
What the framework will not do

• It is not the intention of the framework to be a gatekeeper with respect to human settlements planning, funding and implementation.

• It is also not a top down mechanism. It aims at heightening cooperative governance…

• This framework is also not only about housing only. This means maximum cooperation and coordination with other national departments,
Macro Coordinating Framework for Human Settlements Development

**Planning**
- National Human Settlement Plan
  - National
  - Provincial Growth and Development Strategies
  - Multi Year Housing Plans (Human Settlements)
- Integrated Development Plans (Settlement Level Plans for Human Settlements)
  - Local
  - Community Based Plans

**Funding**
- Housing
- Water & Forestry
- Land Affairs
- Minerals and Energy
- Education
- Health
- National Treasury
- Local
  - Housing
  - Land
  - Infrastructure
  - Electricity
  - Water Supply
  - Sanitation
  - Schools
  - Hospitals/clinics
  - National Developmental Plan
- Provincial
  - Land Grant
  - Public Transport
  - Minerals
  - Minerals
  - INDP
  - Province ED
- National Treasury
- Provincial
  - NDG
- Local
  - MIG
  - INDP
  - Province ED
- National Human Settlement Plan
- Eskom
- Developer
- Municipalities
  - Houses
  - Residential Land
  - Water Supply
  - Internal Infrastructure
  - Sanitation
  - Roads
  - Social Amenities
  - Electricity

**Implementation/Monitoring and Evaluation**
- Macro Coordinating Framework for Human Settlements Development
- National Developmental Plan
- Provincial Growth and Development Strategies
- Multi Year Housing Plans (Human Settlements)
- National Human Settlement Plan
- Integrated Development Plans (Settlement Level Plans for Human Settlements)
Thank You