

## **Trust Fund for Environmentally and Socially Sustainable Development (TFESSD) FY09 Call for Proposals (First Stage)**

### **I Background**

The Norwegian-Finnish Trust Fund for Environmentally and Socially Sustainable Development (TFESSD) will allocate US\$6-6.5 million in FY09 for strategic proposals aimed at innovative work on the environmental, social and poverty-reducing dimensions of sustainable development in relation to adaptation to climate change. At least half of the overall funding will go to activities in Sub-Saharan Africa.

### **II Concept Note**

The application process consists of two stages. This Call is the first step and invites the submission of a short concept note. The concept notes will be assessed according to relevance to the priority themes for FY09 (adaptation to climate change) and the selection criteria for concept notes as outlined below. Please see attached template for concept note.

In order to be considered, the concept note must:

- Be **submitted no later than cob December 7, 2007.**
- Be cleared by the relevant sector manager before submission.
- Meet all the eligibility criteria listed below.

The selection process for this first stage will take place in December and part of January. In the second stage, the finalists will be invited to submit a full proposal by February 1, 2008. You are strongly encouraged to consult with the relevant TFESSD window manager before submitting the proposal and must copy her when submitting it.<sup>1</sup> We strongly encourage programmatic proposals (spanning multiple countries, sector units, or themes).

### **Priority themes for FY09 TFESSD proposals**

This call for concept notes invites proposals from Bank task teams that support analytical work, operational examples and capacity building that attempt, in an innovative and/or catalytic manner, to address the challenge of adaptive climate action in the following areas:

#### **1. Mainstreaming adaptation in country assistance strategies for exposed countries, including:**

- *Supporting work on climate risk information systems* (long-term climate predictions and their dissemination) of relevance to ongoing or planned operations.
- *Identification of ecosystems sensitive to climate change* that support livelihoods; identification of management options to reduce vulnerability and render co-benefits.
- *Helping understand and address socio-economic vulnerabilities and spontaneous adaptation* to climate change, addressing impacts on livelihoods and poverty at the

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<sup>1</sup> The window managers are Laura Tlaiye/Nenuca Robles (Environment); Myrtle Diachok (Social Development); Vera Wilhelm (Poverty); and Valerie Kozel (Social Protection).

- household, community, and national levels (including intra-household dynamics, gender impacts, and differentiated responses).
- *Identifying institutional and policy constraints to effective adaptation* (e.g. data and decision-making systems; implementation and communications barriers; coordination mechanisms across jurisdictions; stakeholder participation); piloting ways to overcome such constraints.
  - *Analyzing how adaptation and mitigation of climate change affects growth opportunities and incentives* (including relative prices and the productive asset base at the household and gender level); assessing opportunities and constraints for private sector and technological responses to climate change.
  - *Supporting data systems* for monitoring climate change and adaptation (including bio-physical and socio-economic indicators) provided these systems have a strong public goods orientation and are of clear value to the poor.
- 2. Addressing sectoral and cross-border adaptation challenges, with a particular focus on:**
- *Social protection and other human development interventions to help the poor and vulnerable cope with climate change*, including assessment and mitigation of health, nutrition, and food security impacts for men, women, and children; improving the effectiveness of social protection policies and programs in dealing with climate variability and natural disasters.
  - *Agriculture and natural resource interventions* to improve the resilience of agriculture and natural resources through, for example, improved drought resistance of cropping, livestock, fisheries, or forestry and renewed attention to management of resources, including water.
  - *Urban development interventions* for vulnerable coastal or flood-prone cities that strive for integrated adaptation across urban spheres.<sup>2</sup>
  - *Responding to internal and international migration* due to climate change (e.g. impact of natural disasters on migration and policy responses; tracer studies of migrants).
  - *Conflict analysis, prevention, and management* in light of climate change (e.g. social and political conflicts over natural resources and population dislocation at the local, national, and trans-national levels).
- 3. Building resilience at local and household level through strengthened understanding of household and community responses (and how they are shaped by policies and institutions) drawing on new tools and frameworks, including:**
- *Impacts and responses at household-level* (including gender and intra-household impacts).
  - *Impacts on specific vulnerable groups* (indigenous peoples; slum dwellers; coastal communities).
  - *Building resilience and adaptive capacity in local governments and communities*; harnessing traditional knowledge on adaptation; assessing implications for empowerment strategies, social risk management, community-driven development approaches, and participatory development.
  - *Strengthening the capacity for disaster response* including data systems for disaster response.

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<sup>2</sup> NB: Traditional infrastructure interventions (transport, energy) to be supported through the NTF-PSI

#### 4. Influencing the Bank to move beyond the Clean Energy Investment Framework and shaping the building blocks for a World Bank Group multi-sectoral strategy on climate change by:

- *Strengthening the formulation or implementation of adaptation components in Bank regional climate change strategies* through policy relevant research; awareness-raising; capacity-building; or consultation.
- *Improving dissemination and knowledge management on climate change and adaptation*, including efforts to coordinate and share knowledge within and outside the World Bank Group.
- *Building partnerships for knowledge sharing and action at regional or global level* (e.g. support for reaching out to partners in the UN agencies, academia, or the wider development community).

#### Selection Criteria for Concept Notes

Priority will be given to proposals that have the potential to achieve significant development impact. Proposals may be programmatic or free-standing. Single-country, multi-country, and global activities are considered. The concept notes will be assessed according to the following strategic priorities:

- **innovative and catalytic**, setting the stage for future operations, indicating the linkages of the proposed project with, and value added over, ongoing or planned regular Bank analytical, program or project activities
- in line with **sectoral and country strategies**, indicating how the proposed activities support the design, implementation and monitoring of national poverty reduction strategies
- **multi-sectoral**, interlinking themes relevant to social development, environment, poverty, and/or social protection and including more than one network in the proposed activity.

The three criteria will have equal weight. Activities in Sub-Saharan countries are given extra points. TFESSD funds cannot finance current Bank operations but nevertheless need to demonstrate policy and operational relevance. Proposals must contain a plan for dissemination.

#### Eligibility criteria for TFESSD proposals

- The Trust Fund is Bank executed and proposals can only be submitted by, and task managed by, Bank staff who are accredited to manage trust funds. The TTL is accountable for ensuring that the Bank's fiduciary responsibilities are met.
- Eligible expenditures are consultant fees/travel, workshops, training, publications etc. Project preparation work will not be funded, nor components which could be funded as part of ongoing operations. Funds cannot be used for staff salaries, staff travel, or sub-grants. Bank procurement regulations apply.
- The TFESSD is limited to financing activities in countries included in the bottom three categories in the OECD/DAC ODA list.<sup>3</sup> At least fifty percent of TFESSD funds go to work in Sub-Saharan Africa. Elsewhere, preference will be given to IDA countries.

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<sup>3</sup> Namely low income and lower middle income countries; see <http://www.oecd.org/dataoecd/35/9/2488552.pdf>.

- At the time of the final proposal, TTLs must indicate adequate BB commitment to execute activities (i.e. cover staff expenses) and include the activity in the Work Program Agreement (WPA).

### III Full proposals

The details for full proposal submission will be provided to the finalists after the first stage. The selection criteria for the technical review *pertaining to the full proposal, not the concept note* are as follows:

- Realism and do-ability, clearly defined outcomes and discussion of development impact
- Technically sound, cost commensurate with work plan and products
- Innovative and catalytic
- Collaboration with and capacity building within institutions in developing countries
- Satisfactory plan for knowledge sharing and dissemination of the results.

### IV General guidelines

- *Funds release:* Work can span up to three fiscal years (FY09-11). While an overall amount is tentatively allocated when the project is approved, funds are released in installments as needed. Funding beyond the first year will be released based on good performance as reported in progress reports.
- Proposals should indicate *milestones and monitorable indicators*, especially if they are multi-year, since progress will be reviewed annually based on progress reports. They should include a *clear budget, with planned expenditures by type of expenditure, FY, and source of funds (BB, TFESSD, other sources)*.
- Proposals for country-level work need to be *approved by the Country Director* or designate (an email is fine, and should be attached when the proposal is submitted).
- The funds from TFESSD are untied. Developing country partners (experts and institutions), as well as potential partnerships with UN agencies, and Norwegian/Finnish researchers/institutions are encouraged and should be indicated in the application.

**Deadline: Proposals should be sent to Joyce Chinsen, with copy to Rasmus Heltberg, no later than cob December 7, 2007. Incomplete or late proposals will not be considered.**

#### Timetable

Deadline to submit Concept Notes: December 7, 2007

Results of first stage sent to TTLs, Call for Full Proposals: February 1, 2008

Deadline to submit Full Proposal: February 22, 2008

Final decisions cleared by Donors: April 9, 2008

Activation of TFs: July 2008

#### For further information

- Check the TFESSD website at [www.worldbank.org/tfessd](http://www.worldbank.org/tfessd) (contains also the technical background note for this call).
- Contact the TFESSD secretariat, Joyce Chinsen (X34022) or Rasmus Heltberg (X35396).

**FY09 TFESSD Funding Proposal Concept Note** (*One page maximum*)

Project name:				
Task team leader:			WBG unit of TTL:	
Cleared by Sector Manager:				
Total TFESSD grant applied for: \$ .....of which FY09: \$				
Budget (US\$)	FY09	FY10	FY11	Total
TFESSD				
Bank budget				
Other:...				
Total				
Percent of grant going to activities in Sub-Saharan Africa:				
List of countries benefiting/participating:				
Development objective of proposed activity:				
Proposed activities, including capacity building and dissemination strategy:				
Expected outputs (products to be delivered):				
Expected outcomes (what will change as result of proposed activity):				
List of internal and external partners:				